

MANUAL OF PROCEDURES  
IN QUANTITATIVE GENETICS

SECOND EDITION

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## PREFACE TO FIRST EDITION

This manual was written during the teaching of a course in quantitative genetics at Washington State University and was designed to present many of the basic procedures used in quantitative genetics. An attempt has been made to cover areas applicable to both plant and animals.

Within each procedure the statistical model is given first, and then the genetic meaning of the various components of the model. The statistical formulas for computing the procedures are presented with a worked example. Throughout the manual the dot notation has been utilized in the formulas. Occasionally an example is omitted because a previous example can suffice or because suitable data were not available. References are made throughout to more advanced or other procedures that might be of use to the student or researcher.

Tables are provided at the end of the manual for ready reference. Standard statistical tables (such as the t or F tables) were not included because they are readily available in other books. Books whose subject matter bears upon population and quantitative genetics have been listed for the information of the reader.

The author is indebted to the classes in quantitative genetics whose members constructed Table 3, worked the examples, and contributed many constructive suggestions. Appreciation is expressed to Dr. Thomas Bogyo who recommended the use of the augmented matrix and assisted in, as did Dr. Surendra Sinha, the preparation of portions of the section on selection indexes. Dr. James Hanover, of the Forest Services Laboratory, Moscow, Idaho, kindly furnished the data of the factorial cross.

Walter A. Becker  
August, 1964  
Pullman, Washington

## PREFACE TO SECOND EDITION

The first edition of this manual has been extensively rewritten, with more explanatory text and distinct divisions made between plant and animal designs in each section. Table 2 (formerly table 3) has been expanded to include populations up to 400.

Grateful acknowledgment is given to Benjamin Hawkes, who was responsible for the calculations involving the expansion of table 2 and to several persons who suggested improvements and corrections.

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August, 1967  
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## SYMBOLS

The statistical symbols in this booklet will follow, in general, those in Federer (1955, pp. 16-17). Dot notation is used throughout.

### I. Statistical symbols

<u>Symbol</u>	<u>Meaning</u>
$X_i$ or $Y_i$	the $i^{\text{th}}$ observation
$X_1, X_2$	the first observation, second observation, etc.
$Z_i$	the $i^{\text{th}}$ mean used as an observation
$Y. = \sum_i Y_i$	the sum of the observations $Y_1 + Y_2 + Y_3 + \dots + Y_n = Y.$
$Y_{ijk}$	the $k^{\text{th}}$ observation in the $i^{\text{th}}$ and $j^{\text{th}}$ classification. Example: the $k^{\text{th}}$ progeny of the $i^{\text{th}}$ sire mated to the $j^{\text{th}}$ dam.
$\frac{1}{n} \sum_i Y_i = \frac{Y.}{n} = \bar{y}.$	the sample mean of $n$ observations
var	variance
cov	covariance
d.f.	degrees of freedom
SS	sum of squares
SCP	sum of cross products
MS	mean squares
MCP	mean cross products
EMS	expected mean squares
EMCP	expected mean cross products
<	is less than
>	is greater than
≥	is greater than or equal to

## II. Genetic symbols

These symbols are similar to those used in Falconer (1960).

<u>Symbol</u>	<u>Meaning</u>
$V_G$	genotypic variance where $V_G = V_A + V_D + V_I$
$V_A$	additive genetic variance
$V_D$	dominance variance
$V_I$	interaction variance
$V_{AA}$	additive x additive
$V_{AD}$	additive x dominance
$V_{DD}$	dominance x dominance
$V_{AAA}$ etc.	additive x additive x additive
$V_E$	environmental variance
$V_{ES}$	special environmental variance (developmental)
$V_{EG}$	general environmental variance (microenvironmental)
$V_M$	maternal effects variance
$V_C$	variance of effects common to families other than maternal, i.e., cage, pen effects or plot effects
$V_L$	sex linkage
$V_{GE}$	genotype x environment interaction
$V_P$	phenotypic variation
$r_G$	genetic correlation
$r_P$	phenotypic correlation
$r_E$	environmental correlation
R	repeatability



<u>Symbol</u>	<u>Meaning</u>
$\text{cov}_A(X_1, X_2)$	additive genetic covariance between traits $X_1$ and $X_2$
$\text{cov}_D(X_1, X_2)$	dominance covariance between traits $X_1$ and $X_2$
$\text{cov}_E(X_1, X_2)$	environmental covariance between traits $X_1$ and $X_2$
$\text{cov}_P(X_1, X_2)$	phenotypic covariance between traits $X_1$ and $X_2$



## GENERAL ASSUMPTIONS

In most of the procedures it will be assumed that the base reference population has an inbreeding coefficient of zero. When inbred lines are crossed and the covariances of the relatives determined, the estimates of the genetic variances refer back to this base generation from which the inbred lines were derived. Other assumptions include:

1. There is no linkage except sex linkages.
2. No interference with segregation and independent assortment, i.e., only mendelian inheritance is acting.
3. Regular diploid inheritance.
4. No selection during the formation of inbred lines (Model II assumptions only).

These points are more extensively developed for self-fertilizing species and for estimating genetic variances within an inbred line by Cockerham (1963).

When determining the genetic meaning of a term, the usual method throughout the manual is to use the general formula for the covariance of relatives (Cockerham, 1963)

$$\text{cov} = \alpha V_A + \delta V_D + \alpha^2 V_{AA} + \alpha \delta V_{AD} + \delta^2 V_{DD} + \alpha^3 V_{AAA} + \dots \text{etc.}$$

for half and full sibs. The  $\alpha$  and  $\delta$  are specified in each design. The variance components obtained in the statistical analysis are defined in terms of the covariance of relatives. Solving the general formula gives the genetic meaning of the variance component.



## REPEATABILITY

When repeated measurements are made on the same trait of an individual it is possible to calculate the repeatability, R.

### I. Equal numbers of measurements per individual

#### A. Statistical Model

$$Y_{km} = \mu + \alpha_k + e_{km}$$

where  $Y_{km}$  is the  $m^{\text{th}}$  measurement on the  $k^{\text{th}}$  individual.

Analysis of Variance Table

<u>Source</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>
Between individuals	N-1	$SS_W$	$MS_W$	$\sigma_e^2 + k_1 \sigma_W^2$
Within individuals between measurements	N (M-1)	$SS_e$	$MS_e$	$\sigma_e^2$

N = number of individuals

M = number of measurements per individual; equal number for each individual

$k_1 = M$

#### B. Genetic Model

Source	$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{EG}$	$V_{ES}$
$\sigma_W^2$	1	1	1	1	1	1	0
$\sigma_e^2$	0	0	0	0	0	0	1

The variance component,  $\sigma_W^2$ , has a value because of differences among individuals while  $\sigma_e^2$  represents the differences among measurements within the individuals. The component  $\sigma_W^2$  estimates all the genetic variance and the portion of the environmental variance peculiar to the individual.

## C. Computations

Analysis of Variance

<u>Source</u>	<u>d.f.</u>	<u>Sum of Squares</u>
Correction term (C.T.)	1	$\frac{Y_{..}^2}{m}$
Individuals	$N - 1$	$\sum_k \frac{Y_{k.}^2}{m_k} - \text{C.T.}$
Measurements	$N (M - 1)$	$\sum_{km} Y_{km}^2 - \sum_k \frac{Y_{k.}^2}{m_k}$

where  $m_k$  is the number of measurements on the  $k^{\text{th}}$  individual

Estimating  $\sigma_e^2$ ,  $\sigma_W^2$  and R

$$\sigma_e^2 = MS_e$$

$$\sigma_W^2 = \frac{MS_W - MS_e}{k_1}$$

$$R = \frac{\sigma_W^2}{\sigma_W^2 + \sigma_e^2} = \text{Repeatability}$$

D. Example: Six turkey females were killed and ten tenderness measurements on the breast muscle per bird were taken. Determine the repeatability of muscle tenderness.

	Female Birds					
	1	2	3	4	5	6
	2.3	2.2	2.1	2.3	2.1	2.0
	2.2	3.1	2.6	2.4	2.0	1.9
	2.2	2.7	2.7	2.1	2.2	2.6
	3.0	2.2	2.0	2.6	2.0	2.5
	2.4	2.5	1.9	2.0	2.0	2.3
	2.8	2.6	1.9	1.7	1.8	2.0
	2.6	2.9	1.8	2.4	2.0	2.5
	2.5	2.3	2.3	2.1	1.7	2.2
	2.2	2.2	2.1	2.5	1.8	2.0
	2.3	3.0	2.2	2.7	1.7	1.7
$Y_{k.}$	24.5	25.7	21.6	22.8	19.3	21.7

$$Y_{..} = 135.6$$

$$Y_{..}^2 = 313.52$$

## Analysis of Variance

<u>Source</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>
Correction term	1	$\frac{(135.6)^2}{60} = 306.45$	---
Individuals	5	$\frac{(24.5)^2 + \dots + (21.7)^2}{10} = 309.05$	0.520
		$309.05 - 306.45 = 2.60$	
Measurements	54	$313.52 - 309.05 = 4.47$	0.082

$$\sigma^2_{\bar{w}} = \frac{0.520 - 0.082}{10} = 0.0438$$

$$R = \frac{0.0438}{0.0438 + 0.082} = 0.348$$

## II. Unequal numbers of measurements per individual.

In the analysis of variance, divide each  $Y_k^2$  by  $m_k$  or the number of measurements taken on the  $k^{\text{th}}$  individual.

The degrees of freedom for  $MS_e$  are the total number of measurements minus the number of individuals.

The coefficient  $k_1$  is 
$$k_1 = \frac{1}{N-1} \left( m. - \frac{\sum m_k^2}{m.} \right)$$

$m.$  = total number of measurements.

### III. Standard error and confidence limits

A. Approximate method for standard error. Normality of repeatability and unequal numbers per group is assumed (Swiger, et al., 1964).

$$S.E. (R) \cong \sqrt{\frac{2(m-1)(1-R)^2 [1 + (k_1-1)R]^2}{k_1^2(m-N)(N-1)}}$$

with equal numbers per group then the quantity under the square root sign is multiplied by  $\frac{m-1}{m}$ .

In the example  $R = 0.348$ . The S.E. (equal nos.) is

$$S.E. (R) \cong \sqrt{\frac{2(60-1)(1-0.348)^2 [1 + (10-1)0.348]^2}{10^2(60-6)(6-1)} \left(\frac{60-1}{60}\right)}$$

$$\cong \sqrt{(0.03171)(0.983)} = \sqrt{0.03117}$$

$$\cong 0.177$$

$$R = 0.348 \pm 0.177$$

B. Confidence limits for repeatability (Bogyo and Becker, 1963). Normality of repeatability does not have to be assumed.

The confidence statement is

$$P[1-K_{\alpha/2} \leq R \leq 1-K_{1-\alpha/2}] = 1 - \alpha$$

$$\text{where } K_r = \frac{k_1 MS_e F_r}{MS_w + MS_e (k_1 - 1) F_r}$$

$F_r$  = tabled values of F distribution

$1 - \alpha$  = level of confidence



In the examples, the 0.95 confidence limits ( $\alpha = 0.05$ ) would be obtained as follows:

The value for  $F_{.025}(5,54)$  is given in the F tables for 5 d.f. in the numerator and 40 d.f. in the denominator.

$$F_{.025} = 2.90$$

The value for  $F_{.975}$  is obtained by reversing the degrees of freedom and taking reciprocal of tabled value. Use 40 d.f. for numerator and 5 d.f. for denominator and take reciprocal.

$$F_{.975} = \frac{1}{6.18} = 0.162$$

Then

$$K_{.025} = \frac{10(0.082)(2.90)}{0.520 + 0.082(10-1)(2.90)} = 0.8939$$

$$K_{.975} = \frac{10(0.082)(0.162)}{0.520 + 0.082(10-1)(0.162)} = 0.2076$$

$$P [1-0.894 \leq 0.348 \leq 1-0.208] = 0.95$$

$$P [0.106 \leq 0.348 \leq 0.792] = 0.95$$

#### IV. Uses of repeatability

##### A. Multiple records

Heritability is usually given in terms of one record per individual. When there is more than one record then the heritability is larger. The factor by which the heritability is multiplied is given in table 1 for up to six records.

##### B. Upper estimate of heritability

The genetic model shows that  $\sigma^2 \frac{2}{W}$  estimates all the genetic variance plus a portion of the environmental variance. Thus R would be an overestimate of heritability.



## ESTIMATION OF VARIANCE COMPONENTS AND HERITABILITY

Variance components from the analysis of variance computations provide estimates of various genetic parameters. The design is usually determined by the reproductive requirements of the species.

## I. One-way layout

## A. Equal numbers of progeny per sire group

This design is useful for uniparous animals with a long generation interval such as cattle. Each sire is mated to several dams and each dam produces one progeny. The measurement is taken on the progeny. The reference population is the population from which the sires and dams were obtained.

## 1. Models

## a. Statistical

Random Model II

$$Y_{ik} = \mu + \alpha_i + e_{ik}$$

where  $Y_{ik}$  is the observation on the  $k^{\text{th}}$  individual from the  $i^{\text{th}}$  sire.

Analysis of Variance Table

<u>Source of variation</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>
Between sires	S-1	SS <sub>S</sub>	MS <sub>S</sub>	$\sigma_W^2 + k\sigma_S^2$
Progeny within sires	n. - S	SS <sub>W</sub>	MS <sub>W</sub>	$\sigma_W^2$

S = number of sires

$n_i$  = number of individuals within the  $i^{\text{th}}$  sire = number of dams mated to the  $i^{\text{th}}$  sire

k =  $n_i$  in expected mean squares

n. = total number of individuals

## b. Genetic

The size of the variance component  $\sigma_S^2$  is due to the fact that the sire groups differ. These groups are made up of half sibs, and therefore, the variance component  $\sigma_S^2$  is equivalent to the covariance among half sibs.

The general formula is:

$$\text{cov} = \alpha V_A + \delta V_D + \alpha^2 V_{AA} + \delta^2 V_{DD} + \alpha \delta V_{AD} + \alpha^3 V_{AAA} + \dots \text{etc.}$$

The coefficients  $\alpha$  and  $\delta$  for covariance of half sibs are:

	$\alpha$	$\delta$
cov <sub>HS</sub>	1/4	0

Substituting the coefficients in the formula

$$\text{cov}_{\text{HS}} = 1/4 V_A + 1/16 V_{AA} + 1/64 V_{AA} + \dots$$

The variance component  $\sigma_W^2$  has the meaning  $\sigma_T^2 - \text{cov}_{\text{HS}}$  where  $\sigma_T^2$  is the total variance.

The two components estimate the following:

Component	Covariance								Hetero- gametic Males		Hetero- gametic Females	
		$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_E$	$\delta\delta$	$\text{qq}$	$\delta\delta$	$\text{qq}$
									$V_L$	$V_L$	$V_L$	$V_L$
$\sigma_S^2$	cov <sub>HS</sub>	1/4	0	1/16	0	0	1/64	0	0	1/2	1/4	1/2
$\sigma_W^2$	$\sigma_T^2 - \text{cov}_{\text{HS}}$	3/4	1	15/16	1	1	63/64	1	1	1/2	3/4	1/2

The variance component  $\sigma_S^2$  estimates 1/4 of the additive genetic variance, 1/16 of the additive x additive genetic variance and various amounts of the sex linkage variance depending upon the sex and species. The variance component  $\sigma_W^2$  estimates the remainder of the genetic variance plus all the environmental variance.

## 2. Computation formulas

<u>Source of variation</u>	<u>Sum of squares</u>	<u>Mean square</u>
Correction Term (C.T.)	$\frac{y_{..}^2}{n}$	--
Between sires	$\sum_i \frac{y_{i.}^2}{n_i} - \text{C.T.}$	$\text{SS}_S / S - 1$
Progeny within sires	$\sum_i \sum_k y_{ik}^2 - \sum \frac{y_{i.}^2}{n_i}$	$\text{SS}_W / n - S$
Estimating $\sigma_S^2$ and $h_S^2$ ,		

$$\sigma_S^2 = \frac{\text{MS}_S - \text{MS}_W}{k}$$

$$h_S^2 = \frac{4 \sigma_S^2}{\sigma_S^2 + \sigma_W^2}$$

## 3. Example:

Five chicken sires were mated to eight dams each and each mating produced one progeny per dam or eight progeny per sire. Eight-week body weights in grams were as follows:

	Sires				
	A	B	C	D	E
	687	618	618	600	717
	691	680	687	657	658
	793	592	763	669	674
	675	683	747	606	611
	700	631	678	718	678
	753	691	737	693	788
	704	694	731	669	650
	717	732	603	648	690
$Y_{i.}$	5720	5321	5564	5260	5466

$$Y_{..} = 27,331 \quad \sum_{ik} y_{ik}^2 = 18,773,473 \quad n. = 40 \quad n_i = k = 8$$

$$\text{Correction term} = \frac{(27,331)^2}{40} = 18,674,589$$

$$\text{Between sires} = \frac{(5720)^2}{8} + \frac{(5321)^2}{8} + \frac{(5564)^2}{8} + \frac{(5466)^2}{8} = 18,691,786$$

With equal numbers of progeny/sire it is easier to calculate the between sires uncorrected sum of squares as follows:

$$\frac{(5720)^2 + (5321)^2 + (5564)^2 + (5260)^2 + (5466)^2}{8}$$

With unequal numbers per sire, each term has to be calculated separately because the denominators will differ from family to family.

$$SS_S = 18,691,786 - 18,674,589 = 17,197$$

$$SS_W = 18,773,473 - 18,691,786 = 81,687$$

Analysis of Variance Table

	<u>d.f.</u>	<u>S.S.</u>	<u>Mean Square</u>
Between sires	(5-1)=4	17,197	4,299
Progeny within sires	(40-5)=35	81,687	2,334

$$\sigma_S^2 = \frac{4,299 - 2,334}{8} = 246$$

$$h_S^2 = \frac{(4) 246}{246 + 2,334} = 4 \times 0.095 = 0.38$$

B. Unequal numbers of progeny per sire

1. Coefficient, k.

The analysis of variance is computed as above except that the coefficient k has to be calculated by the formula

$$k = \frac{1}{S-1} \left( n. - \frac{\sum n_i^2}{n.} \right)$$

Example: Five sires were each mated to eight dams but not all dams produced a progeny.

<u>Sire</u>	<u>No. Progeny</u>
A	8
B	4
C	5
D	6
E	8

The coefficient, k, is

$$k = \frac{1}{4} \left( 31 - \frac{8^2 + 4^2 + 5^2 + 6^2 + 8^2}{31} \right) = 6.10$$

## 2. Standard error and confidence limits to heritability

- a. Approximate method for standard error. (Swiger et al., 1964)  
Normality of the intraclass correlation,  $t$ , is assumed.

$$S. E. (h_S^2) = 4 \sqrt{\frac{2(n-1) (1-t)^2 [1 + (k-1)t]^2}{k^2 (n-s) (s-1)}}$$

where  $t$  is the intra-class correlation

$$t = \frac{\sigma_S^2}{\sigma_S^2 + \sigma_W^2}$$

This method also applies to equal numbers per sire in which case the quantity under the square root sign is multiplied by  $\frac{n-1}{n}$ .

In the example

$$t = \frac{246}{246 + 2,334} = 0.095$$

$$\begin{aligned} S. E. (h_S^2) &= 4 \sqrt{\frac{2 (40-1) (1-0.095)^2 [1 + (8-1) 0.095]^2}{8^2 (40-5) (5-1)}} \\ &= 4 \sqrt{0.01976} = 0.562 \end{aligned}$$

This would be the solution if the example had unequal numbers. With equal numbers it is

$$\begin{aligned} &4 \sqrt{(0.01976) \frac{(40-1)}{40}} = 4 \sqrt{0.01927} \\ &= 4 (0.139) \\ &= 0.556 \end{aligned}$$

$$\text{So } h_S^2 = 6.38 \pm 0.56$$

Thus, with equal numbers the standard error will be lower than with unequal numbers per sire.

## 3. Confidence limits on heritability estimate

An exact method for determining confidence limits when there are equal numbers per sire is given by Bogyo and Becker (1963). This method is approximate when there are unequal numbers and the coefficient,  $k$ , is used. Normality of the interclass correlation is not required.

The confidence statement is

$$P [4 (1-K_{\alpha/2}) \leq h^2 \leq 4 (1-K_{1-\alpha/2})] = 1 - \alpha$$

where

$$K_r = \frac{k MS_W F_r}{MS_S + MS_W (k-1) F_r}$$

$F_r$  = tabled values of the F distribution

$1 - \alpha$  = level of confidence

In the example, the .95 confidence limits ( $\alpha = 0.05$ ) would be obtained as follows:

The nearest value for  $F_{.025} (4, 35)$  in the usual tables is given for 4 d.f. in the numerator and 30 d.f. in the denominator. Therefore,

$$F_{.025} = 3.25$$

The value for  $F_{.975}$  is obtained by reversing the degrees of freedom and taking the reciprocal of the tabled value. Using 30 d.f. for the numerator d.f. because 35 d.f. is not given and 4 d.f. for the denominator d.f. and taking the reciprocal

$$F_{.975} = \frac{1}{8.46} = 0.118$$

Then

$$K_{.025} = \frac{8 (2,334) (3.25)}{4,299 + 2,334 (8-1)(3.25)} = 1.05735$$

$$K_{.975} = \frac{8 (2,334) (0.118)}{4,299 + 2,334 (8-1)(0.118)} = 0.3538$$

$$P [4(1-1.573) \leq 0.38 \leq 4(1-0.3538)] = 0.95$$

$$P [-0.229 \leq 0.38 \leq 2.58] = 0.95$$

The standard error is large and the confidence limits are wide because of the small number of sires used in this example.

#### C. Single pair matings

This design can be utilized with multiparous animals such as mice, rats or swine, and also animals that produce large amounts of eggs in a short time (Coturnix quail, chickens, turkeys, Drosophila and Tribolium). Plants can be mated to fit this design.



Pairs of individuals are chosen at random from the reference population and mated together. Each mating produces several offspring.

## 1. Models

### a. Statistical

#### Random Model II

$$Y_{ik} = u + \alpha_i + e_{ik}$$

where  $Y_{ik}$  is the observation on the  $k^{\text{th}}$  individual from the  $i^{\text{th}}$  mating.

#### Analysis of Variance Table

<u>Source of variation</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>
Between matings	S-1	$SS_S$	$MS_S$	$\sigma_W^2 + k \sigma_S^2$
Progeny within matings	n.-S	$SS_W$	$MS_W$	$\sigma_W^2$

S = number of matings

$n_i$  = number of individuals within the  $i^{\text{th}}$  mating

k =  $n_i$  in expected mean squares

n. = total number of individuals

### b. Genetic

The variance component,  $\sigma_S^2$ , is due to the fact that the mating groups differ. The members of these groups are composed of full sibs and therefore the component is equivalent to covariance of full sibs. The coefficients  $\alpha$  and  $\delta$  for covariance of full sibs are:

	$\alpha$	$\delta$
$\text{cov}_{FS}$	$2/4$	$1/4$

Substituting the coefficients in the general formula

$$\text{cov}_{FS} = 2/4V_A + 1/4V_D + 1/4V_{AA} + 1/16V_{DD} + 2/16V_{AD} + 2/16V_{AAA} + \dots \text{etc.}$$

The component  $\sigma_W^2$  has the meaning  $\sigma_T^2 - \text{cov}_{FS}$ .

The two components estimate

Component	Covariance	$V_A$	$V_D$	$V_{AA}$	$V_{DD}$	$V_{AD}$	$V_{AAA}$	$V_M$	$V_E$
$\sigma_S^2$	$\text{cov}_{FS}$	2/4	1/4	1/4	1/16	2/16	2/16	1	0
$\sigma_W^2$	$\sigma_T^2 - \text{cov}_{FS}$	2/4	3/4	3/4	15/16	4/16	4/16	0	1

The variance component  $\sigma_S^2$  estimates 1/2 of the additive genetic variance, 1/4 of the dominance variance and various amounts of the epistatic variance.

The component  $\sigma_W^2$  estimates the remainder of the genetic variance plus the environmental variance.

## 2. Computation formulas

The analysis of variance formulas are the same as on page 8 for both equal and unequal numbers of progeny per mating.

The heritability is 
$$h^2 = \frac{2 \sigma_S^2}{\sigma_S^2 + \sigma_W^2}$$

The numerator contains an estimate of 1/2 the dominance variance and all the maternal effects variance. If the dominance and maternal effects variance equals zero then this heritability will be the same as the previous heritability except for additive interactions.

The standard error is

$$\text{S.E. } (h^2) = 2 \sqrt{\frac{2(n-1)(1-t)^2 [1 + (k-1)t]^2}{k^2(n-S)(S-1)}}$$

for unequal numbers. With equal numbers multiply quantity under the square root sign by  $\frac{n-1}{n}$ .

The confidence limits are

$$P [2(1-K_{\alpha/2}) \leq h^2 \leq 2(1-K_{1-\alpha/2})] = 1 - \alpha$$

## II. Nested or hierarchal design

In this design each sire (paternal plant) is mated with several dams (maternal plants) and each mating produces several progeny.

The reference population is a non-inbred random mating population. Non-related animals or plants can be taken at random from the population and mated (inbreeding coefficient  $F = 0$ ). Inbred lines can be formed from this population with no selection among or within the lines. The lines are crossed (paternal lines x maternal lines) and the estimates of parameters refer to the base non-inbred population.

### A. Animals

The design given here assumes that the individuals are chosen at random from the reference population and that the inbreeding coefficient is zero.

#### 1. Statistical model

$$Y_{ijk} = \mu + \alpha_i + \beta_{ij} + e_{ijk}$$

where  $Y_{ijk}$  is the record of the  $k^{\text{th}}$  progeny of the  $j^{\text{th}}$  dam mated to the  $i^{\text{th}}$  sire;  $\mu$  is the common mean;  $\alpha_i$  = effect of the  $i^{\text{th}}$  sire;  $\beta_{ij}$  is the effect of the  $j^{\text{th}}$  dam mated to the  $i^{\text{th}}$  sire and  $e_{ijk}$  = the uncontrolled environmental and genetic deviations attributable to the individuals.

#### Analysis of Variance Table

<u>Source of variation</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>
Between sires	S-1	$SS_S$	$MS_S$	$\sigma_W^2 + k_2\sigma_D^2 + k_3\sigma_S^2$
Between dams within sires	D-S	$SS_D$	$MS_D$	$\sigma_W^2 + k_1\sigma_D^2$
Progeny within dams	n..-D	$SS_W$	$MS_W$	$\sigma_W^2$

S = number of sires  
 D = total number of dams  
 n.. = total number of progeny

With equal numbers of dams/sire and progeny/dam

$k_1 = k_2 =$  number of progeny per dam

$k_3 =$  number of progeny per sire

With unequal numbers of dams per sire and unequal numbers of progeny per dam the coefficients  $k_1$ ,  $k_2$ , and  $k_3$  have to be computed differently.

2. Genetic model

The component,  $\sigma_S^2$ , is due to the sire groups being different. These groups consist of half sibs so  $\sigma_S^2$  is the covariance of half sibs.

The component  $\sigma_D^2$  is derived from the differences between dam groups. These groups are composed of full sibs so that  $\sigma_D^2$  is the covariance of full sibs minus the covariance of sires half sib groups because the sire effect is removed in the analysis of variance. Thus,  $\sigma_D^2 = \text{cov}_{FS} - \text{cov}_{HS}$ .

The coefficients  $\alpha$  and  $\delta$  are:

	$\alpha$	$\delta$
$\text{cov}_{HS}$	1/4	0
$\text{cov}_{FS}$	2/4	1/4

The covariance of half sibs estimates

$$\text{cov}_{HS} = 1/4V_A + 1/16V_{AA} + 1/64V_{AA} + \dots$$

The covariance of full sibs estimates

$$\text{cov}_{FS} = 2/4V_A + 1/4V_D + 1/4V_{AA} + 1/8V_{AD} + 1/16V_{DD} + 1/8V_{AAA} + \dots$$

The component  $\sigma_W^2$  contains the remainder of the genetic variance and the environmental variance.

The genetic and environmental interpretation of the components is given in the table (F = 0).

Components	Covariances	$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_M$	$V_E$	Heterogametic			
										Males		Females	
										$\sigma\sigma$ $V_L$	$\sigma\sigma$ $V_L$	$\sigma\sigma$ $V_L$	$\sigma\sigma$ $V_L$
$\sigma_S^2$	$\text{cov}_{HS}$	1/4	0	1/16	0	0	1/64	0	0	0	1/2	1/4	1/2
$\sigma_D^2$	$\text{cov}_{FS} - \text{cov}_{HS}$	1/4	1/4	3/16	1/8	1/16	7/64	1	0	1/2	1/4	1/2	0
$\sigma_W^2$	$\sigma_T^2 - \text{cov}_{FS}$	1/2	3/4	3/4	7/8	15/16	7/8	0	1	1/2	1/4	1/4	1/2
$\sigma_S^2 + \sigma_D^2$	$\text{cov}_{FS}$	1/2	1/4	1/4	1/8	1/16	1/8	1	0	1/2	3/4	3/4	1/2

Note that  $\sigma_D^2$  contains all the maternal effects variance,  $V_M$ , an important element of traits measured early in the life of animals.

## 3. Computational formulas

<u>Source of variation</u>	<u>Sum of squares</u>	<u>Mean square</u>
Correction term (C.T.)	$\frac{Y^2_{...}}{n..}$	
Between sires	$\sum_i \frac{Y^2_{i..}}{n_i} - \text{C.T.}$	$SS_S / S-1$
Between dams	$\sum_i \sum_j \frac{Y^2_{ij.}}{n_{ij}} - \sum_i \frac{Y^2_{i..}}{n_i}$	$SS_D / D-S$
Progeny within dams	$\sum_i \sum_j \sum_k Y^2_{ijk} - \sum_i \sum_j \frac{Y^2_{ij.}}{n_{ij}}$	$SS_W / n...-D$

Estimating variance components:

$$\sigma_W^2 = MS_W$$

$$\sigma_D^2 = \frac{MS_D - MS_W}{k_1}$$

$$\sigma_S^2 = \frac{MS_S - (MS_W + k_2 \sigma_D^2)}{k_3}$$

With equal numbers,  $k_1 = k_2$  and

$$\sigma_S^2 = \frac{MS_S - MS_D}{k_3}$$

With unequal numbers the coefficients are calculated as follows:

$$k_1 = \frac{n.. - \sum_i \frac{\sum_j n_{ij}^2}{n_i}}{D-S \text{ or d.f. for dams}}$$

$$k_2 = \frac{\sum_i \sum_j \frac{n_{ij}^2}{n_i} - \sum_i \sum_j \frac{n_{ij}^2}{n..}}{S-1 \text{ or d.f. for sires}}$$

$$k_3 = \frac{n_{..} - \frac{\sum_i n_{i.}^2}{n_{..}}}{S-1}$$

Standard errors of components

The general formula is (Anderson and Bancroft, 1952)

$$S. E. \approx \sqrt{\frac{2}{k^2} \frac{\sum MS_g^2}{f_g + 2}} = \sqrt{\text{var}(\sigma_g^2)}$$

where  $k$  = coefficient of the variance component being estimated,  
 $MS_g$  = the  $g^{\text{th}}$  mean square used to estimate the variance component,  
 and  $f_g$  = the degrees of freedom of the  $g^{\text{th}}$  mean square.

$$S.E. (\sigma_S^2) \approx \sqrt{\frac{2}{k_3^2} \left( \frac{MS_S^2}{S+1} + \frac{MS_D^2}{D-S+2} \right)}$$

Heritabilities

$$h_S^2 = \frac{4 \sigma_S^2}{\sigma_S^2 + \sigma_D^2 + \sigma_W^2}$$

$$h_D^2 = \frac{4 \sigma_D^2}{\sigma_S^2 + \sigma_D^2 + \sigma_W^2}$$

This estimate of heritability contains four times the maternal effects and all dominance variance in its numerator.

$$h_{S+D}^2 = \frac{2(\sigma_S^2 + \sigma_D^2)}{\sigma_S^2 + \sigma_D^2 + \sigma_W^2}$$

This estimate, based upon full sibs, contains two times the maternal effects and one-half the dominance variance.

Standard errors of heritabilities (modified from Dickerson, 1960)

$$\text{S.E. } (h_S^2) \cong \frac{\sqrt{\text{var}(\sigma_S^2)}}{\sigma_S^2 + \sigma_D^2 + \sigma_W^2}$$

$$\text{S.E. } (h_D^2) \cong \frac{\sqrt{\text{var}(\sigma_D^2)}}{\sigma_S^2 + \sigma_D^2 + \sigma_W^2}$$

$$\text{S.E. } (h_{S+D}^2) \cong \frac{\sqrt{\text{var}(\sigma_S^2) + \text{var}(\sigma_D^2) + 2 \text{cov}(\sigma_S^2, \sigma_D^2)}}{\sigma_S^2 + \sigma_D^2 + \sigma_W^2}$$

$$\text{where } \text{cov}(\sigma_S^2, \sigma_D^2) = -\frac{k_2}{k_3} \left( \text{var}(\sigma_D^2) - \frac{2 MS_W^2}{k_1^2 (n_{..} - D + 2)} \right)$$

4. Example. From a large non-inbred population of White Rock chickens, five sires and fifteen dams were chosen at random and mated, one sire to three dams. Each dam produced three female progeny. The 8-week body weights of these progeny were recorded to the nearest gram. What are the estimates of heritability for this population?

Sires	Dams	Progeny weight			$Y_{ij}$	$Y_{i..}$
A	1	965	813	765	2543	6802
	2	803	640	714	2157	
	3	644	753	705	2102	
B	4	740	798	941	2479	7498
	5	701	847	909	2457	
	6	909	800	853	2562	
C	7	696	807	800	2303	6971
	8	752	863	739	2354	
	9	686	832	796	2314	
D	10	979	798	788	2565	7403
	11	905	880	770	2555	
	12	797	721	765	2283	
E	13	809	756	775	2340	<u>7707</u>
	14	887	935	937	2759	
	15	872	811	925	2608	
					$Y_{...} = 36,381$	

$$\sum_i \sum_j \sum_k Y_{ijk}^2 = 29,729,879$$

$n_{..}$  = total number of progeny = 45

$n_{i.}$  = number of progeny per sire = 9

$n_{ij}$  = number of progeny per dam = 3

$S$  = number of sires = 5

$D$  = number of dams = 15



Analysis of Variance

Source of Variation

$$\text{Correction term (C.T.) } \frac{(36,381)^2}{45} = 29,412,825$$

$$\text{Between Sires } \frac{(6802)^2 + (7498)^2 + (6971)^2 + (7403)^2 + (7707)^2}{9} = 29,476,034$$

With unequal numbers each squared sum would be divided by the number of progeny within the group.

$$SS_S = 29,476,034 - 29,412,825 = 63,209$$

$$\text{Between Dams } \frac{(2543)^2 + (2157)^2 + \dots + (2608)^2}{3} = 29,564,147$$

$$SS_D = 29,564,147 - 29,476,034 = 88,113$$

$$\text{Progeny within dams, } SS_W = 29,729,879 - 29,564,147 = 165,732$$

<u>Source</u>	<u>d.f.</u>	<u>Sum of Squares</u>	<u>Mean Squares</u>
Sires	(5 - 1) = 4	63,209	15,802
Dams	(15 - 5) = 10	88,113	8,811
Progeny	(45 - 15) = 30	165,632	5,524

$$\sigma_W^2 = 5,524$$

$$\sigma_D^2 = \frac{8,811 - 5,524}{3} = 1,095$$

$$\text{S.E. } (\sigma_D^2) = \sqrt{\frac{2}{3^2} \left[ \frac{(8,811)^2}{10 + 2} + \frac{(5,524)^2}{30 + 2} \right]} = 1,284$$

$$\sigma_S^2 = \frac{15,802 - 8,811}{9} = 776$$

$$\text{S.E. } (\sigma_S^2) = \sqrt{\frac{2}{9^2} \left[ \frac{(15,802)^2}{4 + 2} + \frac{(8,811)^2}{10 + 2} \right]} = 1,090$$

Estimating heritability

$$h_S^2 = \frac{4(776)}{776 + 1,095 + 5,524} = \frac{3,104}{7,395} = 0.42$$

$$\text{S.E. } (h_S^2) = \frac{4(1,090)}{7,395} = 0.58$$

$$h_D^2 = \frac{4(1,095)}{7,395} = 0.59$$

$$\text{S.E. } (h_D^2) = \frac{4(1,284)}{7,395} = 0.69$$

This estimate of heritability is larger than  $h_S^2$  possibly because of maternal effects.

$$h_{(S+D)}^2 = \frac{2(776 + 1,095)}{7,395} = 0.51$$

$$\text{S.E. } (h_{S+D}^2) = \frac{2 \sqrt{1,188,100 + 1,648,656 - 478,916}}{7,395} = 0.49$$

$$\text{where cov } (\sigma_S^2, \sigma_D^2) = -\frac{3}{9} \left[ 1,648,656 - \frac{2(5,524)^2}{3^2(45 - 15 + 2)} \right]$$

This heritability estimate contains lesser amounts of maternal effects and dominance variance than  $h_D^2$ .

The standard errors are high because of the small numbers of sires and dams used in this example.

Unequal numbers

With unequal numbers of dams per sire and progeny per dam the coefficients have to be determined by previously given formulas.

Example. Each of five sires were mated to differing numbers of dams and the dams produced unequal numbers of female progeny.

Number	Sires				
	A	B	C	D	E
Of dams	3	5	4	2	5
Of progeny per dam ( $n_{ij}$ )	5,4,6	4,10, 6,7,9	7,5, 4,1	8,6	1,5,3, 6,4
Of progeny per sire ( $n_i$ )	15	36	17	14	19

$$n_{..} = 101$$

$$k_1 = \frac{101 - \left( \frac{5^2 + 4^2 + 6^2}{15} + \frac{4^2 + 10^2 + 6^2 + 7^2 + 9^2}{36} + \frac{7^2 + 5^2 + 4^2 + 1^2}{17} + \frac{8^2 + 6^2}{14} + \frac{1^2 + 5^2 + 3^2 + 6^2 + 4^2}{19} \right)}{14} = \frac{101 - 30.04}{14} = 5.07$$

$$k_2 = \frac{30.04 - \frac{5^2 + 4^2 + 6^2 + 4^2 + 10^2 + \dots + 4^2}{101}}{4} = \frac{30.04 - \frac{637}{101}}{4} = 5.933$$

$$k_3 = \frac{101 - \frac{15^2 + 36^2 + 17^2 + 14^2 + 19^2}{101}}{4} = \frac{101 - 23.435}{4} = 19.391$$

$\sigma_W^2$  and  $\sigma_D^2$  are estimated as before but because  $k_1 \neq k_2$  the estimate of  $\sigma_S^2$  is obtained as follows:

$$\sigma_S^2 = \frac{MS_S - MS_W - \frac{k_2}{k_1} (MS_D - MS_W)}{k_3}$$

The calculations for heritabilities and their standard errors are the same as before.

Other effects such as years, hatches or farms may be superimposed upon the preceding model. Refer to King and Henderson (1954) for a worked example.

## B. Plants

The mating design is as before with animals except that the full sib progeny are planted in plots within blocks (replicates). Comstock and Robinson (1952) present this design as Experiment I. The replicates are considered fixed and there is only one plot per mating within a replicate. Crosses among inbred lines are also included in this design.

## 1. Statistical model

The formula is:

$$Z_{hij} = \mu + \alpha_i + \beta_{ij} + \delta_h + e_{hij}$$

where  $Z_{hij}$  is the plot mean within the  $h^{\text{th}}$  replicate of the  $i^{\text{th}}$  paternal plant (or inbred line) and the  $j^{\text{th}}$  maternal plant (or inbred line).  $\mu$  is common to all observations,  $\alpha_i$  is the effect of the  $i^{\text{th}}$  paternal plant (line),  $\beta_{ij}$  is the effect of the  $j^{\text{th}}$  maternal plant (line) mated to the  $i^{\text{th}}$  paternal plant (line),  $\delta_h$  is the effect of the  $h^{\text{th}}$  replication, and  $e_{hij}$  is the environmental and remainder of genetic variance among plots.

Missing plots can be estimated by the method described by Snedecor (1956 pp. 310).

Analysis of Variance of Plot Means - 1

<u>Source of Variation</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>
Replicates	R-1	SS <sub>R</sub>	MS <sub>R</sub>	
Paternal plants	S-1	SS <sub>S</sub> <sup>R</sup>	MS <sub>S</sub> <sup>R</sup>	$\sigma_c^2 + n_k \sigma_W^2 + k_2 \sigma_D^2 + k_3 \sigma_S^2$
Maternal within paternal plots	S(d-1)	SS <sub>D</sub>	MS <sub>D</sub>	$\sigma_c^2 + n_k \sigma_W^2 + k_1 \sigma_D^2$
Paternal-maternal crosses x replicate	(Sd-1)(R-1)	SS <sub>I</sub>	MS <sub>I</sub>	$\sigma_c^2 + n_k \sigma_W^2$

R = number of replicates

S = number of paternal plants (lines)

d = number maternal plants (lines) per paternal plant (line)

$k_1 = k_2$  = number of plots per maternal plant (line)

$k_3$  = number of plots per paternal plant (line)

$n_k = \frac{1}{RSd} \sum_h \sum_i \sum_j \frac{1}{n_{hij}}$  = reciprocal of the harmonic mean of the individuals per plot

where  $n_{hij}$  = the number of full sibs in the  $h^{\text{th}}$  replicate from the  $i^{\text{th}}$  paternal plant (line) crossed with the  $j^{\text{th}}$  maternal plant (line).

Because of unequal numbers of progeny per plot an estimate of the individual variance within plots is obtained using the individual observations.

The formula is:

$$Y_{fk} = \mu + P_f + e_{fk}$$

where  $Y_{fk}$  = the individual observation on the  $k^{\text{th}}$  individual of the  $f^{\text{th}}$  plot,  $\mu$  is the grand mean,  $P$  is the effect of the  $f^{\text{th}}$  plot and  $e_{fk}$  is the environmental and genetic variance among full sibs within plots.

Analysis of Variance of Individuals - 2

<u>Source of Variations</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>
Between plots	Rsd-1	SS	--	--
Between full sibs within plots	n.. - Rsd	SS <sub>W</sub>	MS <sub>W</sub>	$\sigma_W^2$

n.. = total number of individuals

See Kempthorne (1957, pp. 459)

## 2. Genetic Model

This model is similar to that given for animals. The variance component,  $\sigma_S^2$ , is due to the half sib groups being different among paternal plants so this component is the covariance of half sibs.

The component,  $\sigma_D^2$ , is obtained from differences between maternal plants so that  $\sigma_D^2$  is covariance of full sibs minus the covariance of half sibs (paternal plant groups). Then

$$\sigma_D^2 = \text{cov}_{FS} - \text{cov}_{HS}$$

If single plants are used from a non-inbred base population ( $F=0$ ) or a single plant represents each inbred line so that there is a set of paternal inbred lines and a set of maternal inbred lines then the coefficients  $\alpha$  and  $\delta$  are:

Covariance	$\alpha$	$\delta$
$\text{cov}_{HS}$	$\frac{1 + F_S}{4}$	0
$\text{cov}_{FS}$	$\frac{2 + F_S + F_D}{4}$	$\frac{(1 + F_S)(1 + F_D)}{4}$

where  $F_S$  = the inbreeding coefficient of the paternal lines, each line having the same inbreeding coefficient and  $F_D$  = the inbreeding coefficient of the maternal lines. If the inbreeding differs among lines within a set an average coefficient may be used although this introduces some inaccuracies in the estimation of the genetic variances.

The covariance of half sibs estimates

$$\text{cov}_{\text{HS}} = \frac{1 + F_S}{4} V_A + \left( \frac{1 + F_S}{4} \right)^2 V_{AA} + \text{-----}$$

The covariance of full sibs estimates

$$\begin{aligned} \text{cov}_{\text{FS}} = & \frac{2 + F_S + F_D}{4} V_A + \frac{(1 + F_S)(1 + F_D)}{4} V_D + \left( \frac{2 + F_S + F_D}{4} \right)^2 V_{AA} + \\ & \left( \frac{2 + F_S + F_D}{4} \right) \frac{(1 + F_S)(1 + F_D)}{4} V_{AD} + \left[ \frac{(1 + F_S)(1 + F_D)}{4} \right]^2 V_D + \end{aligned}$$

.....

The variance components estimate

$$\sigma_S^2 = \text{cov}_{\text{HS}}$$

$$\sigma_D^2 = \text{cov}_{\text{FS}} - \text{cov}_{\text{HS}}$$

$$\sigma_W^2 = \sigma_T^2 - \text{cov}_{\text{FS}}$$

If bulked pollen from at least six plants is obtained from each paternal line and used to fertilize at least six plants in each maternal line then the analysis can be carried out on the plot means or totals. Plants such as cereals require this type of analysis.

Only analysis of variance of plot means - 1 is used and the source of variation refers to lines rather than plants. The variance component  $\sigma_S^2$  refers to differences between lines with a paternal line in common crossed to maternal lines. Thus, there is no reference to half or full sibs but to one line in common A-, A- and two lines in common AB, AB. (See Cockerham, 1961).

The coefficients for the general formula are:

Covariances	$\alpha$	$\delta$
$\text{cov}_{A-, A-}$	$F/2$	0
$\text{cov}_{AB, AB}$	$F$	$F^2$

It is assumed throughout that the inbreeding of the maternal and paternal lines are the same.

With  $F = 1$  in both paternal and maternal inbred lines the genetic meaning is:

Components	Covariances	$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_M$	$V_E$
$\sigma_S^2$	$\text{cov}_{A^-,A^-}$	1/2	0	1/4	0	0	1/8	0	0
$\sigma_D^2$	$\text{cov}_{AB,AB} - \text{cov}_{A^-,A^-}$	1/2	1	3/4	1	1	7/8	1	0
$\sigma_W^2$	$\sigma_T^2 - \text{cov}_{AB,AB}$	0	0	0	0	0	0	0	1
$\sigma_D^2 + \sigma_S^2$	$\text{cov}_{AB,AB}$	1	1	1	1	1	1	1	0

Here the covariance of full sibs estimates all the genetic variance. Note that genetic meaning is the same when single plants are used if  $F = 1$ .

### 3. Computational formulas

The symbol  $Z$  designates the plot mean.

#### Analysis of Variance of Plot Means -2

<u>Source of Variation</u>	<u>Sum of Squares</u>	<u>Mean Squares</u>
Correction term (C.T.)	$\frac{Z^2 \dots}{RSd}$	
Replications	$\sum_h \frac{Z_{h..}^2}{Sd} - \text{C.T.}$	$SS_{R/R-1}$
Paternal plants	$\sum_i \frac{Z_{.i.}^2}{Rd} - \text{C.T.}$	$SS_{S/S-1}$
Maternal plants within paternal plants	$\sum_j \frac{Z_{..j}^2}{RS} - \sum_i \frac{Z_{.i.}^2}{Rd}$	$SS_{D/S(d-1)}$
Paternal-maternal crosses x replicates	$\sum_{hij} Z_{hij}^2 - \sum_j \frac{Z_{..j}^2}{RS} - \sum_h \frac{Z_{h..}^2}{Sd} + \text{C.T.}$	$SS_{I/(Sd-1)(R-1)}$

In computing with individual plants  $Y$  is the symbol.

#### Analysis of Variance of Individual Plants -2

<u>Source of Variation</u>	<u>Sum of Squares</u>	<u>Mean Squares</u>
Between plots	$\sum_f \frac{Y_{f.}^2}{n_f} - \text{C.T.}$	
Within plots	$\sum_{fk} Y_{fk}^2 - \sum_f \frac{Y_{f.}^2}{n_f}$	$SS_W/n_{..} - Rds$

Estimating variance components

$$\sigma_S^2 = \frac{MS_S - MS_D}{k_3}$$

$$\sigma_D^2 = \frac{MS_D - MS_I}{k_1}$$

$$\sigma_W^2 = MS_W$$

$$\sigma_c^2 = MS_I - n_k MS_W$$

Standard error of the components

The general formula is the same as given on page 17.

$$S.E. \approx \sqrt{\frac{2}{k^2} \frac{\sum MS_g^2}{f_g + 2}}$$

where  $k$  = coefficient of variance component,  $MS_g = g^{\text{th}}$  mean square,  $f_g$  = degrees of freedom of the  $g^{\text{th}}$  mean square.

Heritabilities

Heritabilities of an individual plant are not too useful because most plants are not selected on the basis of their individual performance. Certain exceptions should be noted e.g. trees. The concept of the heritability of a selection unit (Hanson, 1963) is used as a practical measure. Here the numerator is the additive genetic variance appropriate to the unit and the denominator is the phenotypic variance of the unit. See also Namkoong, et al. (1966); Squillace, et al. (1967).



### III. Factorial design

This design can be used with both plants and animals. Each sire (paternal plant) is mated with every dam (maternal plant) and each mating produces several progeny.

The reference population is large, non-inbred, and random-mated. Non-related animals can be taken at random from the population and mated ( $F = 0$ ).

Inbred lines can be obtained from the reference base population and mated together to obtain estimates of genetic parameters that refer back to the base population.

#### A. Animals

The main difficulty with animals is that in most species the female cannot be mated to several males simultaneously. Therefore, it is necessary to shift males from one dam to another introducing a time element and some uncertainty concerning the sire of later progeny because of residual fertility. With most marine animals this problem is overcome because the female produces large amounts of non-fertilized eggs that can be apportioned and then fertilized by several sires.

Because a sire can be mated only to a certain number of dams the concept of a "set" will be used. The mating plan for one set of sires and dams is given below. Shifts will be considered random. A number of sets constitute the experiment.

Sires	Dams					
	1	2	3	4	5	6
1	X <sub>I</sub>	X <sub>I</sub>	X <sub>II</sub>	X <sub>II</sub>	X <sub>III</sub>	X <sub>III</sub>
2	X <sub>II</sub>	X <sub>II</sub>	X <sub>III</sub>	X <sub>III</sub>	X <sub>I</sub>	X <sub>I</sub>
3	X <sub>III</sub>	X <sub>III</sub>	X <sub>I</sub>	X <sub>I</sub>	X <sub>II</sub>	X <sub>II</sub>

Thus sire 1 is mated to dams 1 and 2 in shift I, to dams 3 and 4 in shift II and to dams 5 and 6 in shift III. The above pattern constitutes a set. It is also possible to use two sires per set reducing the number of shifts and decreasing the time element.

It will be assumed that there are no zero subclasses, i.e. all matings produce measureable progeny, that each set has the same number of sires and dams as every other set and that there are unequal numbers of full sib progeny per mating.

Two analyses will be made. One analysis uses the mean of the full sib progeny of each subclass and the other analysis obtains the full sib variation using the individual progeny's records.

## 1. Statistical model

The formula for this model incorporating both analyses is

$$Y_{ijklm} = \mu + \alpha_{i1} + \beta_{j1} + \delta_{1m} + Y_1 + (\alpha\beta)_{ij1} + (\alpha\delta)_{ilm} + (\beta\delta)_{jlm} + e_{ijklm}$$

where  $Y_{ijklm}$  is the observation on the  $k^{\text{th}}$  individual from a mating of the  $i^{\text{th}}$  sire with the  $j^{\text{th}}$  dam in the  $m^{\text{th}}$  shift in the  $l^{\text{th}}$  set.  $\mu$  is the common mean;  $\alpha_{i1}$  is the effect of the  $i^{\text{th}}$  sire in the  $l^{\text{th}}$  set;  $\beta_{j1}$  is the effect of the  $j^{\text{th}}$  dam in the  $l^{\text{th}}$  set;  $\delta_{1m}$  is the effect of the  $m^{\text{th}}$  shift of the  $l^{\text{th}}$  set;  $Y_1$  is the effect of the  $l^{\text{th}}$  set;  $(\alpha\beta)_{ij1}$  is the interaction of the  $i^{\text{th}}$  sire mated to the  $j^{\text{th}}$  dam in the  $l^{\text{th}}$  set;  $(\alpha\delta)_{ilm}$  is effect of  $i^{\text{th}}$  sire x  $m^{\text{th}}$  shift interaction in the  $l^{\text{th}}$  set;  $(\beta\delta)_{jlm}$  is the  $j^{\text{th}}$  dam x  $m^{\text{th}}$  shift interaction in the  $l^{\text{th}}$  set;  $e_{ijklm}$  is the environmental and remainder of the genetic deviations.

$i = 1, 2, \dots, I$  sires

$j = 1, 2, \dots, J$  dams

$m = 1, 2, \dots, M$  shifts. There is the same number of shifts as sires in a set.

$l = 1, 2, \dots, L$  sets

The first analysis uses the means of full sib families.

Analysis of Variance - 1

Using means of full-sib progeny

<u>Source</u>	<u>d.f.</u>	<u>M.S.</u>	<u>EMS</u>
Sets	$L - 1$	$MS_L$	
Sires w/sets	$L(I - 1)$	$MS_S$	$n_k \sigma_W^2 + \sigma_{SD}^2 + k_6 \sigma_{SM}^2 + \sigma_{DM}^2 + k_7 \sigma_S^2$
Dams w/sets	$L(J - 1)$	$MS_D$	$n_k \sigma_W^2 + \sigma_{SD}^2 + k_4 \sigma_{SM}^2 + \sigma_{DM}^2 + k_5 \sigma_D^2$
Shifts w/sets	$L(I - 1)$	$MS_M$	$n_k \sigma_W^2 + \sigma_{SD}^2 + k_2 \sigma_{SM}^2 + \sigma_{DM}^2 + k_3 \sigma_M^2$
Residual w/sets	$L(I - 1)$ $(J - 2)$	$MS_I$	$n_k \sigma_W^2 + \sigma_{SD}^2 + k_1 \sigma_{SM}^2 + \sigma_{DM}^2$

$$k_1 = J(I - 2) / I(J - 2)$$

$$k_2 = k_6 = J / I$$

$$k_3 = k_7 = J$$

$$k_4 = J(I - 1) / I(J - 1)$$

$$k_5 = I$$

$$n_k = \frac{1}{I J L} \sum_i \sum_j \sum_l \frac{1}{n_{ijl}} \quad (\text{Reciprocal of harmonic mean})$$

where  $n_{ijl}$  = number of full sibs from a mating of the  $i^{\text{th}}$  sire with the  $j^{\text{th}}$  dam in the  $l^{\text{th}}$  set.

A second analysis has to be completed using individual observations.

### Analysis of Variance - 2

#### Individual Observations

<u>Source</u>	<u>d.f.</u>	<u>MS</u>	<u>EMS</u>
Between matings	IJL - 1	not needed	
Between full sibs--progeny w/matings	n...-IJL	$MS_W$	$\sigma_W^2$

n... = total number of progeny

An examination of the EMS of analysis of variance--1 indicates that the interaction dam x shifts ( $\sigma_{DM}^2$ ) is confounded with the sire x dam ( $\sigma_{SD}^2$ ) interactions in  $MS_I$ . If we assume that the shift interactions are negligible then the variance components can be obtained easily.

#### 2. Genetic model

The variance component  $\sigma_S^2$  is equivalent to the covariance of half sibs (sire groups). The component  $\sigma_D^2$  is the covariance of half sibs (dam groups) and contains the maternal effect. The component  $\sigma_{SD}^2$  is the covariance of full sibs minus the half sibs covariance (sires) and half sibs covariance (dams).

The coefficients  $\alpha$  and  $\delta$  are ( $F = 0$ ):

	$\alpha$	$\delta$
cov <sub>HS</sub>	1/4	0
cov <sub>FS</sub>	2/4	1/4

The  $\text{cov}_{\text{HS}} = 1/4V_A + 1/16V_{AA} + \dots$

$\text{cov}_{\text{FS}} = 2/4V_A + 1/4V_D + 1/4V_{AA} + 1/8V_{AD} + 1/16V_{DD} + 1/8V_{AAA} + \dots$

$\sigma_S^2 = \text{cov}_{\text{HS}(S)}$

$\sigma_D^2 = \text{cov}_{\text{HS}(D)}$

$\sigma_{\text{SD}}^2 = \text{cov}_{\text{FS}} - (\text{cov}_{\text{HS}(S)} + \text{cov}_{\text{HS}(D)}) = 1/4V_D + 1/8V_{AA} + 1/8V_{AD} + 1/16V_{DD} + \dots$

The component  $\sigma_W^2$  contains the remainder of the genetic variance and the environmental variance or  $\sigma_T^2 - \text{cov}_{\text{FS}}$ .

The genetic and environmental interpretation of the components is:

Relatives' Covariance	$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_M$	$V_E$	Heterogametic				
									Males		Females		
									$\sigma\sigma$	$\sigma\sigma$	$\sigma\sigma$	$\sigma\sigma$	
	$V_L$	$V_L$	$V_L$	$V_L$									
$\sigma_S^2$ $\text{cov}_{\text{HS}(S)}$	$\frac{1}{4}$	0	$\frac{1}{16}$	0	0	$\frac{1}{64}$	0	0	0	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{2}$	$\frac{1}{4}$
$\sigma_D^2$ $\text{cov}_{\text{HS}(D)}$	$\frac{1}{4}$	0	$\frac{1}{16}$	0	0	$\frac{1}{64}$	1	0	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{2}$	$\frac{1}{4}$	0
$\sigma_{\text{SD}}^2$ $(\text{cov}_{\text{FS}} - (\text{cov}_{\text{HS}(S)} + \text{cov}_{\text{HS}(D)}))$	0	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{16}$	$\frac{3}{32}$	0	0	0	0	0	0	0
$\sigma_W^2$ $\sigma_T^2 - \text{cov}_{\text{FS}}$	$\frac{1}{2}$	$\frac{3}{4}$	$\frac{3}{4}$	$\frac{7}{8}$	$\frac{15}{16}$	$\frac{56}{64}$	0	1	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{2}$

3. Computational formulas.  $Z$  designates the mean of full sib progeny of each mating within a shift and set. The symbol  $k$  for individuals does not appear.

Analysis of Variance - 1

<u>Source</u>	<u>Sum of Squares</u>	<u>Mean Squares</u>
Correction term (C.T.)	$\frac{Z_{...}^2}{IJL}$	
Sets	$\sum_1 \frac{Z_{..1}^2}{IJ} - \text{C.T.}$	
Sires w/sets	$\sum_i \sum_l \frac{Z_{i.l.}^2}{J} - \sum_l \frac{Z_{..l.}^2}{IJ}$	$\frac{SS_S}{L(I-1)}$
Dams w/sets	$\sum_j \sum_l \frac{Z_{.jl.}^2}{I} - \sum_l \frac{Z_{..l.}^2}{IJ}$	$\frac{SS_D}{L(J-1)}$
Shifts w/sets	$\sum_l \sum_m \frac{Z_{.lm}^2}{J} - \sum_l \frac{Z_{..l.}^2}{IJ}$	$\frac{SS_M}{L(I-1)}$
Residual w/sets	$\sum_i \sum_j \sum_l Z_{ijlm}^2 - \text{Sires SS} - \text{Dams SS} -$ $\text{Shifts SS} - \sum_l \frac{Z_{..l.}^2}{IJ}$	$\frac{SS_I}{L(I-1)(J-2)}$

The second analysis uses individual observations.

Analysis of Variance - 2

<u>Source</u>	<u>Sum of Squares</u>	<u>Mean Squares</u>
Between matings	$\frac{\sum_i \sum_j \sum_l y_{ij.l}^2}{n_{ijl}}$	
Between full sibs	$\sum_i \sum_j \sum_k \sum_l y_{ijk.l}^2 - \frac{\sum_i \sum_j \sum_l y_{ij.l}^2}{n_{ijl}}$	$\frac{SS_W}{n... - IJL}$

$n... =$  total number of individuals.

Under the assumption of no sire x shift and dam x shift interactions the components are obtained as follows:

$$\sigma_W^2 = MS_W$$

$$\sigma_S^2 = \frac{MS_S - MS_I}{k_7}$$

$$\sigma_D^2 = \frac{MS_D - MS_I}{k_5}$$

$$\sigma_{SD}^2 = MS_I - n_k MS_W$$

The standard error of the components is given by the previous formula on page 17.

The heritabilities are

$$h_S^2 = \frac{4 \sigma_S^2}{\sigma_S^2 + \sigma_D^2 + \sigma_{SD}^2 + \sigma_W^2}$$

$$h_D^2 = \frac{4 \sigma_D^2}{\sigma_S^2 + \sigma_D^2 + \sigma_{SD}^2 + \sigma_W^2}$$

The standard errors of the heritabilities are

$$S.E. (h_S^2) \approx \frac{4 \sqrt{\text{var}(\sigma_S^2)}}{\sigma_S^2 + \sigma_D^2 + \sigma_{SD}^2 + \sigma_W^2}$$

$$S.E. (h_D^2) \approx \frac{4 \sqrt{\text{var}(\sigma_D^2)}}{\sigma_S^2 + \sigma_D^2 + \sigma_{SD}^2 + \sigma_W^2}$$

The model given here is similar to that of Miller, et. al 1963 except that shift effects were separated from sire effects in our model. The main point is that with genotype x environment interactions (sire x shifts and dam x shifts) estimation of variance components is affected.

## B. Plants

With plants it is not necessary to employ shifts. Sets may or may not be used depending on the experiment. This design is Experiment II of Comstock and Robinson (1952). The replicates are considered fixed with one plot per mating in each replicate. Whether or not replicates should be considered fixed depends upon the experiment. If they are random then a different model has to be used involving genotype x replicate interactions. If these interactions are present then the estimate of  $\text{cov}_{HS}$  will be inflated and the estimate of non-additive genetic variances will be decreased (Morley, 1960).

Paternal and maternal plants can be randomly chosen from the reference non-inbred population or inbred lines can be mated.

## 1. Statistical model

There are two analyses because of the difficulty of obtaining equal numbers per plot (see Kempthorne, 1957, pg. 468).

The formula for both analyses is:

$$Y_{hijk} = \mu + \alpha_i + \beta_j + (\alpha\beta)_{ij} + R_h + e_{hijk}$$

where  $Y_{hijk}$  = the observation of the  $k^{\text{th}}$  full sib progeny in a plot in the  $h^{\text{th}}$  replication of the  $i^{\text{th}}$  paternal plant and the  $j^{\text{th}}$  maternal plant.  $\mu$  is common to all observations,  $\alpha$  is the effect of the  $i^{\text{th}}$  paternal plant,  $\beta_j$  is the effect of the  $j^{\text{th}}$  maternal plant,  $(\alpha\beta)_{ij}$  is the interaction of the paternal and maternal plants,  $R_h$  is the effect of the  $h^{\text{th}}$  replication, and  $e_{hijk}$  is the environmental variance and remainder of genetic variance between full sibs on the same plot.

Analysis of Variance - 1

(Means of full sib progeny in each plot)

<u>Source of variation</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	
Replications	R-1	$SS_R$	$MS_R$	
Paternal plants	S-1	$SS_S$	$MS_S$	$\sigma_c^2 + n_k \sigma_W^2 + R\sigma_{SD}^2 + RD\sigma_S^2$
Maternal plants	D-1	$SS_D$	$MS_D$	$\sigma_c^2 + n_k \sigma_W^2 + R\sigma_{SD}^2 + RS\sigma_D^2$
Paternal x maternal	(S-1)(D-1)	$SS_{SD}$	$MS_{SD}$	$\sigma_c^2 + n_k \sigma_W^2 + R\sigma_{SD}^2$
Paternal-maternal combinations x replicates	(DS-1)(R-1)	$SS_I$	$MS_I$	$\sigma_c^2 + n_k \sigma_W^2$

R = number of replicates

S = number of paternal plants (lines)

D = number of maternal plants (lines)

$$n_k = \frac{1}{RSD} \sum_{hij} \frac{1}{n_{hij}} \quad (\text{reciprocal of harmonic mean})$$

where  $n_{hij}$  = number of full sibs in the  $h^{\text{th}}$  replicate from the  $i^{\text{th}}$  paternal plant (line) crossed to the  $j^{\text{th}}$  maternal plant (line).

$\sigma_c^2$  = environment variance of the differences between plots common to all individuals within a plot.

The variance between individuals of a full sib family on a plot is obtained using the individual records.

Analysis of Variance - 2  
(Individual progeny)

<u>Source of Variation</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>
Between plots	RSD-1	SS	--	--
Between full-sib progeny within plots	$n \dots - \text{RSD}$	$SS_W$	$MS_W$	$\sigma_W^2$

$n \dots$  = total number of progeny

2. Genetic model

The variance component  $\sigma_S^2$  is the covariance of the paternal plants half sibs while  $\sigma_D^2$  is the covariance of maternal plants' half sib groups. The component  $\sigma_{SD}^2$  is the covariance of full sibs minus the two half sibs covariances.

If single plants are taken from a non-inbred population or a single plant represents each inbred line so there are paternal lines and maternal lines then the coefficients  $\alpha$  and  $\delta$  are:

$$\begin{array}{ccc} \alpha & & \delta \\ \text{cov}_{HS} & \frac{1 + F_S}{4} & 0 \\ \text{cov}_{FS} & \frac{2 + F_S + F_D}{4} & \frac{(1 + F_S)(1 + F_D)}{4} \end{array}$$

where  $F_S$  is the inbreeding coefficient of the paternal lines and  $F_D$  is the inbreeding coefficient of the maternal lines.

The covariance estimates

$$\begin{aligned} \text{cov}_{HS} &= \frac{1 + F_S}{4} V_A + \left( \frac{1 + F_S}{4} \right)^2 V_{AA} + \dots \\ \text{cov}_{FS} &= \frac{2 + F_S + F_D}{4} V_A + \frac{(1 + F_S)(1 + F_D)}{4} V_D + \left( \frac{2 + F_S + F_D}{4} \right)^2 V_{AA} + \\ &\quad \left( \frac{2 + F_S + F_D}{4} \right) \frac{(1 + F_S)(1 + F_D)}{4} V_{AD} + \left[ \frac{(1 + F_S)(1 + F_D)}{4} \right]^2 V_{DD} + \\ &\quad \dots \end{aligned}$$



The variance components in terms of the resemblance between relatives are:

$$\sigma_S^2 = \text{cov}_{HS(S)}$$

$$\sigma_D^2 = \text{cov}_{HS(D)}$$

$$\sigma_{SD}^2 = \text{cov}_{FS} - (\text{cov}_{HS(S)} + \text{cov}_{HS(D)})$$

$$\sigma_W^2 = \sigma_T^2 - \text{cov}_{FS}$$

$$\sigma_c^2 = \text{plot environmental variance}$$

When more than six plants represent an inbred line and the inbreeding coefficient is the same over all lines then only analysis of variance -1 is used. The coefficients  $\alpha$  and  $\delta$  are different.

	$\alpha$	$\delta$
Only one line in common, $\text{cov}_{A^-, A^-}$	F/2	0
Two lines in common, $\text{cov}_{AB, AB}$	F	F <sup>2</sup>

The components estimate

$$\sigma_S^2 = \text{cov}_{A^-, A^-} = F/2 V_A + (F/2)^2 V_{AA} + \dots$$

$$\sigma_D^2 = \text{cov}_{A^-, A^-} \text{ estimates the same genetic parameters.}$$

$$\sigma_{SD}^2 = \text{cov}_{AB, AB} - (\text{cov}_{A^-, A^-} + \text{cov}_{A^-, A^-}) = F^2 V_D +$$

$$7/8 F^2 V_{AA} + F^3 V_{AD} + F^4 V_{DD} + \dots$$

$$\sigma_W^2 \text{ estimates the remainder of the genetic variance.}$$

When  $F = 1$  it doesn't matter whether one or several plants per line are used because genotypically every individual is the same within a line. The genetic interpretation of the variance components is:

Components	Covariances	$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_M$	$V_E$
$\sigma_S^2$	$\text{cov}_{HS(S)}$	$\frac{1}{2}$	0	$\frac{1}{16}$	0	0	$\frac{1}{32}$	0	0
$\sigma_D^2$	$\text{cov}_{HS(D)}$	$\frac{1}{2}$	0	$\frac{1}{16}$	0	0	$\frac{1}{32}$	1	0
$\sigma_{SD}^2$	$\text{cov}_{FS} - \text{cov}_{HS(S)} - \text{cov}_{HS(D)}$	0	1	$\frac{14}{16}$	1	1	$\frac{30}{32}$	0	0
$\sigma_W^2$	$\sigma_T^2 - \text{cov}_{FS}$	0	0	0	0	0	0	0	1

3. Computational formulas. When plot means are used  $Z_{hij}$  will be the symbol designating the observation. The designation  $k$  will not appear.

Analysis of Variance - 1

<u>Source</u>	<u>Sum of Squares</u>	<u>Mean Squares</u>
Correction term (C.T.)	$\frac{Z_{...}^2}{RSD}$	
Replications	$\sum_h \frac{Z_{h..}^2}{SD} - \text{C.T.}$	$SS_R / R-1$
Paternal plants	$\sum_i \frac{Z_{.i.}^2}{RD} - \text{C.T.}$	$SS_S / S-1$
Maternal plants	$\sum_j \frac{Z_{..j}^2}{RS} - \text{C.T.}$	$SS_D / D-1$
Paternal x maternal	$\sum_i \sum_j \frac{Z_{.ij}^2}{R} - \sum_j \frac{Z_{..j}^2}{RS} - \sum_i \frac{Z_{.i.}^2}{RD} + \text{C.T.}$	$SS_{SD} / (S-1)(D-1)$
Paternal-maternal combinations x replicates	$\sum_h \sum_i \sum_j Z_{hij}^2 - \sum_i \sum_j \frac{Z_{.ij}^2}{R} - \sum_h \frac{Z_{h..}^2}{SD} + \text{C.T.}$	$SS_I / (SD-1)(R-1)$

A further analysis is completed between and within plots using the observations on individuals.  $Y_{hijk}$  is the symbol.

Analysis of Variance - 2

<u>Source</u>	<u>d.f.</u>	<u>Sum of Squares</u>	<u>M.S.</u>
Between plots	RDS-1	$\sum_{hij} \frac{Y_{hij}^2}{n_{hij}} - C.T.$	
Within plots	n...-RDS	$\sum_{hijk} Y_{hijk}^2 - \sum_{hij} \frac{Y_{hij}^2}{n_{hij}}$	$SS_W / n...-RDS$

n... = total number of individuals

Estimating variance components

$$\sigma_S^2 = \frac{MS_S - MS_{SD}}{RD}$$

$$\sigma_D^2 = \frac{MS_D - MS_{SD}}{RS}$$

$$\sigma_{SD}^2 = \frac{MS_{SD} - MS_I}{R}$$

$$\sigma_W^2 = MS_W$$

$$\sigma_c^2 = MS_I - n_k MS_W$$

$$\text{phenotypic variance} = \sigma_S^2 + \sigma_D^2 + \sigma_{SD}^2 + \sigma_W^2$$

Whether or not  $\sigma_c^2$  should be included in the phenotypic variances is debatable.

Standard error of the components is the same as on page 17.

$$S.E. \approx \sqrt{\frac{2}{k} \sum_g \frac{MS_g^2}{\bar{f}_g + 2}}$$

4. Example. Four paternal (pollen parent) Western White Pine trees were mated to seven maternal (seed parent) trees and 2,654 progeny grown in plots replicated in four replications, one plot per mating in a replication. The epicotyl length was measured in centimeters and the heritability calculated in a factorial analysis (Hanover & Barnes, 1962). It is assumed that  $F = 0$ .

Table 1. Plot means of progeny epicotyl length

Maternal parent	Replica- tion	Paternal parent				Z...j
		#17	#19	#22	#58	
#193	1	4.47	3.41	3.52	3.43	59.82
	2	4.32	2.46	4.17	3.36	
	3	3.50	3.65	5.44	4.10	
	4	3.58	2.97	3.81	3.63	
	Z...ij	15.87	12.49	16.94	14.52	
#195	1	4.38	3.10	3.27	4.32	52.52
	2	3.32	2.19	3.43	3.23	
	3	3.24	2.69	2.72	3.65	
	4	3.55	2.97	3.38	3.08	
	Z...ij	14.49	10.95	12.80	14.28	
#197	1	4.80	2.92	3.76	3.19	57.28
	2	4.74	2.32	3.38	3.73	
	3	5.14	2.97	3.54	3.15	
	4	4.21	2.18	3.38	3.87	
	Z...ij	18.89	10.39	14.06	13.94	
#201	1	3.64	2.92	3.26	3.41	50.37
	2	2.86	3.10	4.38	2.96	
	3	2.87	2.48	3.62	3.67	
	4	2.56	2.52	3.75	2.37	
	Z...ij	11.93	11.02	15.01	12.41	
#203	1	4.05	4.32	3.46	2.95	57.06
	2	3.73	4.14	3.21	3.17	
	3	4.50	3.59	3.14	2.67	
	4	4.33	3.85	3.11	2.84	
	Z...ij	16.61	15.90	12.92	11.63	
#204	1	3.75	2.63	2.83	2.93	48.19
	2	2.34	2.36	3.78	3.20	
	3	4.11	2.27	3.75	2.52	
	4	2.62	2.68	3.29	3.13	
	Z...ij	12.82	9.94	13.65	11.78	
#208	1	4.20	3.23	4.45	3.73	55.34
	2	3.31	2.72	3.04	3.31	
	3	4.58	2.38	2.89	3.73	
	4	3.82	2.45	4.20	3.30	
	Z...ij	15.91	10.78	14.58	14.07	
Z...i.	106.52	81.47	99.96	92.63	380.58	

Z... = 380.58

Replication ( $Z_{h..}$ )

	1	2	3	4
	100.33	92.26	96.56	91.43

$$R = 4, S = 4, D = 7, RSD = 112 \quad \sum_{hij} Z_{hij}^2 = 1,343,593$$

Analysis of Variance - 1

Correction term  $\frac{(380.58)^2}{112} = 1,293.224$

Replications  $\frac{(100.33)^2 + (92.26)^2 + (96.56)^2 + (91.43)^2}{28} = 1,295.046$

$$SS_R = 1,295.046 - 1,293.224 = 1.822$$

Paternal  $\frac{(106.52)^2 + (81.47)^2 + (99.96)^2 + (92.63)^2}{28} = 1,305.578$

$$SS_S = 1,305.578 - 1,293.224 = 12.354$$

Maternal  $\frac{(59.82)^2 + \dots + (55.34)^2}{16} = 1,299.722$

$$SS_D = 1,299.722 - 1,293.224 = 6.498$$

Paternal x maternal  $\frac{(15.87)^2 + (12.49)^2 + \dots + (14.58)^2 + (14.07)^2}{4} = 1,325.537$

$$SS_{SD} = 1,325.537 - 1,299.722 - 1,305.578 + 1,293.224 = 13.461$$

Paternal-maternal combinations x replicates  $SS_I = 1,343.593 - 1,325.537 - 1,295.046 + 1,293.224 = 16.234$

<u>Source</u>	<u>d.f.</u>	<u>Sum of Squares</u>	<u>Mean Squares</u>
Replications	4 - 1 = 3	1.822	0.607
Paternal	4 - 1 = 3	12.354	4.118
Maternal	7 - 1 = 6	6.498	1.083
Paternal x maternal	3 x 6 = 18	13.461	0.748
Paternal-maternal combinations x replicates	(28 - 1)(3) = 81	16.234	0.200

Individual observations on 2,654 trees were analyzed below.

Analysis of Variance - 2

<u>Source</u>	<u>Sum of Squares</u>	<u>d.f.</u>	<u>Mean Squares</u>
Between plots	32,867.24 - 31,651.37 = 1,215.87	112-1 = 111	--
Between individuals, within plots	35,685.85 - 32,867.24 = 2,818.61	2,654 - 112 = 2,542	1.109

$$n_k = \frac{1}{112} \left( \frac{1}{27} + \dots + \frac{1}{5} \right) = 0.0461$$

Obtaining variance components

$$\sigma_S^2 = \frac{4.118 - 0.748}{28} = 0.120$$

$$\sigma_D^2 = \frac{1.083 - 0.748}{16} = 0.0209$$

$$\sigma_{SD}^2 = \frac{0.748 - 0.200}{4} = 0.137$$

$$\sigma_W^2 = 1.109$$

$$\sigma_c^2 = 0.200 - 0.0461 (1.109) = 0.149$$

$$\text{Phenotypic variance} = 0.120 + 0.0209 + 0.137 + 1.109 = 1.3869$$

Standard errors of the components

$$\text{S.E. } (\sigma_S^2) \approx \sqrt{\frac{2}{28^2} \left( \frac{4.118^2}{5} + \frac{0.748^2}{20} \right)} \approx 0.093$$

$$\text{S.E. } (\sigma_D^2) \approx \sqrt{\frac{2}{16^2} \left( \frac{1.083^2}{8} + \frac{0.748^2}{20} \right)} \approx 0.037$$

$$\text{S.E. } (\sigma_{SD}^2) \approx \sqrt{\frac{2}{4^2} \left( \frac{0.748^2}{20} + \frac{0.200^2}{83} \right)} \approx 0.060$$

Heritability estimates if the individual trees that were measured were mated together would be:

$$h_S^2 = \frac{4 \sigma_S^2}{\sigma_T^2} = \frac{4(0.120)}{1.3869} = 0.346$$

$$h_D^2 = \frac{4 \sigma_D^2}{\sigma_T^2} = \frac{4(0.0209)}{1.3869} = 0.060$$

$$h_{D+S}^2 = \frac{2(\sigma_S^2 + \sigma_D^2)}{\sigma_T^2} = \frac{2(0.120 + 0.0209)}{1.3869} = 0.203$$

If genotype x environment interactions are present then these estimates are inflated in the following way (Dickerson, 1963):

Test	Performance of Progeny	$h^2$
One environment	Same environment	$\frac{V_A + V_{GE}}{V_A + V_D + V_{GE} + V_E}$
One environment	Other environments	$\frac{V_A}{V_A + V_D + V_{GE} + V_E}$

Standard errors of the heritabilities

$$\text{S.E. } (h_S^2) = \frac{4 (0.093)}{1.3869} = 0.268$$

$$\text{S.E. } (h_D^2) = \frac{4 (0.037)}{1.3869} = 0.107$$



#### IV. Diallel Crosses

A set of  $p$  lines are crossed amongst themselves. There would be  $p^2$  crosses dividing into three portions: the  $p$  lines, a group of  $1/2 p(p-1)$   $F_1$ 's and the reciprocal  $1/2 p(p-1)$   $F_1$ 's. Griffing (1956) has given the models for four methods: (1) all possible  $p$  crosses, (2) parental lines and one set of  $F_1$ 's, (3) one set of  $F_1$ 's and the reciprocal set, and (4) one set of  $F_1$ 's.

##### A. Animals

Here one set of  $F_1$ 's and its reciprocal will be analyzed. The mean of the crosses will be used in one analysis and the individuals within crosses in another analysis.

##### 1. Statistical model

$$Z_{ij} = \mu + g_i + g_j + s_{ij} + r_{ij} + e_{ij}$$

where  $Z_{ij}$  is the mean of the cross between line  $i$  (when used as a sire) and line  $j$  (when used as the dam),  $\mu$  is overall mean,  $g_i$  is the general combining ability of the  $i^{\text{th}}$  line,  $g_j$  is general combining ability of the line  $j$ ,  $s_{ij}$  is the interaction of the  $i^{\text{th}}$  and  $j^{\text{th}}$  lines ( $i + j$ ),  $r_{ij}$  is the reciprocal effect of the  $ij^{\text{th}}$  versus the  $ji^{\text{th}}$  cross and  $e_{ij}$  is the random error.

Two models are considered. In Model I the lines are fixed while in Model II the lines are a random sample. Although it is possible, it would be relatively rare that lines of animals would meet the assumptions for a Model II analysis (all inbred lines derived from the same non-inbred reference populations, no selection of lines, same inbreeding in each of the lines).

The animals of each line are mated at random with animals of each of the other lines.

Using the means of each cross Analysis of Variance -1 presents the expected mean squares for Models I and II.

ANALYSIS OF VARIANCE - 1

Source	d.f.	SS	MS	Expected Mean Squares	
				Model I	Model II
GCA	p-1	SS <sub>gca</sub>	MS <sub>gca</sub>	$n_k \sigma_W^2 + 2(p-2) \left[ \frac{1}{(p-1)} \right] \sum g_i^2$	$n_k \sigma_W^2 + 2 \sigma_{sca}^2 + 2(p-2) \sigma_{gca}^2$
SCA	p(p-3)/2	SS <sub>sca</sub>	MS <sub>sca</sub>	$n_k \sigma_W^2 + 2 \left[ \frac{2}{p(p-3)} \right] \sum_{i < j} s_{ij}^2$	$n_k \sigma_W^2 + 2 \sigma_{sca}^2$
Reciprocal	p(p-1)/2	SS <sub>r</sub>	MS <sub>r</sub>	$n_k \sigma_W^2 + 2 \frac{2}{p(p-1)} \sum_{i < j} r_{ij}^2$	$n_k \sigma_W^2 + 2 \sigma_r^2$

$n_k$  = reciprocal of harmonic mean of individuals within a cross

$$= \frac{1}{p(p-1)} \sum_{\substack{i, j \\ i \neq j}}$$

where n is the number of animals in the  $ij^{th}$  and  $ji^{th}$  crosses.

The second analysis uses individual data. If individual data are not available (pen means, etc.) then ANOVA-1 is used alone. The second analysis estimates  $\sigma_W^2$ .

The formula is  $Y_{fk} = \mu + C_f + e_{fk}$

where  $Y_{fk}$  is the individual observation of the  $k^{\text{th}}$  progeny in the  $f^{\text{th}}$  cross,  $\mu$  is the general mean,  $C$  is the effect of the  $f^{\text{th}}$  cross, and  $e_{fk}$  is the environmental and genetic variance among individuals within crosses.

ANALYSIS OF VARIANCE - 2  
(Individual data)

<u>Source</u>	<u>d.f.</u>	<u>MS</u>	<u>Expected Mean Squares</u>
Crosses	$p(p-1)-1$	$MS_c$	-- --
Individuals within crosses	$n..-p(p-1)$	$MS_W$	$\sigma_W^2$

$n..$  = total number of individuals

See Eisen et al. (1967) for an analysis where crosses were made over several years.

2. Genetic model

In Model I estimates of the parameter  $g_i$  involves the accumulative additive genetic effects of autosomal genes of line  $i$ , plus some sex linked and maternal effects,  $s_{ij}$  is the cumulative non-additive genetic effect of the cross  $ij$  and  $j_i$ ,  $r_{ij}$  is the reciprocal effect and with animals contains mainly maternal and sex linked effects.

In Model II if we assume that the lines are completely inbred then estimates of the variance components have the following genetic meaning:

Components	$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_M$	$V_E$	<u>Heterogametic</u>			
									<u>Males</u>		<u>Females</u>	
									$\sigma_{\sigma}^2$ $V_L$	$\sigma_{\sigma}^2$ $V_L$	$\sigma_{\sigma}^2$ $V_L$	$\sigma_{\sigma}^2$ $V_L$
$\sigma_{gca}^2$	1	0	1	0	0	1	1/4	0	1/4	1	1	1/4
$\sigma_{sca}^2$	0	1	0	1	1	0	0	0	0	0	0	0
$\sigma_r^2$	0	0	0	0	0	0	1/2	0	1/2	0	0	1/2
$\sigma_W^2$	0	0	0	0	0	0	0	1	0	0	0	0

Heterogametic males include mammals and insects, heterogametic females include birds. The genetic interpretation of this design is discussed by Eisen, *et al.* (1966). Note that the general combining ability variance includes estimates of maternal variance. Designs incorporating general and specific reciprocal variances have been given by Cockerham (1963) and Eisen, *et al.* (1967). There are some differences concerning the interpretation of the effects.

When the lines are not completely inbred the variance components estimate less of the genetic variance.

### 3. Computational formulas

The symbol  $Z$  designates the mean of the specific cross.

#### Analysis of Variance - 1

<u>Source of Variation</u>	<u>Sum of Squares</u>
GCA	$\frac{1}{2(p-2)} \sum (Z_{i.} + Z_{.i})^2 - \frac{2Z_{..}^2}{p(p-2)}$
SCA	$\frac{1}{2} \sum_{i < j} \sum (Z_{ij} + Z_{ji})^2 - \frac{1}{2(p-2)} \sum (Z_{i.} + Z_{.i})^2$ $+ \frac{1}{(p-1)(p-2)} Z_{..}^2$
Reciprocal	$\frac{1}{2} \sum_{i < j} \sum (Z_{ij} - Z_{ji})^2$

The second analysis utilizes the individual observations.

#### Analysis of Variance - 2

<u>Source of Variation</u>	<u>Sum of Squares</u>	<u>Mean Square</u>
Crosses	$\sum_f Y_{f.}^2 - C.T.$	Not needed
Individuals within crosses	$\sum_f \sum_k Y_{fk}^2 - \sum_f Y_{f.}^2$	$SS_W / [n_{..} - p(p-1)]$

Estimating variance components in Model II

$$\sigma_W^2 = MS_W$$

$$\sigma_r^2 = \frac{MS_s - n_k MS_W}{2}$$

$$\sigma_{sca}^2 = \frac{MS_{sca} - n_k MS_W}{2}$$

$$\sigma_{gca}^2 = \frac{MS_{gca} - MS_{sca}}{2(p-2)}$$

## B. Plants

The most common diallel cross in plants consists of one set of  $F_1$ 's. It will be assumed that  $p$  lines are inbred and that all of them have the same coefficient of inbreeding. It is possible to mate random individuals from a reference population but the usual situation is a group of lines selected for some trait such as yield.

## 1. Statistical model

$$Z_{ij} = \mu + g_i + g_j + s_{ij} + e_{ij}$$

where  $Z_{ij}$  = mean performance of the  $i^{\text{th}}$  parental line mated to the  $j^{\text{th}}$  parental line.  $\mu$  is common to all observations,  $g_i$  ( $g_j$ ) is the general combining ability of the  $i^{\text{th}}$  ( $j^{\text{th}}$ ) parental line.  $s_{ij}$  is the interaction of the  $i^{\text{th}}$  and  $j^{\text{th}}$  parents, and  $e_{ij}$  is the random error.

Using the means of the crosses, the first analysis of variance is given for two sampling assumptions, Model I and Model II.

Analysis of Variance - 1

<u>Source</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>	
				Model I	Model II
GCA	$p-1$	$SS_{gca}$	$MS_{gca}$	$n\sigma_W^2 + (p-2)\left(\frac{1}{p-1}\right) \sum_i g_i^2$	$n\sigma_W^2 + \sigma_{sca}^2 + (p-2) \sigma_{gca}^2$
SCA	$p(p-3)/2$	$SS_{sca}$	$MS_{sca}$	$n\sigma_W^2 + \left(\frac{2}{p(p-3)}\right) \sum_{i<j} s_{ij}^2$	$n\sigma_W^2 + \sigma_{sca}^2$

$n$  = reciprocal of average number of plants per cross. With unequal numbers of plants use the reciprocal of the harmonic mean.

The second analysis of variance is used where individual data are available. The formula is

$$Y_{hfk} = \mu + \delta_h + \chi_f + (\delta\chi)_{hf} + e_{hfk}$$

where  $Y_{hfk}$  = the observation of the  $k^{\text{th}}$  individual of the  $f^{\text{th}}$  cross in the  $h^{\text{th}}$  replicate.  $\mu$  is common to all observations,  $\delta_h$  is effect of the  $h^{\text{th}}$  replicate,  $\chi_f$  is effect of  $f^{\text{th}}$  cross,  $(\delta\chi)_{hf}$  is interaction  $h^{\text{th}}$  replicate and  $f^{\text{th}}$  cross and  $e_{hfk}$  is the genetic and environmental effect between full sibs in a plot. There is only one plot per cross within a replicate.

Analysis of Variance - 2 (observations on individuals)

<u>Source</u>	<u>d.f.</u>	<u>SS</u>	<u>MS</u>	<u>EMS</u>	
				Model I	Model II
Replications	R - 1	SS <sub>R</sub>	MS <sub>R</sub>	$\sigma_W^2 + FK\phi(R)$	$\sigma_W^2 + K\sigma_{RF}^2 + FK\sigma_R^2$
Crosses (F <sub>1</sub> 's)	F - 1	SS <sub>F</sub>	MS <sub>F</sub>	$\sigma_W^2 + RK\phi(F)$	$\sigma_W^2 + K\sigma_{RF}^2 + RK\sigma_F^2$
Crosses x replications	(R-1)(F-1)	SS <sub>RF</sub>	MS <sub>RF</sub>	$\sigma_W^2 + K\phi(RF)$	$\sigma_W^2 + K\sigma_{RF}^2$
Between full sibs within plots	RF(K-1)	SS <sub>W</sub>	MS <sub>W</sub>	$\sigma_W^2$	$\sigma_W^2$

R = number of replications  
 F = number of crosses (F<sub>1</sub>'s)  
 K = number of full sibs within a plot

It is possible to consider mixed models where replications are random with crosses fixed and vice versa. Griffing (1956) gives some of these models.

To estimate n and error term  $\sigma_W^2$  in ANOVA - 1 for Model I assumptions, use 1/RK and utilize MS<sub>W</sub>. For Model II assumptions use n = 1/RK and MS<sub>RF</sub>. These error terms are then on the basis of means.

- Genetic model. Model II assumptions (see Matzinger and Kempthorne, 1956)

If only one plant is used per line, then the coefficients  $\alpha$  and  $\delta$  are:

	$\alpha$	$\delta$
cov <sub>HS</sub>	$\frac{1+F}{4}$	0
cov <sub>FS</sub>	$\frac{1+F}{2}$	$\left(\frac{1+F}{2}\right)^2$

The covariance of half sibs estimates

$$\text{cov}_{HS} = \frac{1+F}{4} V_A + \left(\frac{1+F}{4}\right)^2 V_{AA} + \dots$$

and the covariance of full sibs estimates

$$\begin{aligned} \text{cov}_{FS} = & \frac{1+F}{2} V_A + \left(\frac{1+F}{2}\right)^2 V_D + \left(\frac{1+F}{2}\right)^2 V_{AA} + \left(\frac{1+F}{2}\right)^3 V_{AD} \\ & + \left(\frac{1+F}{2}\right)^4 V_{DD} + \dots \end{aligned}$$

The variance components in terms of the covariances between relatives are

$$\sigma_{gca}^2 = \text{cov}_{HS}$$

$$\sigma_{sca}^2 = \text{cov}_{FS} - 2 \text{cov}_{HS}$$

$$\sigma_c^2 = \text{the common environment of a plot}$$

$$\sigma_W^2 = \sigma_T^2 - \text{cov}_{FS}$$

When more than six plants are used per inbred line and the pollen is bulked, the coefficients  $\alpha$  and  $\delta$  are:

	$\alpha$	$\delta$
$\text{cov}_{A-,A-}$	$F/2$	0
$\text{cov}_{AB,AB}$	$F$	$F^2$

The components estimate

$$\sigma_{gca}^2 = \text{cov}_{A-,A-} = F/2 V_A + (F/2)^2 V_{AA} + \dots$$

$$\sigma_{sca}^2 = \text{cov}_{AB,AB} - 2\text{cov}_{A-,A-} = F^2 V_D + 7/8 F^2 V_{AA} + F^3 V_{AD} + F^4 V_{DD} + \dots$$

### 3. Computational formulas

#### Analysis of Variance - 1 (using means $Z_{ij}$ )

<u>Source</u>	<u>Sum of Squares</u>
G.C.A.	$\frac{1}{p-2} \sum_i Z_{i.}^2 - \frac{4}{p(p-2)} Z_{..}^2$
S.C.A.	$\sum_{i<j} Z_{ij}^2 - \frac{1}{p-2} \sum_i Z_{i.}^2 + \frac{2}{(p-1)(p-2)} Z_{..}^2$

Analysis of Variance - 2  
(using individual observations)

<u>Source</u>	<u>Sum of Squares</u>	<u>MS</u>
Correction term	$\frac{Y_{...}^2}{RFK}$	
Replications	$\sum h \frac{Y_{h..}^2}{FK} - C.T.$	
Crosses ( $F_1$ 's)	$\sum f \frac{Y_{.f.}^2}{RK} - C.T.$	
Replications x crosses	$\sum h f \frac{Y_{hfk}^2}{K} - \sum h \frac{Y_{h..}^2}{FK} - \sum f \frac{Y_{.f.}^2}{RK} + C.T.$	$MS_{RF}$
Between full sibs, within plots	$\sum h f k \frac{Y_{hfk}^2}{K} - \sum h f \frac{Y_{hf.}^2}{K}$	$MS_W$

a. Model I

$$n\sigma_w^2 = MS_W / RK$$

Estimating General Combining Ability of the  $i^{th}$  line.

$$g_i = \frac{1}{p(p-2)} \left[ p Z_{i.} - 2Z_{..} \right]$$

Estimating variance of GCA of the  $i^{th}$  line.

$$\sigma_{g_i}^2 = (g_i)^2 - \frac{p-1}{p(p-2)} n\sigma_w^2$$

Estimating  $s_{ij}$  for each cross ( $F_1$ )

$$s_{ij} = \frac{Z_{ij}}{i \neq j} - \frac{1}{p-2} (Z_{i.} + Z_{.j}) + \frac{2}{(p-1)(p-2)} Z_{..}$$

Estimating variance of Specific Combining Ability of  $i^{th}$  line.

$$\sigma_{s_i}^2 = \frac{1}{(p-2)} \sum_{i \neq j} s_{ij}^2 - \frac{p-3}{p-2} n\sigma_w^2$$



b. Model II

$$\sigma_W^2 = MS_{RF}/RK$$

$$\sigma_{sca}^2 = MS_{sca} - MS_{RF}/RK$$

$$\sigma_{gca}^2 = \frac{MS_{gca} - MS_{sca}}{p-2}$$

Approximate standard errors for these components

$$S.E. \approx \sqrt{\frac{2}{k^2} \frac{MS_g^2}{f_g + 2}}$$

where  $k$  = coefficient of the variance component being estimated,  $MS_g$  = the  $g^{th}$  mean square used to estimate the variance component, and  $f_g$  = the degrees of freedom of the  $g^{th}$  mean square. Estimating the S.E. of  $\sigma_{gca}^2$

$$S.E. (\sigma_{gca}^2) \approx \sqrt{\frac{2}{(p-2)^2} \left( \frac{MS_{gca}^2}{p+1} + \frac{MS_{sca}^2}{2+p(p-3)/2} \right)}$$

4. Example. The worked example for nine inbred lines of Zea mays in Griffing (1956) is presented with permission of the author and the Australian Journal of Biological Sciences. Total yield is the trait considered and the experimental design was six randomized blocks. The  $F_1$  plots in each block contained 13 plants. The means for total yield for each cross are given below.

Inbred Line Number	2	3	4	5	6	7	8	9	$Z_i$
1	240.0	260.0	230.4	257.0	241.5	266.9	240.1	300.4	2,036.3
2		209.0	217.3	233.1	229.5	266.9	216.3	214.2	1,826.3
3			183.7	253.7	250.1	268.8	222.3	252.1	1,899.7
4				233.8	213.7	255.7	197.4	281.0	1,813.0
5					206.8	272.2	242.9	260.8	1,960.3
6						261.8	270.3	283.9	1,957.6
7							273.2	302.2	2,167.7
8								259.8	1,922.3
9									2,154.4

$$Z_{..} = 8,868.8$$

$$p = 9$$

example of  $Z_i$ .

$$Z_6 \text{ (see line)} = 241.5 + 229.5 + 250.1 + 213.7 + 206.8 + 261.8 + 270.3 + 283.9 = 1,957.6.$$

Calculating Analysis of Variance - 1

<u>Source</u>	<u>Computations</u>
GCA	$\frac{1}{9-2} (2,036.3^2 + 1,826.3^2 + \dots + 2,154.4^2)$ $= \frac{1}{7} (35,088,292.26) = 5,012,613.1$ $\frac{4}{9(9-2)} (8,868.8)^2 = 4,994,007.20$ $5,012,613.1 - 4,994,007.2 = 18,605.9 = SS_{gca}$ $MS_{gca} = 18,605.9/8 = 2,325.7$
SCA	$240.0^2 + 260.0^2 + \dots + 259.8^2 = 2,212,649.0$ $\frac{2}{(9-1)(9-2)} (8,868.8)^2 = 2,809,129.0$ $2,212,649.0 - 5,012,613.1 + 2,809,129.0 = 9,164.9 = SS_{sca}$ $MS_{sca} = 9,164.9/27 = 339.4$

Analysis of Variance - 2 has been calculated and is given by Griffing (1956).

<u>Source</u>	<u>d.f.</u>	<u>M.S.</u>
Replications (blocks)	5	257,943.53
Crosses ( $F_1$ 's)	35	61,889.36
Replications x crosses	175	11,803.61
Between full sibs	2558	1,642.48

The degrees of freedom for between full sibs should be 2592 but was reduced because of missing data.

$n = 1/RK = 1/\text{number of replication} \times \text{number of plants per plot} = 1/(6 \times 13) = 1/78.$

For Model I, estimates are obtained as follows:

<u>Source</u>	<u>d.f.</u>	<u>Mean Square</u>
GCA	$(9 - 1) = 8$	2,325.7
SCA	$9(9 - 2)/3 = 27$	339.4
Error Term	2558	21.05

The error term is  $1,642.48/78 = 21.05$ .

The components

$$\begin{aligned}\Sigma g_i^2 &= (MS_{gca} - MS_W/78) / \left(\frac{9-2}{9-1}\right) \\ &= (2,325.7 - 21.05) / \frac{7}{8} = 2,633.88\end{aligned}$$

$$\begin{aligned}\Sigma \Sigma_{i>j} s_{ij}^2 &= (MS_{sca} - MS_W/78) / \left(\frac{2}{9(9-3)}\right) \\ &= (339.4 - 21.05) / \frac{2}{54} = 8,595.5\end{aligned}$$

Estimating general combining ability  $g_i$  of each line

$$g_i = \frac{1}{9(9-2)} [9Z_{i.} - 2(8,868.8)]$$

for line 1

$$\begin{aligned}g_1 &= \frac{1}{63} [9(2,036.3) - 2(8,868.8)] \\ &= 9.35\end{aligned}$$

The variance of the GCA of a line

For line 1

$$\begin{aligned}\sigma_{g_1}^2 &= (9.35)^2 - \frac{9-1}{9(9-2)} (21.05) \\ &= 84.78\end{aligned}$$

The general combining ability of the lines

Line	$\bar{g}_i$	$\sigma_{\bar{g}_i}^2$
1	9.35	84.78
2	-20.65	423.75
3	-10.16	100.56
4	-22.55	505.83
5	-1.51	-0.40
6	-1.89	0.90
7	28.12	788.06
8	-6.43	45.36
9	26.22	684.82

Estimating specific combining ability for each cross

For cross 1 x 2

$$s_{12} = 240.0 - \frac{1}{9-2} (2,036.3 + 1,826.3) + \frac{2}{(9-1)(9-2)} 8,868.8 = 4.94$$

The specific combining ability for each cross is given below

Line Number	2	3	4	5	6	7	8	9
1	4.94	14.46	-2.76	2.80	-12.31	-16.93	-8.67	18.47
2		-6.54	14.14	8.90	5.68	13.07	-2.47	-37.73
3			-29.94	19.01	15.80	4.48	-6.96	-10.31
4				11.50	-8.21	3.77	-19.47	30.97
5					-36.16	-0.77	4.99	-10.27
6						-10.78	32.77	13.22
7							5.66	1.50
8								-5.85
9								

The specific combining ability variance for line 1

$$\sigma_{s_1}^2 = \frac{1}{(9-2)} (4.94^2 + 14.46^2 + \dots + 18.47^2) - \frac{9-3}{9-2} (21.05) = 139.60$$

The sca variance for the lines

Line	$\sigma_{s_i}^2$
1	139.60
2	264.69
3	258.26
4	361.40
5	270.42
6	435.28
7	73.80
8	221.08
9	431.51

Under Model II assumptions

<u>Source</u>	<u>d.f.</u>	<u>Mean Square</u>
GCA	8	2325.75
SCA	27	339.44
Error	175	151.33

$$\sigma_{gca}^2 = \frac{2,325.7 - 339.4}{9-2} = 283.76$$

$$S.E.(\sigma_{gca}^2) \cong \sqrt{\frac{2}{7} \left( \frac{2,325.7^2}{10} + \frac{339.4^2}{29} \right)}$$

$$\cong \sqrt{22,239} = 149.12$$

$$\sigma_{sca}^2 = 339.4 - 151.3 = 188.11$$

$$S.E.(\sigma_{sca}^2) \cong \sqrt{\frac{2}{1} \left( \frac{339.4^2}{29} + \frac{151.3^2}{177} \right)}$$

$$\cong \sqrt{8,202.96} = 90.57$$

The estimate of the additive genetic variance, assuming the additive x additive interactions to be zero, is

$$V_A = 2 \sigma_{gca}^2 = 2 (283.76 \pm 149.12) = 567.52 \pm 298.24$$

The estimate of the dominance variance, assuming the higher order interactions to be zero, is

$$V_D = \sigma_{sca}^2 = 188.11 \pm 90.57$$

The environmental variance between plants on the same plot is

$$V_E = \sigma_W^2 = 1,642.48$$

The S.E. is

$$S.E. = \sqrt{\frac{2}{1} \frac{1642.48^2}{2558+2}} = \sqrt{2107.61} = 45.91$$

$$V_E = 1,642.48 \pm 45.91$$

## C. Diallel designs utilizing parental information

Completely inbred, partially inbred or random-mating varieties, provided the last two types have reached a Hardy-Weinberg equilibrium, can contribute considerable information about heterosis when the parental lines are reproduced. A general model has been proposed by Gardner and Eberhart (1966) and Eberhart and Gardner (1966).

With  $p \geq 4$  and one set of  $F_1$ 's and the parental line data their analysis is:

<u>Source</u>	<u>d.f.</u>
Populations	$[p(p-1)/2] - 1$
Varieties	$p - 1$
Heterosis ( $h_{ij}$ )	$p(p-1)/2$
Average ( $\bar{h}$ )	1
Variety ( $h_j$ )	$p - 1$
Specific ( $s_{ij}$ )	$p(p-3)/2$

where

$h_{ij}$  = heterosis due to differences in gene frequency between  $i$  and  $j$  varieties and to dominance.

$\bar{h}$  = average heterosis contributed by the particular set of varieties used in the crosses.

$h_j$  = average heterosis contributed by variety  $j$  in its crosses measured by the deviation from average heterosis.

$s_{ij}$  = the specific heterosis when variety  $i$  is mated to variety  $j$ .

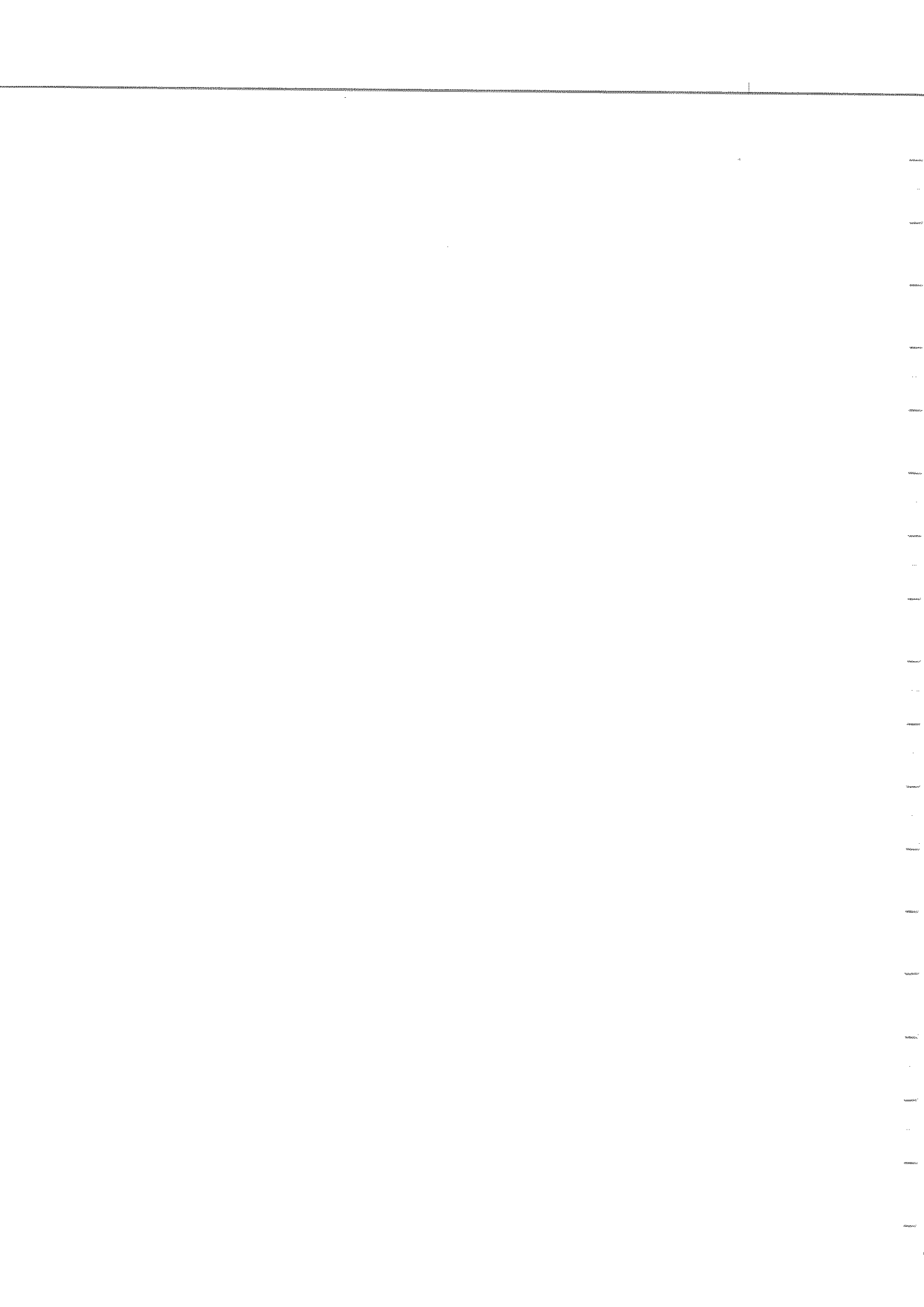
Required is the restriction that

$$\sum_i s_{ij} = \sum_j s_{ij} = 0$$

When the selfed progenies of the varieties and/or the selfed progenies of the crosses, the random-mated varieties, the variety crosses random mated, and the variety crosses are available then the following information can be obtained:

<u>Source</u>	<u>d.f.</u>
Populations	$[p(3p + 1)/2] - 1$
Additive Effects	$n - 1$
Dominance Effects	$n$
Heterosis ( $H_{ij}$ )	$p(p - 1)/2$
Average ( $h$ )	$1$
Variety ( $h_j$ )	$p - 1$
Specific ( $s_{ij}$ )	$p(p - 3)/2$
Residual	$p(p - 1)$

Model I assumptions hold throughout and certain of the parameters are non-orthogonal requiring a computer to provide the least square estimates.





REGRESSION OF OFFSPRING ON PARENT  
AND HERITABILITY

The reference population is the population from which the parents are derived. The symbol X will denote the observation on the parent. When the mean of the offspring is used Z will be the symbol. It is assumed that the population is not inbred and randomly mated. If the parents are assortatively mated see Reeve, 1952; Dawson, 1964; Wilson et al. 1966.

I. Sire--offspring mean

This design is useful when a sire is mated to a series of dams and each dam has one progeny.

A. Statistical model

$$Z_i = \beta X_i + e_i$$

where  $Z_i$  is the mean of the offspring of the  $i^{\text{th}}$  sire, X is the observation in the  $i^{\text{th}}$  sire,  $\beta$  is the regression of Z on X and  $e_i$  is the error associated with the Z's.

B. Genetic model

The model is given in terms of the covariance of Z and X,  $\text{cov}_{XZ}$ .

Source	$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_M$	$V_E$	Heterogametic			
									Males		Females	
									$\sigma\sigma$ $V_L$	$\sigma\sigma$ $V_L$	$\sigma\sigma$ $V_L$	$\sigma\sigma$ $V_L$
$\text{cov}_{XZ}$	$\frac{1}{2}$	0	$\frac{1}{4}$	0	0	$\frac{1}{8}$	0	0	0	1	$\frac{1}{2}$	$\frac{1}{2}$

C. Computational formulas

1. Obtain  $\Sigma Z^2$ ,  $\Sigma X^2$  and  $\Sigma XZ$

$$\Sigma Z^2 = \Sigma Z^2 - \frac{(\Sigma Z)^2}{N}$$

$$\Sigma X^2 = \Sigma X^2 - \frac{(\Sigma X)^2}{N}$$

$$\Sigma_{XZ} = \Sigma XZ - \frac{(\Sigma X)(\Sigma Z)}{N}$$

where N = number of sire - offspring pairs

$$\text{cov}_{XZ} = \frac{\Sigma XZ}{N - 1}$$

The regression of offspring on parent (b)

$$b = \frac{\text{cov}_{XZ}}{\sigma_x^2} = \frac{\Sigma XZ}{x^2} \quad (\text{because } N - 1 \text{ is common to both numerator and denominator})$$

## 2. Heritability

Twice the regression is heritability.  $h^2 = 2b$

## 3. Standard error

$$s_b^2 = \frac{\Sigma Z^2 - \frac{(\Sigma XZ)^2}{\Sigma X^2}}{N - 2}$$

$$\text{S.E.}(b) = \sqrt{\frac{s_b^2}{\Sigma X^2}}$$

$$\text{S.E.}(h^2) = 2 \text{ S.E.}(b)$$

D. Example: Seventeen chicken sires were mated and the progeny weighed at eight weeks of age. The 8-week weights of the sires and the mean of male progeny are as follows:

Sire weight	Progeny weight	Sire weight	Progeny weight
X	Z	X	Z
601	910	882	994
733	983	895	1,030
793	976	952	1,021
795	1,050	953	1,078
818	1,080	961	964
838	1,040	979	976
854	1,040	995	1,110
880	1,025	997	1,041
		1,040	1,035

$$\Sigma X = 14,966 \quad N = 17$$

$$\Sigma X^2 = 13,375,506$$

$$\Sigma x^2 = 13,375,506 - \frac{(14,966)^2}{17} = 200,144$$

$$\Sigma XZ = 601 \times 910 + 733 \times 983 + \dots + 1,040 \times 1,035 = 15,319,806$$

$$\Sigma Z = 17,353$$

$$\frac{(\Sigma X)(\Sigma Z)}{N} = \frac{(14,966)(17,353)}{17} = 15,276,764$$

$$\Sigma xz = 15,319,806 - 15,276,764 = 43,042$$

$$\text{cov}_{xz} = \frac{43,042}{16} = 2,690$$

$$b = \frac{43,042}{200,144} = 0.215$$

$$h^2 = 2b = 0.430$$

Standard error

$$\Sigma Z = 17,353$$

$$\Sigma Z^2 = 17,752,029$$

$$\text{C.T.} = 17,713,329$$

$$\Sigma z^2 = 17,752,029 - 17,713,329 = 38,700$$

$$s_b^2 = \frac{38,700 - \frac{(43,042)^2}{200,144}}{15} = 1,963$$

$$\text{S.E.}(b) = \sqrt{\frac{1,963}{200,144}} = 0.099$$

$$\text{S.E.}(h^2) = 2(0.099) = 0.198$$

Heritability estimate

$$h^2 = 0.43 \pm 0.198$$

## II. Intra-sire regression of offspring on dam.

With certain species one sire can mate with several dams and each dam produce several offspring.

The sire effect is removed by obtaining the regression of offspring on dam within the sire.

### A. Use of mean of dam's offspring

The symbol  $Z$  is used to designate the offspring mean while the symbol  $Y$  will designate the record on the individual offspring.  $X$  will designate the dam's record.

#### 1. Statistical model

$$Z_{ij} - \alpha_i = \mu + \beta (X_{ij} - \bar{x}_{..}) + e_{ij}$$

where  $Z_{ij}$  is the mean of the records of the offspring from a mating of the  $i^{\text{th}}$  sire to the  $j^{\text{th}}$  dam;  $\mu$  = common mean;  $\alpha_i$  = the effect of the  $i^{\text{th}}$  sire;  $\beta$  is the regression coefficient of  $Z$  on  $X$ ;  $X_{ij}$  is the record on the  $j^{\text{th}}$  dam mated to the  $i^{\text{th}}$  sire;  $\bar{x}_{..}$  is the phenotypic mean;  $e_{ij}$  = the deviation of the means of the progeny. The regressions from the sire families are pooled to obtain the common regression coefficient,  $\beta$ .

#### 2. Genetic model

It is assumed that the population is not inbred.

		Heterogametic										
		Males		Females								
		♂♂	♀♀	♂♂	♀♀							
		$V_L$	$V_L$	$V_L$	$V_L$							
$V_A$	$V_D$	$V_{AA}$	$V_{AD}$	$V_{DD}$	$V_{AAA}$	$V_M$	$V_E$	$V_L$	$V_L$	$V_L$	$V_L$	
$\text{cov}_D(ZX)$	$\frac{1}{2}$	0	$\frac{1}{4}$	0	0	$\frac{1}{8}$	$\frac{1}{2}$	0	$\frac{1}{2}$	$\frac{1}{2}$	1	0

The covariance estimates one-half of the additive genetic variance, so multiplying by two gives an estimate of all  $V_A$ . Note that this method also includes the maternal effects  $V_M$ .

Dividing the covariance by the variance of the dams, within sires, gives the regression. Twice the regression estimates heritability.

## 3. Computational formulas

The sum of squares for between dams, within sire are needed for X, Z and XZ. The table below gives the required estimates,  $SS_D(XX)$ ,  $SCP_D(ZX)$ ,  $SS_D(ZZ)$ . SCP is Sum of Cross Products.

<u>Source</u>	<u>d.f.</u>	<u>Sum of products</u>		
		<u>Dams XX</u>	<u>Dam x Progeny XZ</u>	<u>Progeny ZZ</u>
Between sires	S-1	not needed		
Between dams within sires	D-S	$SS_D(XX)$	$SCP_D(ZX)$	$SS_D(ZZ)$
Total	D-1			

where S = no. of sires and D = no. of dams.

## a. Analysis of variance for dam's records

<u>Source</u>	<u>Sum of Squares</u>	<u>Symbol</u>
Sires	$\sum_i \frac{X_i^2}{n_i}$	
Dams/sires	$\sum_i \sum_j X_{ij}^2 - \sum_i \frac{X_i^2}{n_i}$	$SS_D(XX)$

where  $n_i$  is the number of dams mated to the  $i^{\text{th}}$  sire.

- b. The  $SS_D(ZZ)$  is obtained in the same way using the mean of each dam's progeny, Z.
- c. The Sum of Cross Products,  $SCP_D(ZX)$ , is obtained in a similar manner.

Analysis of Covariance

<u>Source</u>	<u>Sum of Cross Products</u>	<u>Symbol</u>
Sires	$\sum_i \frac{X_i \cdot Z_i}{n_i}$	
Dams/sires	$\sum_i \sum_j X_{ij} Z_{ij} - \sum_i \frac{X_i \cdot Z_i}{n_i}$	$SCP_D(ZX)$

$$\text{cov}_D(ZX) = \frac{SCP_D(ZX)}{D - S}$$

d. Regression is obtained

$$b = \frac{SCP_D(ZX)}{SS_D(XX)}$$

$$h^2 = 2b$$

e. The standard error of  $h^2$

$$s_b^2 = \frac{SS_D(ZZ) - \frac{(SCP_D(ZX))^2}{SS_D(XX)}}{(D-S-1)}$$

$$S.E.(b) = \sqrt{\frac{s_b^2}{SS_D(XX)}}$$

$$S.E.(h^2) = 2 S.E.(b)$$

4. Example: Six sires were mated to differing numbers of dams and the 8-week body weights of the chicken females obtained. The dams' 8-week weight and the mean of the offsprings' records are given.

Sire	Dam ( $X_{ij}$ )	Progeny mean ( $Z_{ij}$ )	Sire	Dam ( $X_{ij}$ )	Progeny mean ( $Z_{ij}$ )
#1	754	808	#4	969	850
	648	700		849	802
	881	720		732	830
	$\Sigma$ 2283	$n_i = 3$ 2228		$\Sigma$ 2550	$n_i = 3$ 2482
#2	740	725	#5	740	806
	712	840		741	835
	812	800		$\Sigma$ 1481	$n_i = 2$ 1641
	$\Sigma$ 2264	$n_i = 3$ 2365			
#3	765	780	#6	831	830
	807	840		639	800
	$\Sigma$ 1572	$n_i = 2$ 1620		733	504
				$\Sigma$ 2203	$n_i = 3$ 2134

a. Obtaining  $SS_D(XX)$

$$\text{Source Sires} \quad \frac{(2,283)^2}{3} + \frac{(2,264)^2}{3} + \dots + \frac{(2,203)^2}{3} = 9,563,436$$

$$\text{Dams/sires} \quad (754)^2 + (648)^2 + (881)^2 + (740)^2 + \dots + (733)^2 = 9,643,381$$

$$9,643,381 - 9,563,436 = 79,945 \quad SS_D(XX) = 79,945$$

b. Obtaining  $SS_{D(ZZ)}$

Source

$$\text{Sires} \quad \frac{(2,228)^2}{3} + \frac{(2,365)^2}{3} + \dots + \frac{(2,134)^2}{3} = 9,749,135$$

$$\text{Dams/sires} \quad (808)^2 + (700)^2 + (720)^2 + (725)^2 + \dots + (504)^2 = 9,830,870$$

$$SS_{D(ZZ)} = 81,735$$

c. Obtaining  $SCP_{D(ZX)}$

Source

$$\begin{aligned} \text{Sires} \quad & \frac{(2,283)(2,228)}{3} + \frac{(2,264)(2,365)}{3} + \dots + \frac{(2,203)(2,134)}{3} \\ & = 9,645,541 \end{aligned}$$

$$\text{Dams/sires} \quad (754)(808) + (648)(700) + \dots + (733)(504) = 9,653,557$$

$$9,653,557 - 9,645,541 = 8,016 \quad SCP_{D(ZX)} = 8,016$$

$$\text{cov}_{D(ZX)} = \frac{8,016}{16 - 6} = 801.6$$

The estimate of the additive genetic variance is  $2(801.6) = 1,603$ .

d. Obtaining the regression coefficient

$$b = \frac{8,016}{79,945} = 0.10$$

$$h^2 = 2(0.10) = 0.20$$

e. Standard error of  $h^2$

$$s_b^2 = \frac{81,735 - \frac{(8,016)^2}{79,945}}{(16 - 6 - 1)} = 8,992$$

$$\text{S.E.}(h^2) = 2 \sqrt{0.1125} = 2(0.335) = 0.670$$

The heritability estimate is  $0.20 \pm 0.67$ .

### III. Other methods

The previous two methods are the most common. If the number of offspring per dam is unequal, then other methods may be used. Individual observations of progeny can be regressed on the dam's record (Bohren, et al., 1961).

Another method of obtaining the heritability from the regression is to weight each family (Kempthorne and Tandon, 1953). An explanation of this method with a worked example is given by Falconer (1963).

- IV. Correcting for inequality of male and female phenotypic variances. When sire-daughter or dam-son regressions are obtained a correction has to be made because of the differences in the variances of the two sexes. Clayton, et al. (1957) have suggested that the regression coefficient be multiplied by

$$\frac{\sigma_{\text{♀}}}{\sigma_{\text{♂}}}$$

when dam-son regressions are obtained. The  $\sigma$  is the phenotypic standard deviation of the unselected sexes. The standard error of  $b$  correspondingly has to be multiplied by this quantity.



## CORRELATIONS

The genetic, environmental and phenotypic correlations between two traits can be obtained by methods similar to those used to estimate genetic variances. X will be the symbol for one trait and Y the symbol for the other trait. It will be assumed that the inbreeding of the reference population is zero, although correlations probably can be estimated when inbred lines are crossed using the same assumptions and genetic models as given previously for the estimation of genetic variances.

## I. Analysis of variance and covariance

Two designs will be used to estimate correlations, the nested and factorial designs. Diallels can be used in the same way.

## A. Nested design

Each sire is mated to several dams each of which produces several offspring.

## 1. Statistical model

The model is the same as on pages 14 and 23 (plants). The analysis of variance for each trait X and Y separately is the same as given previously. The analysis of covariance between X and Y is as follows:

Analysis of Covariance

<u>Source</u>	<u>d.f.</u>	<u>Mean Cross Products</u>	<u>EMCP</u>
Sires	S-1	$MCP_S$	$cov_W + k_2 cov_D + k_3 cov_S$
Dams	D-S	$MCP_D$	$cov_W + k_1 cov_D$
Sibs	n.. - D	$MCP_W$	$cov_W$

The components of variance for  $\sigma_S^2(X)$ ,  $\sigma_S^2(Y)$ ,  $\sigma_D^2(X)$ ,  $\sigma_D^2(Y)$ ,  $\sigma_W^2(X)$ ,  $\sigma_W^2(Y)$  are obtained as before. The components of covariance can be derived from the above analysis of covariance using the mean cross products.

## 2. Genetic model (see Mode and Robinson, 1959)

The components of covariance have the following genetic and environmental meaning in terms of genetic covariance between X and Y.

Component of Covariance	Genetic and environmental covariances						
	$cov_A$	$cov_D$	$cov_{AA}$	$cov_{AD}$	$cov_{DD}$	$cov_{AAA}$	$cov_E$
$cov_S$	$\frac{1}{4}$	0	$\frac{1}{16}$	0	0	$\frac{1}{64}$	0
$cov_D$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{3}{16}$	$\frac{1}{8}$	$\frac{1}{16}$	$\frac{7}{64}$	0
$cov_W$	$\frac{1}{2}$	$\frac{3}{4}$	$\frac{3}{4}$	$\frac{7}{8}$	$\frac{15}{16}$	$\frac{7}{8}$	1
$cov_D + cov_S$	$\frac{1}{2}$	$\frac{1}{4}$	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{16}$	$\frac{1}{8}$	0

Thus,  $cov_S$  estimates  $1/4$  additive genetic covariance,  $1/16 cov_{AA}$ , etc.

## 3. Computational formulas

The components of variance are derived as before. The formulas below refer to obtaining the components of covariance between X and Y.

Analysis of Covariance

<u>Source</u>	<u>Sum of Cross Products</u>	<u>Mean Cross Product</u>
Correction term	$\frac{X \dots Y \dots}{n \dots}$	--
Sires	$\sum_i \frac{X_{i..} Y_{i..}}{n_i} - C.T.$	$SCP_S / S-1 = MCP_S$
Dams	$\sum_i \sum_j \frac{X_{ij.} Y_{ij.}}{n_{ij}} - \sum_i \frac{X_{i..} Y_{i..}}{n_i}$	$SCP_D / D-S = MCP_D$
Sibs	$\sum_i \sum_j \sum_k X_{ijk} Y_{ijk} - \sum_i \sum_j \frac{X_{ij.} Y_{ij.}}{n_{ij}}$	$SCP_W / n.. - D =$ $MCP_W$

## Estimating covariance components

$$\text{cov}_W = \text{MCP}_W$$

$$\text{cov}_D = \frac{\text{MCP}_D - \text{MCP}_W}{k_1}$$

$$\text{cov}_S = \frac{\text{MCP}_S - \text{MCP}_D}{k_3}$$

## 4. Estimation of correlations

The general formula for estimating correlations is

$$r = \frac{\text{cov}_{XY}}{\sqrt{\sigma_X^2 \sigma_Y^2}}$$

Noting the genetic and environmental meaning of the components of variance and covariance the proper covariances and variances are substituted in the general formula.

## a. Genetic correlations

## 1.) Sire components of variance and covariance

$$r_G = \frac{4 \text{cov}_S}{\sqrt{4\sigma_{S(X)}^2 \quad 4\sigma_{S(Y)}^2}} \quad (\text{the fours cancel})$$

The genetic interpretation is

$$r_G = \frac{\text{cov}_A + 1/4 \text{cov}_{AA}}{\sqrt{(\text{v}_{A(X)} + 1/4 \text{v}_{AA(X)}) (\text{v}_{A(Y)} + 1/4 \text{v}_{AA(Y)})}}$$

## 2.) Dam components of variance and covariance

$$r_G = \frac{4 \text{ cov}_D}{\sqrt{4 \sigma_D^2(X) \ 4 \sigma_D^2(Y)}} \quad (\text{fours cancel})$$

The genetic meaning is

$$r_G = \frac{\text{cov}_A + \text{cov}_D + \text{epistasis}}{\sqrt{(V_A(X) + V_D(X) + \dots)(V_A(Y) + V_D(Y) + \dots)}}$$

The genetic correlation obtained from these components has dominance and epistasis involved in its formulation.

## 3.) Dam + sire components

$$r_G = \frac{\text{cov}_S + \text{cov}_D}{\sqrt{\sigma_S^2(X) + \sigma_D^2(X)} \sqrt{\sigma_S^2(Y) + \sigma_D^2(Y)}}$$

This correlation also has dominance and epistasis involved in its estimation.

## b. Environmental correlations

The objective is to obtain an estimate of the environmental correlation as free as possible of genetic variances and covariance.

## 1.)

$$r_E = \frac{\text{cov}_W - 2 \text{ cov}_S}{\sqrt{\sigma_W^2(X) - 2 \sigma_S^2(X)} \sqrt{\sigma_W^2(Y) - 2 \sigma_S^2(Y)}}$$

This estimate contains  $3/4 \text{ cov}_D$  and varying amounts of epistatic covariance in its numerator.

## 2.)

$$r_E = \frac{\text{cov}_W - 2 \text{ cov}_D}{\sqrt{\sigma_W^2(X) - 2 \sigma_D^2(X)} \sqrt{\sigma_W^2(Y) - 2 \sigma_D^2(Y)}}$$

This estimate contains  $1/4 \text{ cov}_D$  and smaller amounts of epistatic covariance than in 1.) above.

3.)

$$r_E = \frac{\text{cov}_W + \text{cov}_S - 3 \text{cov}_D}{\sqrt{(\sigma_W^2(X) + \sigma_S^2(X) - 3 \sigma_D^2(X)) (\sigma_W^2(Y) + \sigma_S^2(Y) - 3 \sigma_D^2(Y))}}$$

This correlation has only small amounts of epistatic elements involved in its estimation.

c. Phenotypic correlation

$$r_P = \frac{\text{cov}_W + \text{cov}_S + \text{cov}_D}{\sqrt{\sigma_W^2(X) + \sigma_S^2(X) + \sigma_D^2(X)} \sqrt{\sigma_W^2(Y) + \sigma_S^2(Y) + \sigma_D^2(Y)}}$$

5. Standard error of the genetic correlation (Mode and Robinson, 1959).

The variances of the mean squares and the mean cross products are obtained along with the covariances of the mean squares and the mean cross products in different combinations.

a. The variance of a mean square

$$\text{var} (MS_g) = \frac{2 MS_g^2}{f_g + 2}$$

where  $MS_g$  is the  $g^{\text{th}}$  mean square and  $f_g$  = the degrees of freedom of the  $g^{\text{th}}$  mean square.

The variances of the sire, dam, and full sib mean squares for each trait X and Y are calculated.

b. The variance of the mean cross products

$$\text{var} (MCP_g) = \frac{[(MS_{g(x)}) (MS_{g(y)}) + MCP_g^2]}{f_g + 2}$$

where  $MCP_g$  is the  $g^{\text{th}}$  mean cross product,  $MS_{g(x)}$  is the  $g^{\text{th}}$  mean square for trait X and  $MS_{g(y)}$  is the  $g^{\text{th}}$  mean square for trait Y.

c. The covariance of mean squares

$$\text{cov} (MS_{g(x)}, MS_{g(y)}) = \frac{2 MCP_g^2}{f_g + 2}$$

d. The covariances of the mean square and mean cross product

$$\text{cov} (MS_{g(x)}, MCP_g) = \frac{2 MS_{g(x)} MCP_g}{f_g + 2}$$

$$\text{cov} (MS_{g(y)}, MCP_g) = \frac{2 MS_{g(y)} MCP_g}{f_g + 2}$$

- e. The variances of the analysis of variance and analysis of covariance components. These are obtained by summing the variances of the mean squares involved in estimating the component and dividing by the square of the coefficient of the component.

$$\text{var} (\sigma_{S(x)}^2) = \frac{\text{var} (MS_{S(x)}) + \text{var} (MS_{D(x)})}{k_3^2}$$

$$\text{var} (\sigma_{D(x)}^2) = \frac{\text{var} (MS_{D(x)}) + \text{var} (MS_{W(x)})}{k_1^2}$$

$$\text{var} (\sigma_{W(x)}^2) = \text{var} (MS_{W(x)})$$

The variances of the components for the trait Y are similarly obtained.

These formulas give the same results as the formulas on page 18.

- f. The variance of the cross product components

$$\text{var} (\text{cov}_S) = \frac{\text{var} (MCP_S) + \text{var} (MCP_D)}{k_3^2}$$

$$\text{var} (\text{cov}_D) = \frac{\text{var} (MCP_D) + \text{var} (MCP_W)}{k_1^2}$$

$$\text{var} (\text{cov}_W) = \text{var} (MCP_W)$$

- g. The covariances of the variance components

$$\text{cov} (\sigma_{S(x)}^2, \sigma_{S(y)}^2) = \frac{\text{cov} (MS_{S(x)}, MS_{S(y)}) + \text{cov} (MS_{D(x)}, MS_{D(y)})}{k_3^2}$$

$$\text{cov} (\sigma_{D(x)}^2, \sigma_{D(y)}^2) = \frac{\text{cov} (MS_{D(x)}, MS_{D(y)}) + \text{cov} (MS_{W(x)}, MS_{W(y)})}{k_1^2}$$

$$\text{cov} (\sigma_{W(x)}^2, \sigma_{W(y)}^2) = \text{cov} (MS_{W(x)}, MS_{W(y)})$$

- h. The covariances of the analysis of variance and analysis of covariance components

$$\text{cov} (\sigma_{S(x)}^2, \text{cov}_S) = \frac{\text{cov} (\text{MS}_{S(x)}, \text{MCP}_S) + \text{cov} (\text{MS}_{D(x)}, \text{MCP}_D)}{k_3^2}$$

$$\text{cov} (\sigma_{D(x)}^2, \text{cov}_D) = \frac{\text{cov} (\text{MS}_{D(x)}, \text{MCP}_D) + \text{cov} (\text{MS}_{W(x)}, \text{MCP}_W)}{k_1^2}$$

$$\text{cov} (\sigma_{W(x)}^2, \text{cov}_W) = \text{cov} (\text{MS}_{W(x)}, \text{MCP}_W)$$

The components of trait Y are similarly treated.

- i. The general formula for the variance of the correlation coefficient, r

$$\text{var} (r_g) \cong r^2 \left[ \frac{\text{var} (\text{cov}_g)}{\text{cov}_g^2} + \frac{\text{var} (\sigma_{g(x)}^2)}{4(\sigma_{g(x)}^2)^2} + \frac{\text{var} (\sigma_{g(y)}^2)}{4(\sigma_{g(y)}^2)^2} - \frac{\text{cov} (\sigma_{g(x)}^2, \text{cov}_g)}{\sigma_{g(x)}^2 \text{cov}_g} - \frac{\text{cov} (\sigma_{g(y)}^2, \text{cov}_g)}{\sigma_{g(y)}^2 \text{cov}_g} + \frac{\text{cov} (\sigma_{g(x)}^2, \sigma_{g(y)}^2)}{2\sigma_{g(x)}^2 \sigma_{g(y)}^2} \right]$$

The standard error would be the square root of the above quantity. For S.E. of genetic, environmental and phenotypic correlations of single pair and one-way layout designs see Scheinberg (1966).

#### 6. Example

In Broad Breasted Bronze turkeys, seventeen single sire matings, four dams to a sire, were made and each mating produced three male progeny. At 24 weeks of age body weights and the shank length were measured. The mean body weight was 10,922 grams and mean shank length was 190.2 mm. What are the correlations between these two traits? Obtain the components of variance for each trait along with components of covariance.

Source	d.f.	Mean Squares and Mean Cross Products		
		Body weight MS	B.W. x S.L. MCP	Shank length MS
Sires	16	1,456,187	5,171	86.30
Dams	51	1,033,392	3,658	45.51
Sibs	136	810,551	3,105	36.47

Components of Variance and Covariance

<u>Body Weight</u>	<u>B.W. x S.L.</u>	<u>Shank Length</u>
$\sigma_S^2 = 35,233$	$\text{cov}_S = 126$	$\sigma_S^2 = 3.399$
$\sigma_D^2 = 74,280$	$\text{cov}_D = 184$	$\sigma_D^2 = 3.013$
$\sigma_W^2 = 810,551$	$\text{cov}_W = 3,105$	$\sigma_W^2 = 36.47$

a. Solve for genetic correlations

1.) Sire components

$$r_G = \frac{126}{\sqrt{35,233} \sqrt{3,399}} = 0.364$$

2.) Dam components

$$r_G = \frac{184}{\sqrt{74,280} \sqrt{3.013}} = 0.389$$

3.) Sire and dam components

$$r_G = \frac{126 + 184}{\sqrt{35,233 + 74,280} \sqrt{3.399 + 3.013}} = 0.369$$

b. Solve for environmental correlations

1.) Subtracting sire component

$$r_E = \frac{3,105 - 2(126)}{\sqrt{810,551 - 2(35,233)} \sqrt{36.47 - 2(3.399)}} = 0.608$$

2.) Subtracting dam's component

$$r_E = \frac{3,105 - 2(184)}{\sqrt{810,551 - 2(74,280)} \sqrt{36.47 - 2(3.013)}} = 0.609$$

3.) Adding sire's component minus 3 x dam's components

$$r_E = \frac{3,105 + 126 - 3(184)}{\sqrt{810,551 + 35,233 - 3(74,280)} \sqrt{36.47 + 3.399 - 3(3.013)}} = 0.695$$



c. Solve for phenotypic correlation

$$r_P = \frac{126 + 184 + 3,105}{\sqrt{35,233 + 74,280 + 810,551} \sqrt{3.399 + 3.013 + 36.47}} = 0.544$$

d. Standard error (worked out for the sire and the dam component genetic correlations).

1.) Variance of the mean squares and mean cross products

$$\text{var} (MS_{S(x)}) = \frac{2 (1,456,187)^2}{16 + 2} = 2,356,089 \times 10^5$$

$$\text{var} (MCP_S) = \frac{(1,456,187)(86.30) + 5,171^2}{16 + 2} = 8,467,121$$

$$\text{var} (MS_{S(y)}) = \frac{2 (86.30)^2}{16 + 2} = 827.52$$

etc.

<u>Source</u>	<u>Body Weight</u>	<u>B.W. x S.L.</u>	<u>Shank Length</u>
Sires	$2,356,089 \times 10^5$	8,467,121	827.52
Dams	$402,981 \times 10^5$	1,139,823	78.16
Sibs	$95,216 \times 10^5$	284,071	19.28

2.) Covariances of mean squares and cross products.

a.) Sires

$$\text{cov} (MS_{S(x)}, MS_{S(y)}) = \frac{(2) (5171)^2}{16 + 2} = 2,971,027$$

$$\begin{aligned} \text{cov} (MS_{S(x)}, MCP_S) &= \frac{(2) (1,456,187) (5,171)}{16 + 2} \\ &= 836,660 \times 10^3 \end{aligned}$$

$$\text{cov} (MS_{S(y)}, MCP_S) = \frac{(2) (86.30) (5,171)}{16 + 2} = 49,584$$

b.) Dams

$$\text{cov} (MS_{D(x)}, MS_{D(y)}) = \frac{2(3,658)^2}{51 + 2} = 504,942$$

$$\begin{aligned} \text{cov} (MS_{D(x)}, MCP_D) &= \frac{(2) (1,033,392) (3,658)}{51 + 2} \\ &= 142,647 \times 10^3 \end{aligned}$$

$$\text{cov} (MS_{D(y)}, MCP_D) = \frac{(2) (45.51) (3,658)}{51 + 2} = 6,282.10$$

c.) Sibs

$$\text{cov} (MS_{W(x)}, MS_{W(y)}) = \frac{2 (3105)^2}{136 + 2} = 139,725$$

$$\text{cov} (MS_{W(x)}, MCP_W) = \frac{2 (810,551) (3,105)}{136 + 2} = 36,474,795$$

$$\text{cov} (MS_{W(y)}, MCP_W) = \frac{2 (36.47) (3,105)}{136 + 2} = 1641.15$$

3.) Variances of the components of the analysis of variance and covariance

$$k_1 = 3, k_3 = 12$$

$$\text{var} (\sigma_{S(x)}^2) = \frac{2,356,089 \times 10^5 + 402,981 \times 10^5}{12^2} = 19,160 \times 10^5$$

$$\text{var} (\sigma_{D(x)}^2) = \frac{402,981 \times 10^5 + 95,216 \times 10^5}{3^2} = 55,355 \times 10^5$$

$$\text{var} (\sigma_{S(y)}^2) = \frac{827.52 + 78.16}{12^2} = 6.28944$$

$$\text{var} (\sigma_{D(y)}^2) = \frac{78.16 + 19.28}{3^2} = 10.8267$$

$$\text{var} (\text{cov}_S) = \frac{8,467,121 + 1,139,823}{12^2} = 66,715$$

$$\text{var} (\text{cov}_D) = \frac{1,139,823 + 284,071}{3^2} = 158,210$$

4.) Covariances of components

$$\text{cov} (\sigma_{S(x)}^2, \sigma_{S(y)}^2) = \frac{2,971,027 + 504,942}{12^2} = 24,139$$

$$\text{cov} (\sigma_{D(x)}^2, \sigma_{D(y)}^2) = \frac{504,942 + 139,725}{3^2} = 71,630$$

$$\text{cov} (\sigma_{S(x)}^2, \text{cov}_S) = \frac{836,660 \times 10^3 + 142,647 \times 10^3}{12^2} = 6,800,743$$

$$\text{cov} (\sigma_{D(x)}^2, \text{cov}_D) = \frac{142,647 \times 10^3 + 36,474,795}{3^2} = 19,902,422$$

$$\text{cov} (\sigma_{S(y)}^2, \text{cov}_S) = \frac{49,584 + 6,282.10}{12^2} = 387.959$$

$$\text{cov} (\sigma_{D(x)}^2, \text{cov}_D) = \frac{6,282.10 + 1,641.15}{3^2} = 880.361$$

5.) Variance of the genetic correlation

a.) Sire components

$$r_G = 0.364$$

$$\text{var} (r_G) \cong 0.364^2 \left[ \frac{66,715}{126^2} + \frac{19,160 \times 10^5}{(4)35,233^2} + \frac{6.28944}{(4)3.399^2} - \frac{6,800,743}{(35,233)(126)} \right. \\ \left. - \frac{387.959}{(126)(3.399)} + \frac{24,139}{2(35,233)(3.399)} \right] = 0.1325 (2.3872) = 0.3163$$

$$\sqrt{\text{var}(r_G)} = 0.562$$

The correlation is  $0.364 \pm 0.562$

b.) Dam's components

$$r_G = 0.389$$

$$\text{var} (r_G) \cong 0.389^2 \left[ \frac{158,210}{184^2} + \frac{55,355 \times 10^5}{(4)74,280^2} + \frac{10.8267}{(4)3.013^2} \right. \\ \left. - \frac{19,902,422}{74,280(184)} - \frac{880.333}{3.013(184)} + \frac{71,630}{2(74,280)(3.013)} \right] = 0.1513 (2.3379) \\ = 0.3537$$

$$\sqrt{\text{var}(r_G)} = 0.595$$

The correlation is  $0.389 \pm 0.595$

The standard errors are high because of the small numbers of sires and dams.

## B. Factorial design

In plants a factorial design can be easily planned and genetic correlations obtained. Block and plot effects make it difficult to define environmental correlations because it would be expected that these environmental factors would change from one experiment to another.

## 1. Statistical model

The genetic variances are estimated as on page 34 for the factorial design. The analysis of covariance between the two traits X and Y is given for the following design: paternal and maternal plants are chosen at random from a non-inbred population. Each paternal plant is mated to every maternal plant and the full sib progeny of each mating planted one plot per mating to a replicate. There are several fixed replicates. The mean of the plots are used in the analysis:

Analysis of Covariance

<u>Source</u>	<u>d.f.</u>	<u>MCP</u>	<u>EMCP</u>
Replications	R - 1	$MCP_R$	-----
Paternal	S - 1	$MCP_S$	$n_k cov_W + Rcov_{SD} + RDcov_S$
Maternal	D - 1	$MCP_D$	$n_k cov_W + Rcov_{SD} + RScov_D$
Paternal x maternal	(S - 1)(D - 1)	$MCP_{SD}$	$n_k cov_W + Rcov_{SD}$
Paternal - maternal	(SD-1)(R-1)	$MCP_I$	$n_k cov_W$

Matings x replications

R = number of replications

S = number of paternal plants

D = number of maternal plants

$$n_k = \frac{1}{RSD} \sum_h \sum_i \sum_j \frac{1}{n_{hij}} \quad (\text{reciprocal of harmonic mean})$$

## 2. Genetic model

In terms of the components of covariance

Source	cov <sub>A</sub>	cov <sub>D</sub>	cov <sub>AA</sub>	cov <sub>AD</sub>	cov <sub>DD</sub>	cov <sub>AAA</sub>	cov <sub>E</sub>
cov <sub>S</sub>	$\frac{1}{4}$	0	$\frac{1}{16}$	0	0	$\frac{1}{64}$	0
cov <sub>D</sub>	$\frac{1}{4}$	0	$\frac{1}{16}$	0	0	$\frac{1}{64}$	0
cov <sub>SD</sub>	0	$\frac{1}{4}$	$\frac{1}{8}$	$\frac{1}{8}$	$\frac{1}{16}$	$\frac{3}{32}$	0
cov <sub>W</sub>	$\frac{1}{2}$	$\frac{3}{4}$	$\frac{3}{4}$	$\frac{7}{8}$	$\frac{15}{16}$	$\frac{56}{64}$	1

## 3. Estimation of genetic correlation

## a. Paternal component of variance and covariance

$$r_G = \frac{\text{cov}_{S(XY)}}{\sqrt{\sigma_{S(X)}^2 \sigma_{S(Y)}^2}}$$

## b. Maternal components

$$r_G = \frac{\text{cov}_{D(XY)}}{\sqrt{\sigma_{D(X)}^2 \sigma_{D(Y)}^2}}$$

## c. Maternal and paternal components combined

$$r_G = \frac{\text{cov}_S + \text{cov}_D}{\sqrt{[\sigma_{S(X)}^2 + \sigma_{D(X)}^2] [\sigma_{S(Y)}^2 + \sigma_{D(Y)}^2]}}$$

4. Computational formulas

With plot means,  $Z_{hij}$  will be the symbol designating the plot mean of the X trait on the  $h^{\text{th}}$  replicate of the mating of the  $i^{\text{th}}$  paternal plant and the  $j^{\text{th}}$  maternal plant.  $W_{hij}$  is similarly the plot mean of the Y trait.

	<u>Analysis of Covariance</u>	
<u>Source</u>	<u>Sum of Cross Products</u>	<u>MCP</u>
Correction term (C.T.)	$\frac{(\sum \dots)(\sum \dots)}{RSD}$	
Replications	$\sum_h \frac{Z_{h..} W_{h..}}{SD} - C.T.$	$\frac{SCP_R}{R-1}$
Paternal	$\sum_i \frac{Z_{i..} W_{i..}}{RD} - C.T.$	$\frac{SCP_S}{S-1}$
Maternal	$\sum_j \frac{Z_{..j} W_{..j}}{RS} - C.T.$	$\frac{SCP_D}{D-1}$
Paternal x Maternal	$\sum_i \sum_j \frac{Z_{ij} W_{ij}}{R} - \sum_j \frac{Z_{..j} W_{..j}}{RS} - \sum_i \frac{Z_{i..} W_{i..}}{RD} + C.T.$	$\frac{SCP_{SD}}{(S-1)(D-1)}$
Paternal - Maternal Matings x Replications	$\sum_h \sum_i \sum_j Z_{hij} W_{hij} - \sum_i \sum_j \frac{Z_{ij} W_{ij}}{R} - \sum_h \frac{Z_{h..} W_{h..}}{SD} + C.T.$	$\frac{SCP_I}{(SD-1)(R-1)}$

## 4. Estimating covariance components

$$\text{cov}_S = \frac{\text{MCP}_S - \text{MCP}_{SD}}{\text{RD}}$$

$$\text{cov}_D = \frac{\text{MCP}_D - \text{MCP}_{SD}}{\text{RS}}$$

## 5. Standard error of genetic correlation

The standard error is obtained using the formulas on pages 71-73. Because  $\sigma_S^2$  is estimated by subtracting the interaction mean square ( $\text{MS}_{SD}$ ) from the paternal mean square ( $\text{MS}_S$ ), when calculating  $\text{var}(\sigma_S^2)$ , use the  $\text{var}(\text{MS}_{SD})$  in the numerator rather than  $\text{var}(\text{MS}_D)$  as in the nested design. The same applies to  $\text{var}(\text{cov}_S)$ . The coefficients for  $\sigma_S^2$  and  $\text{cov}_S$  will be RD and the coefficients for  $\sigma_D^2$  and  $\text{cov}_D$  will be RS.

## C. Diallel crosses

Genetic correlations can be obtained from diallel crosses in a similar manner. See Griffing (1956) for a worked example.

## II. Parent-offspring regression

The parent-offspring covariances can be used to estimate the genetic correlations. The same statistical procedures are used when covariances between the parent and offspring were obtained to estimate heritability.

### A. Sire-offspring mean

This analysis follows that given on page 59. It is necessary to obtain four covariances between the sire and the mean of his offspring.

The general formula is

$$\Sigma_{xz} = \Sigma XZ - \frac{(\Sigma X)(\Sigma Z)}{N}$$

$$\text{cov} = \frac{\Sigma_{xz}}{N-1}$$

where N = number of sire-offspring pairs.

Consider traits as numbers 1 and 2.

$\text{cov}_{Z_2 X_1}$  = covariance of trait  $Z_2$  in offspring and trait  $X_1$  in sire.

$\text{cov}_{Z_1 X_2}$  = covariance of trait  $Z_1$  in offspring and trait  $X_2$  in sire.

$\text{cov}_{Z_1 X_1}$  = covariance of trait  $Z_1$  in offspring and trait  $X_1$  in sire.

$\text{cov}_{Z_2 X_2}$  = covariance of trait  $Z_2$  in offspring and trait  $X_2$  in sire.

The genetic correlation is obtained by:

#### 1. Arithmetic method

$$a. r_G = \frac{\text{cov}_{Z_2 X_1} + \text{cov}_{Z_1 X_2}}{2 \sqrt{\text{cov}_{Z_1 X_1} \text{cov}_{Z_2 X_2}}}$$



$$b. r_G = \frac{\text{cov}_{Z_1 X_2}}{\sqrt{\text{cov}_{Z_1 X_1} \text{cov}_{Z_2 X_2}}}$$

$$c. r_G = \frac{\text{cov}_{Z_2 X_1}}{\sqrt{\text{cov}_{Z_1 X_1} \text{cov}_{Z_2 X_2}}}$$

## 2. Geometric method

$$r_G = \frac{\text{cov}_{Z_2 X_1} \text{cov}_{Z_1 X_2}}{\sqrt{\text{cov}_{Z_1 X_1} \text{cov}_{Z_2 X_2}}}$$

Note that  $N - 1$  is common to the numerator and denominator in all formulas. Thus, it is possible to use  $\Sigma xz$  instead of the covariances, thereby eliminating an operation. Thus in la,

$$r_G = \frac{\Sigma z_2 x_1 + \Sigma z_1 x_2}{2\sqrt{\Sigma z_1 x_1 \Sigma z_2 x_2}}$$

### B. Intra-sire dam mean of offspring

The analysis of covariance for each trait is the same as on page 62.

#### Analysis of Covariance

<u>Source</u>	<u>Sum of Cross Products</u>	<u>MCP</u>
Sires	$\sum_i \frac{X_{i.} Z_{i.}}{n_i}$	-----
Dams/Sires	$\sum_i \sum_j X_{ij} Z_{ij} - \sum_i \frac{X_{i.} Z_{i.}}{n_i}$	SCP / D - S

The four covariances as obtained previously in sire-mean of offspring are calculated:  $\text{cov}_{Z_2 X_1}$ ,  $\text{cov}_{Z_1 X_2}$ ,  $\text{cov}_{Z_1 X_1}$ ,  $\text{cov}_{Z_2 X_2}$ .

The genetic correlations are derived as before in sire-mean of offspring. Note that the sum of cross products (SCP) can be used instead of covariances (or Mean Cross Products) in these formulas because the degrees of freedom cancel.

- C. Standard error of the genetic correlation (Reeve, 1955 and Robertson, 1959).

$$S.E. (r_G) \cong \frac{1 - r_G^2}{\sqrt{2}} \sqrt{\frac{S.E. (h_1^2) S.E. (h_2^2)}{h_1^2 h_2^2}}$$

The standard errors of the heritabilities are estimated from the regression analysis (see pages 60, 64).

- D. Example

Fifteen single pair matings were made with Coturnix quail. The 4-week body weights and body temperatures were measured on the parents and the offspring. The covariances of the female offspring and the dam's observation were calculated.

Trait 1 = body weight  
 Trait 2 = body temperature

Means

	Body weight (grams)	Body temperature ( $^{\circ}$ C)
Dams	90.80	40.21
Female progeny	93.02	40.40

$$\text{cov}_{Z_1 X_1} = 23.16 \qquad \text{cov}_{Z_1 X_2} = 0.3033$$

$$\text{cov}_{Z_2 X_2} = 0.0507 \qquad \text{cov}_{Z_2 X_1} = 0.1453$$

$$h_1^2 (\text{body weight}) = (2) 0.298 = 0.596 \pm 0.529$$

$$h_2^2 (\text{body temperature}) = (2) 0.125 = 0.250 \pm 0.550$$

1. Arithmetic method

$$a. r_G = \frac{0.3033 + 0.1453}{2 \sqrt{(0.0507)(23.16)}} = \frac{0.4486}{2 \sqrt{1.1742}} = 0.207$$

$$b. r_G = \frac{0.3033}{\sqrt{1.1742}} = 0.280$$

$$c. \ r_G = \frac{0.1453}{\sqrt{1.1742}} = 0.134$$

If there are large differences between the variances of the dam's observations and the offspring's records, then a correction has to be made to b and c above (Dickerson, 1960).

2. Geometric method

$$r_G = \sqrt{\frac{(0.3033)(0.1453)}{(0.0507)(23.16)}} = 0.194$$

Van Vleck and Henderson (1961) have run a genetic simulation problem and have compared the use of these methods. They found formula 1a is preferable to 1b and 1c. Situations where only one cross covariance would be available that would necessitate using 1b or 1c are probably rare.

3. Standard errors (for arithmetic method)

$$S.E. (r_G) \cong \frac{1 - 0.414^2}{\sqrt{2}} \sqrt{\frac{(0.529)(0.550)}{(0.596)(0.250)}} = 0.819$$

$$r_G = 0.414 \pm 0.819$$



## SELECTION INDEX

## I. Introduction

A selection index is used when the breeder simultaneously selects for several characters. They are also used when selecting two or more traits and information is available on the individual and its relatives. When selecting line crosses it is possible to utilize indexes (Henderson, 1963). This section illustrates how to construct a selection index based on the economic weights and genetic parameters when two or more traits are measured on an individual.

## A. Required information:

1. The genetic and phenotypic variances:  $V_A$  and  $V_P$  for every trait considered in the selection index.
2. The genetic and phenotypic covariances:  $cov_A$  and  $cov_P$  between each pair of traits. Occasionally heritability and genetic correlations are given for the different traits. Then these particular estimates have to be solved to obtain the estimates required above (1 and 2). Because of rounding errors, significant digits are lost in this process, and therefore correlation coefficients are not used in this section.
3. The economic weights ( $a_1, a_2, \dots, a_k$ ) for each trait. These economic weights are based on the value in terms of the price data and net profit. See Hogsett and Nordskog (1958) for an example of economic weighting in chickens.

The aggregate genotypic value of the index is:

$$H = a_1 G_1 + a_2 G_2 + \dots + a_k G_k$$

where the a's refer to the relative economic values, the G's are the genotypic values of the trait, and  $i = 1, 2, \dots, k$  traits.

- B. Set up normal simultaneous equations: Normal simultaneous equations are used to obtain the  $b_i$ 's which are the partial regression coefficients needed to construct a selection index, I.

$$I = b_1 X_1 + b_2 X_2 + \dots + b_k X_k$$

where  $X_1, X_2, \dots, X_k$  are the phenotypic values of the traits 1, 2,  $\dots, k$ ; and  $b_1, b_2, \dots, b_k$  are the coefficients for each trait.

The normal simultaneous equations for k traits are given below:

$$V_{P(X_1)}b_1 + \text{cov}_{P(X_1X_2)}b_2 + \dots + \text{cov}_{P(X_1X_k)}b_k = V_{A(X_1)}a_1 + \text{cov}_{A(X_1X_2)}a_2 + \dots + \text{cov}_{A(X_1X_k)}a_k$$

$$\text{cov}_{P(X_2X_1)}b_1 + V_{P(X_2)}b_2 + \dots + \text{cov}_{P(X_2X_k)}b_k = \text{cov}_{A(X_2X_1)}a_1 + V_{A(X_2)}a_2 + \dots + \text{cov}_{A(X_2X_k)}a_k$$

$$\text{cov}_{P(X_kX_1)}b_1 + \text{cov}_{P(X_kX_2)}b_2 + \dots + V_{P(X_k)}b_k = \text{cov}_{A(X_kX_1)}a_1 + \text{cov}_{A(X_kX_2)}a_2 + \dots + V_{A(X_k)}a_k$$

where  $V_{P(X_i)}$  = phenotypic variance of  $i^{\text{th}}$  trait,  $V_{A(X_i)}$  = additive genetic variance of the  $i^{\text{th}}$  trait,  $\text{cov}_{P(X_iX_j)}$  = phenotypic covariance of  $i^{\text{th}}$  and  $j^{\text{th}}$  trait,  $a_i$  = economic weight of the  $i^{\text{th}}$  trait,  $b_i$  = partial regression coefficient of  $i^{\text{th}}$  trait.  $\text{cov}_{A(X_iX_j)}$  = additive genetic covariance.

These equations are solved for the b's. Two methods will be given in this section, one involving two traits and another with k numbers of traits utilizing matrix algebra.

## II. Two traits

The construction of a selection index involving two traits is given below.

### A. Computational formulas

1. Set up the normal simultaneous equations

$$V_{P(X_1)}b_1 + \text{cov}_{P(X_1X_2)}b_2 = V_{A(X_1)}a_1 + \text{cov}_{A(X_1X_2)}a_2 \quad (i)$$

$$\text{cov}_{P(X_1X_2)}b_1 + V_{P(X_2)}b_2 = \text{cov}_{A(X_1X_2)}a_1 + V_{A(X_2)}a_2 \quad (ii)$$

2. Multiply the a's times the estimates of genetic parameters.
3. Divide equation (i) by  $\text{cov}_{P(X_1X_2)}$  and obtain equation (iii).
4. Divide equation (ii) by  $V_{P(X_2)}$  and obtain equation (iv).

Note: operations 3 and 4 could also be carried out by dividing each equation by the coefficients of the  $b_1$ 's.

5. Subtract equation (iii) - (iv) and obtain (v).
  6. Solve for  $b_1$  in (v).
  7. Substitute  $b_1$  in equation (iii) or (iv) and solve for  $b_2$ .
  8. Construct selection index  $I = b_1 X_1 + b_2 X_2$
  9. To simplify index, divide right hand side by  $b_2$  or  $b_1$ .
- B. Example. Two traits in swine, feed conversion and eye muscle area, were measured in a British testing program (slightly modified data from the Animal Breeding Organization, Edinburgh, Scotland).

1. Required Estimates

- a. Economic Weights

- 1.) Feed Conversion. A 0.1 increase in feed conversion (expressed as the ratio of body weight gain to the amount of feed consumed) corresponds to an increase of 15.5 pounds of feed. If the cost of the feed is 360 pence per 112 pounds, then the loss is

$$\frac{15.5}{112} \times 360 = -50 \text{ pence for 0.1 increase}$$

$$a_1 = -50$$

- 2.) Eye Muscle Area. In the range of weights the eye muscle area of the last sib would have an influence on the value of the carcass of 12 pence for each square centimeter.

$$a_2 = 12 \text{ pence per increase in one square centimeter}$$

- b. Estimates of Biological Parameters

- 1.) Feed Conversion ( $X_1$ )

$$V_{A(X_1)} = 0.03125$$

$$V_{P(X_1)} = 0.0625$$

$$h^2_{(X_1)} = 0.50$$

2.) Eye Muscle Area ( $X_2$ )

$$V_A(X_2) = 4.05$$

$$V_P(X_2) = 9.0$$

$$h^2_{(X_2)} = 0.45$$

3.) Covariances ( $X_1 X_2$ )

$$\text{cov}_A(X_1 X_2) = -0.1125$$

$$\text{cov}_P(X_1 X_2) = -0.481$$

$$r_G(X_1 X_2) = -0.356$$

$$r_P(X_1 X_2) = -0.65$$

## 2. Normal Equations Solution

## a. Set Up Equations

$$0.0625 b_1 - 0.481 b_2 = 0.03125 (-50) - 0.1125 (12) \quad (i)$$

$$-0.481 b_1 + 9.0 b_2 = -0.1125 (-50) + 4.05 (12) \quad (ii)$$

## b. The right hand portions of the equations are multiplied and summed

$$0.0625 b_1 - 0.481 b_2 = -2.9125 \quad (i)$$

$$-0.481 b_1 + 9.0 b_2 = 54.225000 \quad (ii)$$

## c. Divide (i) by -0.481

$$-0.129938 b_1 + b_2 = 6.055093 \quad (iii)$$

## d. Divide (ii) by 9.0

$$-0.053444 b_1 + b_2 = 6.025000 \quad (iv)$$

## e. Subtract (iii) - (iv)

$$-0.076494 b_1 = 0.030093$$

$$b = -0.393403$$



f. Substitute  $b_1$  in (iv) (or iii) and solve for  $b_2$

$$-0.053444 (-0.393403) + b_2 = 6.025000$$

$$b_2 = 6.003974$$

g. Construct selection index

$$I = -0.393403 X_1 + 6.003974 X_2$$

h. Simplifying the index (divide by 0.393403)

$$I = -X_1 + 15.261640 X_2$$

### III. More than two traits (matrix algebra)

#### A. General formulas

The normal simultaneous equations used previously can be represented by matrices. In this work it is essential to carry as many places as possible because of rounding errors (see Searle 1966, pgs. 102-104).

$$\begin{array}{c}
 \text{Phenotypic matrix} \\
 \left[ \begin{array}{cccc}
 V_P(X_1) & \text{cov}_P(X_1X_2) & \dots & \text{cov}_P(X_1X_k) \\
 \text{cov}_P(X_2X_1) & V_P(X_2) & \dots & \text{cov}_P(X_2X_k) \\
 \vdots & \vdots & \ddots & \vdots \\
 \text{cov}_P(X_kX_1) & \text{cov}_P(X_kX_2) & \dots & V_P(X_k)
 \end{array} \right]
 \end{array}
 \quad
 \begin{array}{c}
 \underline{b} \text{ vector} \\
 \left[ \begin{array}{c}
 b_1 \\
 b_2 \\
 \vdots \\
 b_k
 \end{array} \right] =
 \end{array}$$

$$\begin{array}{c}
 \text{Genotypic matrix} \\
 \left[ \begin{array}{cccc}
 V_A(X_1) & \text{cov}_A(X_1X_2) & \dots & \text{cov}_A(X_1X_k) \\
 \text{cov}_A(X_2X_1) & V_A(X_2) & \dots & \text{cov}_A(X_2X_k) \\
 \vdots & \vdots & \ddots & \vdots \\
 \text{cov}_A(X_kX_1) & \text{cov}_A(X_kX_2) & \dots & V_A(X_k)
 \end{array} \right]
 \end{array}
 \quad
 \begin{array}{c}
 \underline{a} \text{ vector} \\
 \left[ \begin{array}{c}
 a_1 \\
 a_2 \\
 \vdots \\
 a_k
 \end{array} \right]
 \end{array}$$

and the selection index can be represented as

$$[I] = [b_1 \ b_2 \ \dots \ b_k] \begin{bmatrix} X_1 \\ X_2 \\ \vdots \\ X_k \end{bmatrix}$$

$$I = b_1 X_1 + b_2 X_2 + \dots + b_k X_k$$

These matrices can be symbolized as  $P \underline{b} = G \underline{a}$

where  $P$  is the variance-covariance matrix of phenotypic values,  $G$  is the variance-covariance matrix of genotypic values,  $\underline{b}$  is the vector of partial regression coefficients of the  $X$ 's in the index,

$$I = \underline{b} X$$

and  $\underline{a}$  is the vector of relative economic values.

The matrices each have  $i$  rows and  $j$  columns and the elements can be designated by  $P_{ij}$  or  $g_{ij}$  for the phenotypic and genotypic matrices respectively. In regression problems, the matrices are symmetrical so the number of rows = the number of columns =  $k$ . The regression coefficients and economic values vectors can be considered as one column matrices.

B. Augmented matrix solution  $P \underline{b} = G \underline{a}$

The genotypic matrix  $G$  is multiplied by the economic weights vector  $\underline{a}$ .

$$G \underline{a} = \begin{bmatrix} g_{11} & g_{12} & \cdots & \cdots & \cdots & \cdots & g_{1j} \\ g_{21} & g_{22} & \cdots & \cdots & \cdots & \cdots & g_{2j} \\ \vdots & \vdots & & & & & \vdots \\ \vdots & \vdots & & & & & \vdots \\ \vdots & \vdots & & & & & \vdots \\ g_{i1} & g_{i2} & \cdots & \cdots & \cdots & \cdots & g_{ik} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ \vdots \\ \vdots \\ a_k \end{bmatrix}$$

$$g_{11} a_1 + g_{12} a_2 + \cdots + g_{1j} a_k = d_1$$

$$g_{21} a_1 + g_{22} a_2 + \cdots + g_{2j} a_k = d_2$$

$$\begin{matrix} \vdots & \vdots & & \vdots & \vdots \\ \vdots & \vdots & & \vdots & \vdots \\ \vdots & \vdots & & \vdots & \vdots \end{matrix}$$

$$g_{i1} a_1 + g_{i2} a_2 + \cdots + g_{ik} a_k = d_k$$

This vector,  $\underline{d}$ , is the product of  $G \underline{a}$ . Then  $P \underline{b} = \underline{d}$ .

The abbreviated Doolittle method can be used to solve this equation for the  $b$ 's. The reader is referred to Dwyer, 1951; Anderson and Bancroft, 1952 (pp. 191-200); Steel and Torrie, 1960 (pp. 289-296); Searle, 1966, or other suitable books.

The notation and instructions are similar to that of the example given in Steel and Torrie (1960), page 290.

Set up a table

Instruction		P Matrix			Gal Matrix	Check
		$X_1$	$X_2 \dots X_k$		$y$	$c$
1	$X_1$	$p_{11}$	$p_{12} \dots p_{1k}$		$y_1$	$c_1$
	$X_2$		$p_{22} \dots p_{2k}$		$y_2$	$c_2$
	.			.	.	.
	.			.	.	.
	$X_k$			$p_{kk}$	$y_k$	$c_k$
2	$A_{1j}$	$A_{11}$	$A_{12} \dots A_{1k}$		$A_{1y}$	$A_{1c}$
	$B_{1j}$	1	$B_{12} \dots B_{1k}$		$B_{1y}$	$B_{1c}$
3	$A_{2j}$		$A_{22} \dots A_{2k}$		$A_{2y}$	$A_{2c}$
	$B_{2j}$		1 $\dots B_{2k}$		$B_{2y}$	$B_{2c}$

### Instruction

- 1) Enter the values of the P and Gal matrices. The check column consists of the sum of the observation on that line, e.g.  $c_2 = p_{21} + p_{22} + \dots + p_{2k} + y_2$  where  $p_{21} = p_{12}$ . The full matrix should be used even though it is not all filled in.
- 2) Copy first line of instruction 1. Divide each element by  $p_{11}$ .  $B_{12} = A_{12}/A_{11} = p_{12}/p_{11}$ . The subscripts  $y$  and  $c$  refer to location in the instructions;  $y$  is the Gal matrix and  $c$  is the check. The check is obtained by  $B_{1c} = A_{1c}/A_{11}$  and is checked against the sum of the elements in the line.
- 3) The A entry for the  $j^{\text{th}}$  column is obtained where  $j = 2, 3, \dots, k, y, c$ .  
 $A_{2j} = p_{2j} - A_{12} B_{1j}$  or  $p_{2j} - A_{1j} B_{12}$

The B entry is computed by dividing each A by  $A_{22}$

$$B_{22} = A_{22}/A_{22} = 1$$

$$B_{2k} = A_{2k}/A_{22}$$

This procedure continues for each additional trait. The number of columns in the P matrix should equal the number of traits and the number of instructions is  $k + 1$ . The last instruction then has  $B_{kk} = A_{kk}/A_{kk} = 1$

- 4) Obtain the partial regression coefficient b's.

$$b_k = B_{ky}$$

and the b's are computed by working backwards, so

$$b_{k-1} = B_{(k-1)y} - (B_{(k-1)k} b_k)$$

$$b_{k-2} = B_{(k-2)y} - (B_{(k-2)(k-1)} b_{(k-1)} + B_{(k-2)k} b_k)$$

etc.

$$b_1 = B_{1y} - (B_{12} b_2 + B_{13} b_3 + \dots + B_{1k} b_k)$$

If the inverse of the phenotypic matrix,  $P^{-1}$ , is desired, as in the next section, then the following steps are followed.

- 5) A c matrix is obtained with  $c_{11}$ ,  $c_{12}$  . . . etc.

$$c_{kk} = 1/A_{kk}$$

$$c_{(k-1)k} = - B_{(k-1)k} c_{kk}$$

$$c_{(k-2)k} = - B_{(k-2)(k-1)} c_{(k-1)k} - B_{(k-2)k} c_{kk}$$

$$c_{(k-3)k} = - B_{(k-3)(k-2)} c_{(k-2)k} - B_{(k-3)(k-1)} c_{(k-1)k} - B_{(k-3)k} c_{kk}$$

etc.

where  $k = 4$

$$c_{(k-1)(k-1)} = 1/A_{(k-1)(k-1)} - B_{(k-3)(k-2)} c_{(k-3)(k-2)} - B_{(k-2)(k-1)}$$

$$c_{(k-2)(k-1)} - B_{(k-1)k} c_{(k-1)k}$$

Note  $c_{ij} = c_{ji}$

C. Inverting the phenotypic matrix.

The b's can be computed by  $\underline{b} = P^{-1} G \underline{a}$

The phenotypic matrix is inverted as given previously and this inverse is multiplied by the genotypic matrix.

$$\begin{bmatrix} c_{11} & c_{12} & \dots & \dots & \dots & \dots & c_{1j} \\ c_{21} & c_{22} & \dots & \dots & \dots & \dots & c_{2j} \\ \vdots & \vdots & & & & & \vdots \\ \vdots & \vdots & & & & & \vdots \\ c_{i1} & c_{i2} & \dots & \dots & \dots & \dots & c_{kk} \end{bmatrix} \begin{bmatrix} g_{11} & g_{12} & \dots & \dots & \dots & \dots & g_{1j} \\ g_{21} & g_{22} & \dots & \dots & \dots & \dots & g_{2j} \\ \vdots & \vdots & & & & & \vdots \\ \vdots & \vdots & & & & & \vdots \\ g_{i1} & g_{i2} & \dots & \dots & \dots & \dots & g_{kk} \end{bmatrix}$$

Multiply the elements of the  $i^{th}$  row of the inverted phenotypic matrix, C, by the corresponding elements in the  $k^{th}$  column of the genotypic matrix and sum. Thus, to obtain the d elements of the new matrix, D

$$\begin{aligned} d_{11} &= c_{11} g_{11} + c_{12} g_{21} + c_{13} g_{31} + \dots + c_{1j} g_{j1} \\ d_{21} &= c_{21} g_{11} + c_{22} g_{21} + c_{23} g_{31} + \dots + c_{2j} g_{j1} \\ d_{12} &= c_{11} g_{12} + c_{12} g_{22} + c_{13} g_{32} + \dots + c_{1j} g_{j2}, \text{ etc.} \end{aligned}$$

The economic value vector is multiplied with matrix D.  $\underline{b} = D \underline{a}$

$$\underline{b} = \begin{bmatrix} d_{11} & d_{12} & \dots & \dots & \dots & \dots & d_{1j} \\ d_{21} & d_{22} & \dots & \dots & \dots & \dots & d_{2j} \\ \vdots & \vdots & & & & & \vdots \\ \vdots & \vdots & & & & & \vdots \\ d_{i1} & d_{i2} & \dots & \dots & \dots & \dots & d_{kk} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ \vdots \\ a_k \end{bmatrix}$$

Multiply and obtain the vector  $\underline{b}$  with elements  $b_i$ .

$$\begin{bmatrix} d_{11} a_1 + d_{12} a_2 + \dots + d_{1j} a_k \\ d_{21} a_1 + d_{22} a_2 + \dots + d_{2j} a_k \\ \vdots \\ \vdots \\ d_{i1} a_1 + d_{i2} a_2 + \dots + d_{kk} a_k \end{bmatrix} = \begin{bmatrix} b_1 \\ b_2 \\ \vdots \\ \vdots \\ b_k \end{bmatrix}$$

The augmented matrix method is simpler to do by hand calculator than the inverse method but the inverse method has the advantage that the economic value vector multiplication can be left to the last. Thus, any change in the economic values requires only that the new economic value vector  $\underline{a}$  be multiplied with the D matrix to obtain the new b's for a new index.

D. Variance of the index

$$\sigma_I^2 = \underline{b}' P \underline{b}$$

where  $\underline{b}'$  is the transverse of  $\underline{b}$  vector.

The transverse of  $\underline{b}$  vector is

$$\underline{b}' = [b_1 \ b_2 \ b_3 \ \dots \ b_k]$$

E. Example. Same as given previously using two traits, feed conversion and eye muscle area in swine.

1. Augmented matrix method

a. Set up matrices  $P \underline{b} = G \underline{a}$

$$\begin{array}{c} P \\ \left[ \begin{array}{cc} 0.0625 & -0.481 \\ -0.481 & 9.0 \end{array} \right] \end{array} \begin{array}{c} \underline{b} \\ \left[ \begin{array}{c} b_1 \\ b_2 \end{array} \right] \end{array} = \begin{array}{c} G \\ \left[ \begin{array}{cc} 0.03125 & -0.1125 \\ -0.1125 & 4.05 \end{array} \right] \end{array} \begin{array}{c} \underline{a} \\ \left[ \begin{array}{c} -50 \\ 12 \end{array} \right] \end{array}$$

b. Multiply the genotypic matrix times the economic values vector.

$$\left[ \begin{array}{cc} 0.03125 & (-50) + -0.1125 & (12) \\ -0.1125 & (-50) + 4.05 & (12) \end{array} \right] = \left[ \begin{array}{c} -2.912500 \\ 54.225000 \end{array} \right]$$

The augmented matrix is

$$\begin{array}{c} P \underline{b} = \underline{d} \\ \left[ \begin{array}{cc} 0.0675 & -0.481 \\ -0.481 & 9.0 \end{array} \right] \left[ \begin{array}{c} b_1 \\ b_2 \end{array} \right] = \left[ \begin{array}{c} -2.912500 \\ 54.225000 \end{array} \right] \end{array}$$

c. Set up abbreviated Doolittle table and solve for b's.

Instruction		P matrix		<u>d</u>	Check
		X <sub>1</sub>	X <sub>2</sub>	y	c
1	X <sub>1</sub>	0.0625	-0.481	-2.912500	-3.331000
	X <sub>2</sub>		9.0	54.225000	62.744000
2	A <sub>ij</sub>	0.0625	-0.481	-29.12500	-3.331000
	B <sub>ij</sub>	1	-7.696000	-46.600000	-53.296000
3	A <sub>2j</sub>		5.298224	31.810400	37.108624
	B <sub>2j</sub>		1	6.003974	7.003974

Instruction 1. Insert augmented matrix values

$$c_1 = 0.0625 - 0.481 - 2.912500 = -3.331000$$

$$c_2 = -0.481 + 9.0 + 54.225000 = 62.744000$$

Instruction 2. Line A<sub>ij</sub> is copied from line X<sub>1</sub>

$$B_{11} = 0.0625/0.0625 = 1$$

$$B_{12} = -0.481/0.0625 = -7.696000$$

$$B_{13} = -2.912500/0.0625 = -46.600000$$

$$B_{1c} = -3.331000/0.0625 = -53.296000$$

which checks with

$$1 - 7.696000 - 46.600000 = -53.296000$$

Instruction 3.

$$A_{22} = 9.0 - (-0.481)(-7.696000) = 5.298224$$

$$A_{2g} = 54.225000 - (-0.481)(-46.600000) = 31.810400$$

$$A_{2c} = 62.744000 - (-0.481)(-53.296000) = 37.108624$$

which checks with

$$5.298224 + 31.810400 = 37.108624$$



$$B_{22} = 5.298224/5.298224 = 1$$

$$B_{2y} = 31.810400/5.298224 = 6.003974$$

$$B_{2c} = 37.108624/5.298224 = 7.003974$$

which checks with

$$1 + 6.003974 = 7.003974$$

Obtaining the regression coefficients, b's

$$b_2 = B_{2y} = 6.003974$$

$$b_1 = B_{1y} - B_{12}b_2 = -46.600000 - (-7.696000)(6.003974) = -0.393415$$

which differs from the previous estimates in the fifth place because of rounding errors.

## 2. Inverse matrix method

a. Obtain the inverse of the phenotypic matrix. The elements (c's) are:

$$c_{22} = 1/A_{22} = 1/5.298224 = 0.188743$$

$$c_{21} = c_{12} = -B_{12} c_{22} = - (7.696000)(0.188743) = 1.452566$$

$$c_{11} = 1/A_{11} - B_{12} c_{12} = 1/0.0625 - (-7.696000)(1.452566) = 27.178948$$

Thus

$$P^{-1} = \begin{bmatrix} 27.178948 & 1.452566 \\ 1.452566 & 0.188743 \end{bmatrix}$$

b. Multiply the inverse phenotypic matrix times the genotypic matrix

$$\begin{array}{cc} \text{p-1} & \text{G} \\ \begin{bmatrix} 27.178948 & 1.452566 \\ 1.452566 & 0.188743 \end{bmatrix} & \begin{bmatrix} 0.03125 & -0.1125 \\ -0.1125 & 4.05 \end{bmatrix} \end{array}$$

The elements (d's) of the D matrix are

$$d_{11} = (27.178948)(0.03125) + (1.452566)(-0.1125) = 0.685928$$

$$d_{21} = (1.452566)(0.03125) + (0.188743)(-0.1125) = 0.0241591$$

$$d_{12} = (27.178948)(-0.1125) + (1.452566)(4.05) = 2.8252606$$

$$d_{22} = (1.452566)(-0.1125) + (0.188743)(4.05) = 0.6009954$$

- c. The D matrix is multiplied by the economic values vector, a, and the b vector obtained.

$$\underline{b} = \begin{matrix} & \text{D} & & \underline{a} \\ \begin{bmatrix} 0.685928 & 2.825261 \\ 0.0241591 & 0.600995 \end{bmatrix} & & & \begin{bmatrix} -50 \\ 12 \end{bmatrix} \end{matrix}$$

$$b_1 = (0.685928)(-50) + (2.825261)(12) = -0.389080$$

$$b_2 = (0.0241591)(-50) + (0.600995)(12) = 6.003985$$

## INFORMATION FROM RELATIVES

It is possible to estimate the breeding value of an individual from relatives' information.

## I. Assumptions

- A. No sex linkage.
- B. The mated animals are unrelated (however, see Jardine, 1958, pg. 505).
- C. Additive genetic variance only is considered.
- D. No herd effects (however, see Skjervold and Odegard, 1959, pg. 347).
- E. No common environmental effects, maternal, etc. (see Jardine, 1958, pg. 501).

## II. Several records per individual

The heritability increases when several records are compiled on an animal. Examples would be successive shearings of sheep, lactations of a cow, number in successive litters of savine. The mean of these records is then used as the phenotypic observation on that animal.

Because it is a mean, heritability increases in accordance with the formula

$$h_m^2 = h_1^2 \frac{m}{1 + (m-1)R}$$

where  $m$  = number of records on an individual;  $h_m^2$  = heritability when  $m$  records are taken on an individual;  $h_1^2$  = heritability of single records;  $R$  = repeatability.

The formula  $\frac{m}{1 + (m-1)R}$  is given in table 1 for different numbers of records and repeatabilities.

## III. Estimation of breeding value

$$\begin{aligned} \text{Breeding value of individual} = & b_1 (X_I - \bar{x}_P) + b_2 (X_D - \bar{x}_P) + b_3 (X_S - \bar{x}_P) \\ & + b_4 (X_{MD} - \bar{x}_P) + b_5 (X_{SD} - \bar{x}_P) + b_6 (\bar{x}_{HS} - \bar{x}_P) + b_7 (\bar{x}_{FS} - \bar{x}_P) + b_8 \\ & (\bar{x}_O - \bar{x}_P). \end{aligned}$$

where the symbols I = individual, D = dam, S = sire, MD = maternal granddam, SD = paternal granddam, HS = half sibs, FS = full sibs, O = offspring,  $\bar{x}_P$  = phenotypic mean of the population. The breeding value of the individual is expressed as the deviation from its population mean.

The regression coefficients (b's) are given below for several common situations

## A. Ancestor records only

## 1. Dam or sire record

$$b_2 = 0.5 h_D^2 \quad \text{or} \quad b_3 = 0.5 h_S^2$$

## 2. Dam's record and maternal granddam

$$b_2 = \frac{h_D^2 (4 - h_{MD}^2)}{2 (4 - h_D^2 h_{MD}^2)}$$

$$b_4 = \frac{2 h_{MD}^2 (1 - h_D^2)}{2 (4 - h_D^2 h_{MD}^2)}$$

Example. In dairy cattle the maternal granddam of a young bull had four records of milk production averaging 12,000 pounds. Her population mean was 9,500 pounds. The dam had two records averaging 11,500 and the mean of her population was 9,200. With heritability of milk production 0.3 and repeatability 0.4, what is the estimated breeding value of the bull?

- a. Obtain heritabilities of multiple records using table 1.

$$h_2 = 0.3 (1.43) = 0.429$$

$$h_4 = 0.3 (1.82) = 0.546$$

- b. Solve for  $b_2$

$$b_2 = \frac{0.429 (4 - 0.546)}{2 [(4 - (0.429)(0.546))]} = \frac{1.482}{7.532} = 0.20$$

- c. Solve for  $b_4$

$$b_4 = \frac{2 (0.546)(1 - 0.429)}{7.532} = \frac{0.623}{7.532} = 0.083$$

- d. Using general estimation equation, solve for breeding value.

$$\begin{aligned} \text{B.V.} &= b_2 (X_D - \bar{x}_P) + b_4 (X_{MD} - \bar{x}_P) = 0.20 (11,500 - 9,200) \\ &\quad + 0.083 (12,000 - 9,500) = 460 + 207.5 = 667.5 \end{aligned}$$

The estimated breeding value of the bull is 667.5 lbs. of milk expressed as the deviation from his population mean.

## 3. Dam + maternal granddam + paternal granddam

$$b_2 \text{ and } b_4 \text{ are the same as in B above} \quad b_5 = 0.25 h_{SD}^2$$

## B. Ancestors and Individuals

## 1. Individual alone

$$b_1 = h_1^2$$

## 2. Individual + dam's or sire's record

$$b_1 = \frac{h_I^2 (4 - h_D^2)}{4 - h_I^2 h_D^2}$$

$$b_2 = \frac{2 h_D^2 (1 - h_I^2)}{4 - h_I^2 h_D^2}$$

If  $b_2$  is used instead of  $b_3$  then  $h_S^2$  is substituted for  $h_D^2$  in formula for  $b_2$ .

For further combinations see Skjervold and Odegard (1959).

## C. Ancestors and sibs

The record of the individual is not included in the mean of the sibs.

$$N = \frac{nd}{1 + (nd-1)t}$$

where  $n$  = number of full sibs per family.

$d$  = number of dams mated to a sire.

$t$  = intraclass correlation which in the case where  $n = 1$  is  $0.25 h^2$ .

## 1. Dam + paternal half sibs

$$b_2 = 0.5 h_D^2$$

$$b_6 = 0.25 h_{HS}^2 N$$

## 2. Sire + paternal half sibs

$$b_3 = \frac{h_S^2 (4 - h_{HS}^2 N)}{2 (4 - h_S^2 h_{HS}^2 N)}$$

$$b_6 = \frac{h_{HS}^2 N (1 - h_S^2)}{4 - h_S^2 h_{HS}^2 N}$$

## 3. Individual + dam + paternal half sibs

$$b_1 = \frac{h_I^2 (16 - 4 h_D^2 + h_{HS}^2 N)}{16 - 4 h_D^2 + h_{HS}^2 N}$$

$$b_2 = \frac{8 h_D^2 (1 - h_I^2)}{16 - 4 h_D^4 + h_{HS}^4 N}$$

$$b_6 = \frac{4 h_{HS}^2 N (1 - h_I^2)}{16 - 4 h_D^4 + h_{HS}^4 N}$$

For further combinations see Young (1961).

#### D. Sibs

It is assumed that only one record is available on each animal.

##### 1. Individual's record included

Full and half sib information is given along with the individual's record.

$$b_1 = \frac{h^2}{2 - h^2}$$

$$b_6 = \frac{4 nd (1 - h^2) h^2}{[4 + (n - 2) h^2] [4 + (n (d + 1) - 2)] h^2}$$

$$b_7 = \frac{h^2}{2 - h^2} \cdot \frac{2 n (1 - h^2)}{4 + (n - 2) h^2}$$

##### 2. Individual's record is not included. An example would be the selection of males in poultry.

$$b_6 = \frac{2 nd h^2 (2 - h^2)}{\{4 + (n - 2) h^2\} \{4 + [n (d + 1) - 2] h^2\}}$$

$$b_7 = \frac{n h^2}{4 + (n - 2) h^2}$$

when  $n$  varies among full sib families, replace  $nd$  by the number of individuals in the sire family. Compute the partial regression coefficients separately for each full sib family and determine the coefficients for each sire family using  $nd$ .

See Osborne (1957 a,b)

## E. Progeny

$N$  is as defined before. When there is a mixture of full and half sibs

$$t = \frac{n(d+1) - 2}{4(dn - 1)}$$

## 1. Progeny only

$$b_8 = 0.5 h_0^2 N$$

## 2. Individual + progeny

$$b_1 = \frac{2 dn (1 - h^2) h^2}{[1 + (n - 1) 2 h^2 + n (d - 1) h^2] - dn h^4}$$

$$b_8 = (1 - \frac{1}{2} b_1) h^2$$

where  $n$  is equal for each full sib family. See Jardine (1958) for coefficients when  $n$  is unequal.

## 3. Dam + paternal half sibs + half sib progeny. Example would be determining the breeding value of a dairy bull.

$$b_2 = \frac{8 h_D^2 (4 - h_0^2 N)}{64 - h_0^2 N (4 h_D^2 + h_{HS}^2 N)}$$

$$b_6 = \frac{4 h_{HS}^2 N (4 - h_0^2 N)}{64 - h_0^2 N (4 h_D^2 + h_{HS}^2 N)}$$

$$b_8 = \frac{2 h_0^2 N (16 - 4 h_D^2 + h_{HS}^2 N)}{64 - h_0^2 N (4 h_D^2 + h_{HS}^2 N)}$$

For further combinations see Jardine (1958) and Young (1961).



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## TABLES

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Table 1. The equation,  $\frac{m}{1 + (m - 1) R}$ , solved for 1 - 6 records per individual with different repeatabilities.

Number of records (m)	Repeatability (R)								
	0.1	0.2	0.3	0.4	0.5	0.6	0.7	0.8	0.9
1	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00
2	1.82	1.67	1.54	1.43	1.33	1.25	1.18	1.11	1.05
3	2.50	2.14	1.88	1.67	1.50	1.36	1.25	1.15	1.07
4	2.86	2.50	2.11	1.82	1.60	1.43	1.29	1.18	1.08
5	3.33	2.78	2.27	1.92	1.67	1.47	1.32	1.19	1.09
6	3.75	3.00	2.40	2.00	1.71	1.50	1.33	1.20	1.09

Table 2. Standardized selection differential when population size is less than 400.\*

No. Selected	Number in Population									No. Selected
	2	3	4	5	6	7	8	9	10	
1	0.564	0.846	1.029	1.163	1.267	1.352	1.424	1.485	1.539	1
2		0.423	0.663	0.829	0.954	1.055	1.138	1.209	1.270	2
3			0.343	0.553	0.704	0.821	0.916	0.996	1.065	3
4				0.291	0.477	0.616	0.725	0.816	0.893	4
5					0.253	0.422	0.550	0.653	0.739	5
6						0.225	0.379	0.498	0.595	6
7							0.203	0.345	0.457	7
8								0.186	0.318	8
9									0.171	9

No. Selected	Number in Population									No. Selected
	11	12	13	14	15	16	17	18	19	
1	1.586	1.629	1.668	1.703	1.736	1.766	1.794	1.820	1.844	1
2	1.324	1.372	1.416	1.456	1.492	1.525	1.556	1.585	1.612	2
3	1.126	1.179	1.227	1.271	1.311	1.347	1.381	1.412	1.441	3
4	0.960	1.019	1.071	1.119	1.162	1.201	1.237	1.271	1.302	4
5	0.813	0.877	0.935	0.986	1.032	1.075	1.114	1.150	1.183	5
6	0.677	0.748	0.811	0.866	0.916	0.962	1.003	1.042	1.077	6
7	0.548	0.627	0.695	0.755	0.809	0.858	0.902	0.943	0.981	7
8	0.422	0.509	0.584	0.650	0.708	0.760	0.808	0.851	0.891	8
9	0.294	0.393	0.476	0.548	0.611	0.667	0.718	0.764	0.807	9
10	0.159	0.274	0.368	0.447	0.516	0.577	0.632	0.681	0.726	10
11		0.148	0.257	0.347	0.422	0.489	0.547	0.600	0.648	11
12			0.139	0.243	0.328	0.400	0.464	0.521	0.572	12
13				0.131	0.230	0.311	0.381	0.442	0.497	13
14					0.124	0.218	0.296	0.363	0.423	14
15						0.118	0.208	0.282	0.347	15
16							0.112	0.198	0.270	16
17								0.107	0.190	17
18									0.102	18

\*Estimates of the standardized selection differential for numbers between the rows or columns of this table may be obtained by a linear approximation. The figures in this table were obtained by averaging data given by Harter (1961).

Table 2, continued

	20	21	22	23	24	25	26	27	28	29	
1	1.867	1.889	1.910	1.929	1.948	1.965	1.982	1.998	2.014	2.029	1
2	1.638	1.661	1.684	1.705	1.726	1.745	1.763	1.781	1.798	1.814	2
3	1.469	1.494	1.519	1.542	1.563	1.584	1.604	1.623	1.641	1.658	3
4	1.332	1.359	1.385	1.410	1.433	1.455	1.476	1.496	1.515	1.533	4
5	1.214	1.244	1.271	1.297	1.322	1.345	1.367	1.388	1.408	1.427	5
6	1.110	1.141	1.170	1.198	1.224	1.248	1.271	1.293	1.314	1.334	6
7	1.016	1.049	1.079	1.108	1.135	1.161	1.185	1.208	1.230	1.251	7
8	0.928	0.963	0.995	1.025	1.054	1.081	1.106	1.130	1.153	1.175	8
9	0.846	0.882	0.916	0.948	0.978	1.006	1.032	1.058	1.082	1.104	9
10	0.767	0.806	0.842	0.875	0.906	0.936	0.963	0.990	1.015	1.038	10
11	0.692	0.733	0.770	0.805	0.838	0.869	0.898	0.925	0.951	0.976	11
12	0.619	0.662	0.701	0.738	0.772	0.805	0.835	0.863	0.890	0.916	12
13	0.547	0.592	0.634	0.673	0.709	0.743	0.774	0.804	0.832	0.859	13
14	0.476	0.524	0.569	0.609	0.647	0.683	0.716	0.747	0.776	0.804	14
15	0.405	0.457	0.504	0.547	0.587	0.624	0.658	0.691	0.721	0.750	15
16	0.333	0.389	0.439	0.485	0.527	0.566	0.602	0.636	0.668	0.698	16
17	0.259	0.320	0.374	0.423	0.467	0.508	0.547	0.582	0.615	0.647	17
18	0.182	0.249	0.308	0.360	0.408	0.451	0.492	0.529	0.564	0.596	18
19	0.098	0.175	0.240	0.297	0.348	0.394	0.437	0.476	0.512	0.546	19
20		0.094	0.168	0.231	0.287	0.336	0.381	0.423	0.461	0.497	20
21			0.091	0.162	0.223	0.277	0.325	0.370	0.410	0.448	21
22				0.088	0.157	0.216	0.268	0.315	0.358	0.398	22
23					0.085	0.152	0.209	0.260	0.306	0.348	23
24						0.082	0.147	0.203	0.252	0.297	24
25							0.079	0.142	0.197	0.245	25
26								0.077	0.138	0.191	26
27									0.075	0.134	27
28										0.072	28

Table 2, continued

	30	31	32	33	34	35	36	37	38	39	
1	2.043	2.056	2.070	2.082	2.095	2.107	2.118	2.129	2.140	2.151	1
2	1.829	1.844	1.858	1.872	1.886	1.898	1.911	1.923	1.935	1.946	2
3	1.674	1.690	1.706	1.720	1.734	1.748	1.761	1.774	1.787	1.799	3
4	1.550	1.567	1.583	1.599	1.614	1.628	1.642	1.656	1.669	1.681	4
5	1.446	1.463	1.480	1.496	1.512	1.527	1.542	1.556	1.570	1.583	5
6	1.354	1.372	1.390	1.407	1.423	1.439	1.454	1.469	1.483	1.497	6
7	1.271	1.290	1.309	1.327	1.344	1.360	1.376	1.391	1.406	1.420	7
8	1.196	1.216	1.235	1.254	1.271	1.288	1.305	1.321	1.336	1.351	8
9	1.126	1.147	1.167	1.186	1.204	1.222	1.239	1.255	1.271	1.287	9
10	1.061	1.083	1.103	1.123	1.142	1.160	1.178	1.195	1.211	1.227	10
11	0.999	1.022	1.043	1.064	1.083	1.102	1.120	1.138	1.155	1.171	11
12	0.941	0.964	0.986	1.007	1.028	1.047	1.066	1.084	1.101	1.118	12
13	0.884	0.908	0.931	0.953	0.974	0.994	1.014	1.032	1.050	1.068	13
14	0.830	0.855	0.879	0.902	0.923	0.944	0.964	0.983	1.002	1.020	14
15	0.777	0.803	0.828	0.852	0.874	0.895	0.916	0.936	0.955	0.973	15
16	0.726	0.753	0.779	0.803	0.826	0.848	0.870	0.890	0.910	0.929	16
17	0.676	0.704	0.731	0.756	0.780	0.803	0.825	0.846	0.866	0.885	17
18	0.627	0.656	0.683	0.710	0.734	0.758	0.781	0.802	0.823	0.843	18
19	0.579	0.609	0.637	0.664	0.690	0.714	0.738	0.760	0.782	0.802	19
20	0.530	0.562	0.592	0.620	0.646	0.672	0.696	0.719	0.741	0.762	20
21	0.483	0.515	0.546	0.576	0.603	0.629	0.654	0.678	0.701	0.723	21
22	0.435	0.469	0.501	0.532	0.560	0.588	0.613	0.638	0.662	0.684	22
23	0.387	0.423	0.457	0.488	0.518	0.546	0.573	0.599	0.623	0.646	23
24	0.338	0.376	0.412	0.445	0.476	0.505	0.533	0.559	0.584	0.608	24
25	0.289	0.329	0.367	0.401	0.434	0.464	0.493	0.520	0.546	0.571	25
26	0.239	0.281	0.321	0.357	0.391	0.423	0.453	0.481	0.508	0.534	26
27	0.186	0.232	0.274	0.313	0.348	0.382	0.413	0.443	0.470	0.497	27
28	0.131	0.181	0.226	0.267	0.305	0.340	0.373	0.404	0.433	0.460	28
29	0.070	0.127	0.176	0.221	0.261	0.298	0.332	0.364	0.395	0.423	29
30		0.069	0.124	0.172	0.215	0.255	0.291	0.325	0.356	0.386	30
31			0.067	0.121	0.168	0.210	0.249	0.284	0.317	0.349	31
32				0.065	0.118	0.164	0.205	0.243	0.278	0.311	32
33					0.063	0.115	0.160	0.201	0.238	0.272	33
34						0.062	0.112	0.157	0.196	0.233	34
35							0.061	0.110	0.153	0.192	35
36								0.059	0.107	0.150	36
37									0.058	0.105	37
38										0.057	38

Table 2, continued

	40	41	42	43	44	45	46	47	48	49	
1	2.161	2.171	2.180	2.190	2.199	2.208	2.216	2.225	2.233	2.241	1
2	1.957	1.968	1.978	1.988	1.998	2.008	2.017	2.026	2.035	2.044	2
3	1.810	1.822	1.833	1.843	1.854	1.864	1.874	1.883	1.893	1.902	3
4	1.694	1.706	1.717	1.728	1.739	1.750	1.760	1.770	1.780	1.790	4
5	1.596	1.608	1.620	1.632	1.643	1.654	1.665	1.675	1.686	1.696	5
6	1.510	1.523	1.536	1.548	1.560	1.571	1.582	1.593	1.604	1.614	6
7	1.434	1.447	1.460	1.473	1.485	1.497	1.509	1.520	1.531	1.542	7
8	1.365	1.379	1.392	1.405	1.418	1.430	1.442	1.454	1.465	1.476	8
9	1.301	1.316	1.330	1.343	1.356	1.369	1.381	1.393	1.405	1.416	9
10	1.242	1.257	1.271	1.285	1.299	1.312	1.324	1.337	1.349	1.360	10
11	1.187	1.202	1.217	1.231	1.245	1.258	1.271	1.284	1.296	1.308	11
12	1.134	1.150	1.165	1.180	1.194	1.208	1.221	1.234	1.247	1.259	12
13	1.084	1.101	1.116	1.131	1.146	1.160	1.174	1.187	1.200	1.213	13
14	1.037	1.053	1.069	1.085	1.100	1.114	1.129	1.142	1.156	1.169	14
15	0.991	1.008	1.024	1.040	1.056	1.071	1.085	1.099	1.113	1.126	15
16	0.947	0.964	0.981	0.998	1.013	1.029	1.044	1.058	1.072	1.086	16
17	0.904	0.922	0.939	0.956	0.972	0.988	1.003	1.018	1.032	1.046	17
18	0.862	0.881	0.899	0.916	0.933	0.949	0.964	0.980	0.994	1.008	18
19	0.822	0.841	0.859	0.877	0.894	0.911	0.927	0.942	0.957	0.972	19
20	0.782	0.802	0.821	0.839	0.857	0.873	0.890	0.906	0.921	0.936	20
21	0.744	0.764	0.783	0.802	0.820	0.837	0.854	0.870	0.886	0.901	21
22	0.706	0.726	0.746	0.765	0.784	0.802	0.819	0.836	0.852	0.867	22
23	0.668	0.689	0.710	0.730	0.749	0.767	0.784	0.801	0.818	0.834	23
24	0.631	0.653	0.674	0.694	0.714	0.733	0.751	0.768	0.785	0.801	24
25	0.595	0.617	0.639	0.660	0.680	0.699	0.717	0.735	0.753	0.769	25
26	0.558	0.582	0.604	0.625	0.646	0.665	0.684	0.703	0.721	0.738	26
27	0.522	0.546	0.569	0.591	0.612	0.633	0.652	0.671	0.689	0.707	27
28	0.486	0.511	0.535	0.557	0.579	0.600	0.620	0.639	0.658	0.676	28
29	0.450	0.476	0.500	0.524	0.546	0.568	0.588	0.608	0.627	0.646	29
30	0.414	0.441	0.466	0.490	0.513	0.535	0.557	0.577	0.597	0.615	30
31	0.378	0.405	0.432	0.457	0.481	0.503	0.525	0.546	0.566	0.586	31
32	0.341	0.370	0.397	0.423	0.448	0.471	0.494	0.515	0.536	0.556	32
33	0.304	0.334	0.363	0.389	0.415	0.439	0.462	0.485	0.506	0.526	33
34	0.267	0.298	0.328	0.355	0.382	0.407	0.431	0.454	0.476	0.497	34
35	0.228	0.261	0.292	0.321	0.349	0.375	0.400	0.423	0.446	0.467	35
36	0.188	0.223	0.256	0.286	0.315	0.342	0.368	0.392	0.416	0.438	36
37	0.147	0.184	0.219	0.251	0.281	0.309	0.336	0.361	0.385	0.408	37
38	0.103	0.144	0.181	0.215	0.246	0.276	0.304	0.330	0.355	0.379	38
39	0.055	0.101	0.141	0.177	0.211	0.242	0.271	0.298	0.324	0.349	39
40		0.054	0.099	0.138	0.174	0.207	0.237	0.266	0.293	0.319	40
41			0.053	0.097	0.136	0.171	0.203	0.233	0.261	0.288	41
42				0.052	0.095	0.133	0.168	0.199	0.229	0.257	42
43					0.051	0.093	0.131	0.165	0.196	0.225	43
44						0.050	0.092	0.128	0.162	0.193	44
45							0.049	0.090	0.126	0.159	45
46								0.048	0.088	0.124	46
47									0.048	0.087	47
48										0.047	48

Table 2, continued

	50	55	60	65	70	75	80	85	90	95	
1	2.249	2.286	2.319	2.350	2.377	2.403	2.427	2.449	2.470	2.489	1
2	2.052	2.092	2.127	2.160	2.189	2.217	2.242	2.266	2.288	2.308	2
3	1.911	1.953	1.990	2.024	2.055	2.084	2.111	2.135	2.159	2.180	3
4	1.799	1.843	1.882	1.918	1.950	1.980	2.008	2.033	2.057	2.080	4
5	1.705	1.751	1.792	1.829	1.862	1.893	1.922	1.948	1.973	1.996	5
6	1.624	1.671	1.713	1.752	1.786	1.818	1.848	1.875	1.901	1.925	6
7	1.552	1.601	1.644	1.683	1.719	1.752	1.782	1.811	1.837	1.861	7
8	1.487	1.537	1.582	1.622	1.659	1.693	1.724	1.752	1.779	1.804	8
9	1.427	1.479	1.525	1.566	1.604	1.638	1.670	1.700	1.727	1.753	9
10	1.372	1.425	1.472	1.514	1.553	1.588	1.621	1.651	1.679	1.705	10
11	1.320	1.374	1.423	1.466	1.506	1.542	1.575	1.606	1.634	1.661	11
12	1.271	1.327	1.376	1.421	1.461	1.498	1.532	1.563	1.593	1.620	12
13	1.225	1.282	1.333	1.378	1.419	1.457	1.492	1.524	1.553	1.581	13
14	1.181	1.240	1.291	1.338	1.380	1.418	1.453	1.486	1.516	1.544	14
15	1.139	1.199	1.252	1.299	1.342	1.381	1.417	1.450	1.481	1.510	15
16	1.099	1.160	1.214	1.262	1.306	1.346	1.382	1.416	1.447	1.476	16
17	1.060	1.122	1.177	1.227	1.271	1.312	1.349	1.383	1.415	1.445	17
18	1.022	1.086	1.142	1.193	1.238	1.279	1.317	1.352	1.384	1.414	18
19	0.986	1.051	1.109	1.160	1.206	1.248	1.286	1.322	1.354	1.385	19
20	0.951	1.017	1.076	1.128	1.175	1.217	1.257	1.292	1.326	1.357	20
21	0.916	0.984	1.044	1.097	1.145	1.188	1.228	1.264	1.298	1.329	21
22	0.882	0.952	1.013	1.067	1.116	1.160	1.200	1.237	1.271	1.303	22
23	0.849	0.920	0.983	1.038	1.087	1.132	1.173	1.210	1.245	1.277	23
24	0.817	0.890	0.953	1.009	1.059	1.105	1.147	1.185	1.220	1.253	24
25	0.786	0.860	0.924	0.981	1.032	1.079	1.121	1.160	1.195	1.228	25
26	0.754	0.830	0.896	0.954	1.006	1.053	1.096	1.135	1.171	1.205	26
27	0.724	0.801	0.868	0.927	0.980	1.028	1.071	1.111	1.148	1.182	27
28	0.693	0.772	0.841	0.901	0.955	1.003	1.047	1.088	1.125	1.159	28
29	0.663	0.744	0.814	0.875	0.930	0.979	1.024	1.065	1.103	1.138	29
30	0.634	0.716	0.788	0.850	0.906	0.956	1.001	1.042	1.081	1.116	30
31	0.604	0.689	0.761	0.825	0.882	0.932	0.978	1.020	1.059	1.095	31
32	0.575	0.662	0.736	0.801	0.858	0.910	0.956	0.999	1.038	1.074	32
33	0.546	0.635	0.710	0.776	0.835	0.887	0.934	0.978	1.017	1.054	33
34	0.517	0.608	0.685	0.752	0.812	0.865	0.913	0.957	0.997	1.034	34
35	0.488	0.581	0.660	0.729	0.789	0.843	0.892	0.936	0.977	1.015	35
36	0.459	0.555	0.635	0.705	0.767	0.821	0.871	0.916	0.957	0.995	36
37	0.430	0.528	0.611	0.682	0.744	0.800	0.850	0.896	0.938	0.976	37
38	0.401	0.502	0.586	0.659	0.722	0.779	0.830	0.876	0.919	0.958	38
39	0.372	0.476	0.562	0.636	0.701	0.758	0.810	0.857	0.900	0.939	39
40	0.343	0.450	0.538	0.613	0.679	0.738	0.790	0.838	0.881	0.921	40
41	0.313	0.423	0.514	0.591	0.658	0.717	0.770	0.819	0.863	0.903	41
42	0.283	0.397	0.490	0.568	0.637	0.697	0.751	0.800	0.844	0.885	42
43	0.253	0.370	0.466	0.546	0.615	0.677	0.732	0.781	0.826	0.868	43
44	0.221	0.344	0.441	0.524	0.594	0.657	0.713	0.763	0.809	0.851	44
45	0.189	0.317	0.417	0.501	0.574	0.637	0.694	0.745	0.791	0.833	45
46	0.156	0.289	0.393	0.479	0.553	0.617	0.675	0.726	0.773	0.816	46
47	0.122	0.262	0.369	0.457	0.532	0.598	0.656	0.709	0.756	0.800	47

Table 2, continued

	50	55	60	65	70	75	80	85	90	95	
48	0.085	0.233	0.344	0.434	0.511	0.578	0.637	0.691	0.739	0.783	48
49	0.046	0.205	0.319	0.412	0.491	0.559	0.619	0.673	0.722	0.767	49
50		0.175	0.294	0.390	0.470	0.539	0.601	0.655	0.705	0.750	50
51		0.145	0.269	0.367	0.449	0.520	0.582	0.638	0.688	0.734	51
52		0.113	0.243	0.345	0.429	0.501	0.564	0.620	0.671	0.718	52
53		0.079	0.217	0.322	0.408	0.481	0.546	0.603	0.655	0.702	53
54		0.042	0.190	0.299	0.387	0.462	0.528	0.586	0.638	0.686	54
55			0.163	0.275	0.366	0.443	0.509	0.569	0.622	0.670	55
56			0.134	0.252	0.345	0.423	0.491	0.551	0.605	0.654	56
57			0.105	0.228	0.324	0.404	0.473	0.534	0.589	0.638	57
58			0.073	0.203	0.302	0.384	0.455	0.517	0.573	0.623	58
59			0.039	0.178	0.281	0.365	0.437	0.500	0.557	0.607	59
60				0.152	0.259	0.345	0.419	0.483	0.540	0.592	60
61				0.126	0.237	0.325	0.401	0.466	0.524	0.576	61
62				0.098	0.214	0.305	0.382	0.449	0.508	0.561	62
63				0.069	0.191	0.285	0.364	0.432	0.492	0.546	63
64				0.037	0.167	0.265	0.346	0.415	0.476	0.530	64
65					0.143	0.244	0.327	0.398	0.460	0.515	65
66					0.118	0.223	0.308	0.380	0.444	0.500	66
67					0.092	0.202	0.289	0.363	0.427	0.485	67
68					0.064	0.180	0.270	0.346	0.411	0.469	68
69					0.034	0.158	0.251	0.328	0.395	0.454	69
70						0.135	0.232	0.311	0.379	0.439	70
71						0.112	0.212	0.293	0.362	0.423	71
72						0.087	0.192	0.275	0.346	0.408	72
73						0.061	0.171	0.257	0.330	0.393	73
74						0.032	0.150	0.239	0.313	0.377	74
75							0.128	0.220	0.296	0.362	75
76							0.106	0.201	0.279	0.346	76
77							0.082	0.182	0.262	0.331	77
78							0.057	0.162	0.245	0.315	78
79							0.031	0.142	0.228	0.299	79
80								0.122	0.210	0.283	80
81								0.100	0.192	0.267	81
82								0.078	0.174	0.251	82
83								0.055	0.155	0.234	83
84								0.029	0.136	0.218	84
85									0.116	0.201	85
86									0.096	0.183	86
87									0.074	0.166	87
88									0.052	0.148	88
89									0.028	0.130	89
90										0.111	90
91										0.091	91
92										0.071	92
93										0.050	93
94										0.026	94



Table 2, continued

	100	125	150	175	200	225	250	300	350	400	
1	2.508	2.586	2.649	2.701	2.746	2.785	2.819	2.878	2.927	2.968	1
2	2.328	2.411	2.478	2.533	2.580	2.621	2.657	2.718	2.769	2.813	2
3	2.201	2.288	2.357	2.414	2.463	2.506	2.543	2.607	2.660	2.705	3
4	2.101	2.191	2.263	2.322	2.372	2.416	2.455	2.520	2.574	2.621	4
5	2.018	2.111	2.185	2.246	2.297	2.342	2.382	2.449	2.504	2.552	5
6	1.947	2.043	2.118	2.181	2.233	2.279	2.320	2.388	2.445	2.493	6
7	1.884	1.982	2.060	2.123	2.177	2.224	2.265	2.335	2.393	2.442	7
8	1.828	1.928	2.007	2.072	2.127	2.175	2.217	2.288	2.346	2.396	8
9	1.777	1.879	1.960	2.026	2.082	2.130	2.173	2.245	2.304	2.355	9
10	1.730	1.834	1.916	1.983	2.040	2.089	2.132	2.206	2.266	2.317	10
11	1.686	1.792	1.876	1.944	2.002	2.052	2.095	2.170	2.231	2.283	11
12	1.645	1.753	1.838	1.907	1.966	2.017	2.061	2.136	2.198	2.250	12
13	1.607	1.717	1.803	1.873	1.932	1.984	2.029	2.105	2.167	2.220	13
14	1.571	1.682	1.770	1.841	1.901	1.953	1.998	2.075	2.139	2.192	14
15	1.536	1.650	1.738	1.810	1.871	1.924	1.970	2.048	2.112	2.166	15
16	1.504	1.619	1.708	1.781	1.843	1.896	1.943	2.021	2.086	2.141	16
17	1.472	1.589	1.680	1.754	1.816	1.870	1.917	1.996	2.061	2.117	17
18	1.442	1.560	1.652	1.727	1.790	1.845	1.892	1.972	2.038	2.094	18
19	1.413	1.533	1.626	1.702	1.766	1.821	1.869	1.950	2.016	2.072	19
20	1.386	1.507	1.601	1.678	1.742	1.798	1.846	1.928	1.995	2.051	20
21	1.359	1.482	1.577	1.655	1.720	1.775	1.824	1.907	1.974	2.031	21
22	1.333	1.457	1.554	1.632	1.698	1.754	1.803	1.886	1.955	2.012	22
23	1.308	1.434	1.531	1.610	1.677	1.733	1.783	1.867	1.936	1.993	23
24	1.283	1.411	1.509	1.589	1.656	1.714	1.764	1.848	1.917	1.976	24
25	1.259	1.388	1.488	1.569	1.636	1.694	1.745	1.830	1.900	1.958	25
26	1.236	1.367	1.467	1.549	1.617	1.675	1.726	1.812	1.882	1.942	26
27	1.214	1.346	1.447	1.530	1.598	1.657	1.709	1.795	1.866	1.925	27
28	1.192	1.325	1.428	1.511	1.580	1.640	1.691	1.778	1.850	1.910	28
29	1.170	1.305	1.409	1.493	1.563	1.622	1.675	1.762	1.834	1.894	29
30	1.149	1.286	1.390	1.475	1.545	1.606	1.658	1.746	1.819	1.879	30
31	1.128	1.266	1.372	1.457	1.529	1.589	1.642	1.731	1.804	1.865	31
32	1.108	1.248	1.354	1.440	1.512	1.573	1.627	1.716	1.789	1.851	32
33	1.088	1.229	1.337	1.424	1.496	1.558	1.612	1.702	1.775	1.837	33
34	1.069	1.211	1.320	1.408	1.480	1.543	1.597	1.687	1.761	1.823	34
35	1.050	1.194	1.304	1.392	1.465	1.528	1.582	1.673	1.748	1.810	35
36	1.031	1.176	1.287	1.376	1.450	1.513	1.568	1.660	1.734	1.797	36
37	1.012	1.159	1.271	1.361	1.435	1.499	1.554	1.646	1.722	1.785	37
38	0.994	1.143	1.255	1.346	1.421	1.485	1.540	1.633	1.709	1.772	38
39	0.976	1.126	1.240	1.331	1.407	1.471	1.527	1.620	1.697	1.760	39
40	0.958	1.110	1.225	1.317	1.393	1.458	1.514	1.608	1.684	1.749	40
41	0.941	1.094	1.210	1.302	1.379	1.444	1.501	1.596	1.672	1.737	41
42	0.923	1.078	1.195	1.288	1.366	1.431	1.488	1.583	1.661	1.726	42
43	0.906	1.063	1.181	1.275	1.352	1.418	1.476	1.572	1.649	1.714	43
44	0.889	1.047	1.166	1.261	1.339	1.406	1.464	1.560	1.638	1.704	44
45	0.873	1.032	1.152	1.248	1.327	1.393	1.452	1.548	1.627	1.693	45
46	0.856	1.018	1.138	1.235	1.314	1.381	1.440	1.537	1.616	1.682	46
47	0.840	1.003	1.125	1.222	1.301	1.369	1.428	1.526	1.605	1.672	47
48	0.824	0.988	1.111	1.209	1.289	1.357	1.417	1.515	1.595	1.662	48
49	0.808	0.974	1.098	1.196	1.277	1.346	1.405	1.504	1.584	1.651	49

Table 2, continued

	100	125	150	175	200	225	250	300	350	400	
50	0.792	0.960	1.085	1.184	1.265	1.334	1.394	1.494	1.574	1.642	50
51	0.776	0.946	1.072	1.171	1.253	1.323	1.383	1.483	1.564	1.632	51
52	0.760	0.932	1.059	1.159	1.242	1.312	1.372	1.473	1.554	1.622	52
53	0.745	0.918	1.046	1.147	1.230	1.301	1.362	1.463	1.544	1.613	53
54	0.729	0.904	1.034	1.135	1.219	1.290	1.351	1.453	1.535	1.603	54
55	0.714	0.891	1.021	1.124	1.208	1.279	1.341	1.443	1.525	1.594	55
56	0.699	0.877	1.009	1.112	1.197	1.268	1.330	1.433	1.516	1.585	56
57	0.684	0.864	0.997	1.101	1.186	1.258	1.320	1.423	1.507	1.576	57
58	0.669	0.851	0.985	1.089	1.175	1.248	1.310	1.414	1.497	1.567	58
59	0.654	0.838	0.973	1.078	1.165	1.237	1.300	1.404	1.488	1.558	59
60	0.639	0.825	0.961	1.067	1.154	1.227	1.290	1.395	1.479	1.550	60
61	0.624	0.812	0.949	1.056	1.144	1.217	1.281	1.386	1.471	1.541	61
62	0.609	0.799	0.937	1.045	1.133	1.207	1.271	1.377	1.462	1.533	62
63	0.594	0.787	0.926	1.034	1.123	1.197	1.262	1.368	1.453	1.525	63
64	0.580	0.774	0.914	1.024	1.113	1.188	1.252	1.359	1.445	1.516	64
65	0.565	0.761	0.903	1.013	1.103	1.178	1.243	1.350	1.436	1.508	65
66	0.551	0.749	0.892	1.003	1.093	1.169	1.234	1.342	1.428	1.500	66
67	0.536	0.737	0.881	0.992	1.083	1.159	1.225	1.333	1.420	1.492	67
68	0.521	0.724	0.869	0.982	1.073	1.150	1.216	1.324	1.412	1.484	68
69	0.507	0.712	0.858	0.972	1.064	1.141	1.207	1.316	1.404	1.477	69
70	0.492	0.700	0.847	0.961	1.054	1.131	1.198	1.308	1.396	1.469	70
71	0.478	0.688	0.837	0.951	1.044	1.122	1.189	1.299	1.388	1.461	71
72	0.463	0.676	0.826	0.941	1.035	1.113	1.181	1.291	1.380	1.454	72
73	0.449	0.664	0.815	0.931	1.026	1.104	1.172	1.283	1.372	1.446	73
74	0.434	0.652	0.804	0.922	1.016	1.095	1.163	1.275	1.365	1.439	74
75	0.420	0.640	0.794	0.912	1.007	1.087	1.155	1.267	1.357	1.432	75
76	0.405	0.628	0.783	0.902	0.998	1.078	1.147	1.259	1.350	1.425	76
77	0.391	0.616	0.773	0.892	0.989	1.069	1.138	1.252	1.342	1.417	77
78	0.376	0.604	0.762	0.883	0.980	1.061	1.130	1.244	1.335	1.410	78
79	0.361	0.592	0.752	0.873	0.971	1.052	1.122	1.236	1.327	1.403	79
80	0.346	0.581	0.742	0.864	0.962	1.044	1.114	1.228	1.320	1.396	80
81	0.332	0.569	0.731	0.854	0.953	1.035	1.106	1.221	1.313	1.389	81
82	0.317	0.557	0.721	0.845	0.944	1.027	1.098	1.213	1.306	1.382	82
83	0.302	0.546	0.711	0.836	0.936	1.019	1.090	1.206	1.299	1.376	83
84	0.286	0.534	0.701	0.826	0.927	1.011	1.082	1.199	1.292	1.369	84
85	0.271	0.522	0.691	0.817	0.918	1.002	1.074	1.191	1.285	1.362	85
86	0.256	0.511	0.680	0.808	0.910	0.994	1.066	1.184	1.278	1.356	86
87	0.240	0.499	0.670	0.799	0.901	0.986	1.059	1.177	1.271	1.349	87
88	0.224	0.487	0.660	0.790	0.893	0.978	1.051	1.170	1.264	1.343	88
89	0.208	0.476	0.650	0.781	0.884	0.970	1.043	1.163	1.258	1.336	89
90	0.192	0.464	0.640	0.772	0.876	0.962	1.036	1.156	1.251	1.330	90
91	0.176	0.453	0.631	0.763	0.868	0.955	1.028	1.149	1.244	1.323	91
92	0.159	0.441	0.621	0.754	0.860	0.947	1.021	1.142	1.238	1.317	92
93	0.142	0.429	0.611	0.745	0.851	0.939	1.013	1.135	1.231	1.311	93
94	0.124	0.418	0.601	0.736	0.843	0.931	1.006	1.128	1.225	1.305	94
95	0.106	0.406	0.591	0.727	0.835	0.924	0.999	1.121	1.218	1.298	95
96	0.088	0.394	0.581	0.719	0.827	0.916	0.991	1.114	1.212	1.292	96
97	0.068	0.383	0.572	0.710	0.819	0.908	0.984	1.108	1.205	1.286	97
98	0.048	0.371	0.562	0.701	0.811	0.901	0.977	1.101	1.199	1.280	98
99	0.025	0.359	0.552	0.692	0.803	0.893	0.970	1.094	1.193	1.274	99

Table 2, continued

	100	125	150	175	200	225	250	300	350	400	
100		0.347	0.542	0.684	0.795	0.886	0.963	1.088	1.187	1.268	100
101		0.335	0.533	0.675	0.787	0.878	0.956	1.081	1.180	1.262	101
102		0.323	0.523	0.667	0.779	0.871	0.949	1.075	1.174	1.256	102
103		0.311	0.513	0.658	0.771	0.864	0.942	1.068	1.168	1.251	103
104		0.299	0.504	0.649	0.763	0.856	0.935	1.062	1.162	1.245	104
105		0.287	0.494	0.641	0.755	0.849	0.928	1.055	1.156	1.239	105
106		0.275	0.484	0.632	0.748	0.842	0.921	1.049	1.150	1.233	106
107		0.263	0.474	0.624	0.740	0.834	0.914	1.043	1.144	1.228	107
108		0.250	0.465	0.616	0.732	0.827	0.907	1.036	1.138	1.222	108
109		0.238	0.455	0.607	0.724	0.820	0.900	1.030	1.132	1.216	109
110		0.225	0.445	0.599	0.717	0.813	0.894	1.024	1.126	1.211	110
111		0.212	0.436	0.590	0.709	0.806	0.887	1.018	1.121	1.205	111
112		0.199	0.426	0.582	0.702	0.799	0.880	1.012	1.115	1.200	112
113		0.186	0.416	0.573	0.694	0.792	0.873	1.005	1.109	1.194	113
114		0.173	0.406	0.565	0.686	0.785	0.867	0.999	1.103	1.189	114
115		0.159	0.397	0.557	0.679	0.778	0.860	0.993	1.098	1.183	115
116		0.146	0.387	0.548	0.671	0.771	0.854	0.987	1.092	1.178	116
117		0.132	0.377	0.540	0.664	0.764	0.847	0.981	1.086	1.172	117
118		0.118	0.367	0.532	0.656	0.757	0.840	0.975	1.081	1.167	118
119		0.103	0.357	0.523	0.649	0.750	0.834	0.969	1.075	1.162	119
120		0.088	0.348	0.515	0.641	0.743	0.827	0.963	1.070	1.156	120
121		0.072	0.338	0.507	0.634	0.736	0.821	0.957	1.064	1.151	121
122		0.056	0.328	0.498	0.626	0.729	0.815	0.951	1.059	1.146	122
123		0.039	0.318	0.490	0.619	0.722	0.808	0.946	1.053	1.141	123
124		0.021	0.308	0.482	0.612	0.715	0.802	0.940	1.048	1.136	124
125			0.298	0.473	0.604	0.709	0.795	0.934	1.042	1.130	125
126			0.287	0.465	0.597	0.702	0.789	0.928	1.037	1.125	126
127			0.277	0.457	0.590	0.695	0.783	0.922	1.031	1.120	127
128			0.267	0.449	0.582	0.688	0.776	0.917	1.026	1.115	128
129			0.257	0.440	0.575	0.682	0.770	0.911	1.021	1.110	129
130			0.246	0.432	0.567	0.675	0.764	0.905	1.015	1.105	130
131			0.236	0.424	0.560	0.668	0.758	0.900	1.010	1.100	131
132			0.225	0.415	0.553	0.662	0.751	0.894	1.005	1.095	132
133			0.215	0.407	0.546	0.655	0.745	0.888	1.000	1.090	133
134			0.204	0.398	0.538	0.648	0.739	0.883	0.994	1.085	134
135			0.193	0.390	0.531	0.642	0.733	0.877	0.989	1.080	135
136			0.182	0.382	0.524	0.635	0.727	0.872	0.984	1.075	136
137			0.171	0.373	0.516	0.628	0.720	0.866	0.979	1.071	137
138			0.160	0.365	0.509	0.622	0.714	0.861	0.974	1.066	138
139			0.148	0.356	0.502	0.615	0.708	0.855	0.969	1.061	139
140			0.137	0.348	0.495	0.609	0.702	0.850	0.964	1.056	140
141			0.125	0.339	0.487	0.602	0.696	0.844	0.959	1.051	141
142			0.113	0.331	0.480	0.596	0.690	0.839	0.954	1.047	142
143			0.101	0.322	0.473	0.589	0.684	0.833	0.949	1.042	143
144			0.088	0.314	0.465	0.582	0.678	0.828	0.943	1.037	144
145			0.075	0.305	0.458	0.576	0.672	0.823	0.939	1.032	145
146			0.062	0.296	0.451	0.569	0.666	0.817	0.934	1.028	146
147			0.048	0.288	0.444	0.563	0.660	0.812	0.929	1.023	147
148			0.033	0.279	0.436	0.556	0.654	0.806	0.924	1.018	148
149			0.018	0.270	0.429	0.550	0.648	0.801	0.919	1.014	149

Table 2, continued

	100	125	150	175	200	225	250	300	350	400	
150				0.261	0.422	0.543	0.642	0.796	0.914	1.009	150
151				0.253	0.414	0.537	0.636	0.791	0.909	1.005	151
152				0.244	0.407	0.530	0.630	0.785	0.904	1.000	152
153				0.235	0.400	0.524	0.624	0.780	0.899	0.995	153
154				0.226	0.392	0.517	0.618	0.775	0.894	0.991	154
155				0.217	0.385	0.511	0.612	0.769	0.890	0.986	155
156				0.207	0.378	0.504	0.606	0.764	0.885	0.982	156
157				0.198	0.370	0.498	0.600	0.759	0.880	0.977	157
158				0.189	0.363	0.492	0.594	0.754	0.875	0.973	158
159				0.179	0.356	0.485	0.589	0.749	0.870	0.968	159
160				0.170	0.348	0.479	0.583	0.743	0.866	0.964	160
161				0.160	0.341	0.472	0.577	0.738	0.861	0.959	161
162				0.150	0.333	0.466	0.571	0.733	0.856	0.955	162
163				0.140	0.326	0.459	0.565	0.728	0.852	0.951	163
164				0.130	0.318	0.453	0.559	0.723	0.847	0.946	164
165				0.120	0.311	0.446	0.553	0.718	0.842	0.942	165
166				0.110	0.303	0.440	0.547	0.713	0.838	0.938	166
167				0.099	0.296	0.433	0.542	0.708	0.833	0.933	167
168				0.088	0.288	0.427	0.536	0.702	0.828	0.929	168
169				0.077	0.280	0.420	0.530	0.697	0.824	0.925	169
170				0.066	0.273	0.414	0.524	0.692	0.819	0.920	170
171				0.054	0.265	0.407	0.518	0.687	0.814	0.916	171
172				0.042	0.257	0.401	0.512	0.682	0.810	0.912	172
173				0.029	0.249	0.394	0.507	0.677	0.805	0.907	173
174				0.016	0.242	0.388	0.501	0.672	0.801	0.903	174
175					0.234	0.381	0.495	0.667	0.796	0.899	175
176					0.226	0.375	0.489	0.662	0.792	0.895	176
177					0.218	0.368	0.483	0.657	0.787	0.890	177
178					0.210	0.362	0.478	0.652	0.782	0.886	178
179					0.202	0.355	0.472	0.647	0.778	0.882	179
180					0.194	0.348	0.466	0.642	0.773	0.878	180
181					0.185	0.342	0.460	0.637	0.769	0.874	181
182					0.177	0.335	0.454	0.632	0.765	0.869	182
183					0.169	0.328	0.448	0.627	0.760	0.865	183
184					0.160	0.322	0.443	0.622	0.756	0.861	184
185					0.152	0.315	0.437	0.617	0.751	0.857	185
186					0.143	0.308	0.431	0.612	0.747	0.853	186
187					0.134	0.302	0.425	0.608	0.742	0.849	187
188					0.125	0.295	0.419	0.603	0.738	0.845	188
189					0.116	0.288	0.413	0.598	0.733	0.841	189
190					0.107	0.281	0.408	0.593	0.729	0.837	190
191					0.098	0.275	0.402	0.588	0.725	0.833	191
192					0.089	0.268	0.396	0.583	0.720	0.828	192
193					0.079	0.261	0.390	0.578	0.716	0.824	193
194					0.069	0.254	0.384	0.573	0.711	0.820	194
195					0.059	0.247	0.378	0.568	0.707	0.816	195
196					0.048	0.240	0.372	0.563	0.703	0.812	196
197					0.038	0.233	0.366	0.559	0.698	0.808	197
198					0.026	0.226	0.360	0.554	0.694	0.804	198
199					0.014	0.219	0.354	0.549	0.690	0.800	199

Table 2, continued

	225	250	300	350	400		300	350	400		
200	0.212	0.349	0.544	0.685	0.796	200	250	0.299	0.475	0.605	250
201	0.205	0.343	0.539	0.681	0.792	201	251	0.294	0.471	0.602	251
202	0.197	0.337	0.534	0.677	0.788	202	252	0.289	0.466	0.598	252
203	0.190	0.331	0.529	0.672	0.784	203	253	0.283	0.462	0.594	253
204	0.183	0.325	0.524	0.668	0.780	204	254	0.278	0.458	0.591	254
205	0.175	0.319	0.520	0.664	0.777	205	255	0.273	0.454	0.587	255
206	0.168	0.313	0.515	0.660	0.773	206	256	0.268	0.450	0.583	256
207	0.160	0.307	0.510	0.655	0.769	207	257	0.263	0.446	0.580	257
208	0.153	0.301	0.505	0.651	0.765	208	258	0.258	0.441	0.576	258
209	0.145	0.294	0.500	0.647	0.761	209	259	0.253	0.437	0.572	259
210	0.137	0.288	0.495	0.642	0.757	210	260	0.247	0.433	0.569	260
211	0.130	0.282	0.490	0.638	0.753	211	261	0.242	0.429	0.565	261
212	0.122	0.276	0.486	0.634	0.749	212	262	0.237	0.425	0.561	262
213	0.114	0.270	0.481	0.630	0.745	213	263	0.232	0.420	0.558	263
214	0.105	0.264	0.476	0.625	0.741	214	264	0.226	0.416	0.554	264
215	0.097	0.258	0.471	0.621	0.737	215	265	0.221	0.412	0.550	265
216	0.089	0.251	0.466	0.617	0.734	216	266	0.216	0.408	0.547	266
217	0.080	0.245	0.461	0.613	0.730	217	267	0.210	0.404	0.543	267
218	0.071	0.239	0.456	0.608	0.726	218	268	0.205	0.400	0.539	268
219	0.062	0.232	0.452	0.604	0.722	219	269	0.199	0.395	0.536	269
220	0.053	0.226	0.447	0.600	0.718	220	270	0.194	0.391	0.532	270
221	0.044	0.220	0.442	0.596	0.714	221	271	0.189	0.387	0.528	271
222	0.034	0.213	0.437	0.592	0.711	222	272	0.183	0.383	0.525	272
223	0.024	0.207	0.432	0.587	0.707	223	273	0.178	0.379	0.521	273
224	0.012	0.200	0.427	0.583	0.703	224	274	0.172	0.374	0.517	274
225		0.194	0.422	0.579	0.699	225	275	0.166	0.370	0.514	275
226		0.187	0.418	0.575	0.695	226	276	0.161	0.366	0.510	276
227		0.181	0.413	0.571	0.692	227	277	0.155	0.362	0.507	277
228		0.174	0.408	0.566	0.688	228	278	0.149	0.357	0.503	278
229		0.167	0.403	0.562	0.684	229	279	0.144	0.353	0.499	279
230		0.161	0.398	0.558	0.680	230	280	0.138	0.349	0.496	280
231		0.154	0.393	0.554	0.676	231	281	0.132	0.345	0.492	281
232		0.147	0.388	0.550	0.673	232	282	0.126	0.340	0.488	282
233		0.140	0.383	0.545	0.669	233	283	0.120	0.336	0.485	283
234		0.133	0.378	0.541	0.665	234	284	0.114	0.332	0.481	284
235		0.126	0.373	0.537	0.661	235	285	0.108	0.328	0.477	285
236		0.119	0.369	0.533	0.658	236	286	0.102	0.323	0.474	286
237		0.111	0.364	0.529	0.654	237	287	0.095	0.319	0.470	287
238		0.104	0.359	0.525	0.650	238	288	0.089	0.315	0.466	288
239		0.096	0.354	0.520	0.646	239	289	0.083	0.310	0.463	289
240		0.089	0.349	0.516	0.643	240	290	0.076	0.306	0.459	290
241		0.081	0.344	0.512	0.639	241	291	0.069	0.302	0.456	291
242		0.073	0.339	0.508	0.635	242	292	0.063	0.297	0.452	292
243		0.065	0.334	0.504	0.631	243	293	0.056	0.293	0.448	293
244		0.057	0.329	0.500	0.628	244	294	0.049	0.289	0.445	294
245		0.049	0.324	0.495	0.624	245	295	0.042	0.284	0.441	295
246		0.040	0.319	0.491	0.620	246	296	0.034	0.280	0.437	296
247		0.031	0.314	0.487	0.617	247	297	0.026	0.276	0.434	297
248		0.021	0.309	0.483	0.613	248	298	0.018	0.271	0.430	298
249		0.011	0.304	0.479	0.609	249	299	0.010	0.267	0.426	299

Table 2, continued

	350	400			400	
300	0.262	0.423	300	350	0.235	350
301	0.258	0.419	301	351	0.231	351
302	0.253	0.415	302	352	0.227	352
303	0.249	0.412	303	353	0.223	353
304	0.245	0.408	304	354	0.219	354
305	0.240	0.404	305	355	0.215	355
306	0.236	0.401	306	356	0.211	356
307	0.231	0.397	307	357	0.207	357
308	0.226	0.393	308	358	0.202	358
309	0.222	0.390	309	359	0.198	359
310	0.217	0.386	310	360	0.194	360
311	0.213	0.382	311	361	0.190	361
312	0.208	0.379	312	362	0.186	362
313	0.204	0.375	313	363	0.182	363
314	0.199	0.371	314	364	0.178	364
315	0.194	0.368	315	365	0.174	365
316	0.189	0.364	316	366	0.169	366
317	0.185	0.360	317	367	0.165	367
318	0.180	0.356	318	368	0.161	368
319	0.175	0.353	319	369	0.157	369
320	0.170	0.349	320	370	0.152	370
321	0.166	0.345	321	371	0.148	371
322	0.161	0.342	322	372	0.144	372
323	0.156	0.338	323	373	0.139	373
324	0.151	0.334	324	374	0.135	374
325	0.146	0.330	325	375	0.131	375
326	0.141	0.327	326	376	0.126	376
327	0.136	0.323	327	377	0.122	377
328	0.131	0.319	328	378	0.117	378
329	0.126	0.315	329	379	0.113	379
330	0.121	0.312	330	380	0.108	380
331	0.116	0.308	331	381	0.103	381
332	0.111	0.304	332	382	0.099	382
333	0.105	0.300	333	383	0.094	383
334	0.100	0.296	334	384	0.089	384
335	0.095	0.293	335	385	0.084	385
336	0.089	0.289	336	386	0.080	386
337	0.084	0.285	337	387	0.075	387
338	0.078	0.281	338	388	0.070	388
339	0.072	0.277	339	389	0.065	389
340	0.067	0.274	340	390	0.059	390
341	0.061	0.270	341	391	0.054	391
342	0.055	0.266	342	392	0.049	392
343	0.049	0.262	343	393	0.043	393
344	0.043	0.258	344	394	0.038	394
345	0.036	0.254	345	395	0.032	395
346	0.030	0.250	346	396	0.026	396
347	0.023	0.246	347	397	0.020	397
348	0.016	0.242	348	398	0.014	398
349	0.008	0.238	349	399	0.007	399
				400	-----	400

Table 3. Standardized selection differential when population size is infinite.

Proportion Selected	.000	.001	.002	.003	.004	.005	.006	.007	.008	.009
.00	-----	3.367	3.170	3.050	2.962	2.892	2.834	2.784	2.740	2.701
.01	2.665	2.633	2.603	2.575	2.549	2.525	2.502	2.480	2.459	2.440
.02	2.421	2.403	2.386	2.369	2.353	2.338	2.323	2.309	2.295	2.281
.03	2.268	2.255	2.243	2.231	2.219	2.208	2.197	2.186	2.175	2.165
.04	2.154	2.144	2.135	2.125	2.116	2.106	2.097	2.088	2.080	2.071
.05	2.063	2.054	2.046	2.038	2.030	2.023	2.015	2.007	2.000	1.993
.06	1.985	1.978	1.971	1.964	1.957	1.951	1.944	1.937	1.931	1.924
.07	1.918	1.912	1.906	1.899	1.893	1.887	1.881	1.876	1.870	1.864
.08	1.858	1.853	1.847	1.842	1.836	1.831	1.825	1.820	1.815	1.810
.09	1.804	1.799	1.794	1.789	1.784	1.779	1.774	1.769	1.765	1.760
.10	1.755	1.750	1.746	1.741	1.736	1.732	1.727	1.723	1.718	1.714
.11	1.709	1.705	1.701	1.696	1.692	1.688	1.684	1.679	1.675	1.671
.12	1.667	1.663	1.659	1.655	1.651	1.647	1.643	1.639	1.635	1.631
.13	1.627	1.623	1.620	1.616	1.612	1.608	1.605	1.601	1.597	1.593
.14	1.590	1.586	1.583	1.579	1.575	1.572	1.568	1.565	1.561	1.558
.15	1.554	1.551	1.548	1.544	1.541	1.537	1.534	1.531	1.527	1.524
.16	1.521	1.517	1.514	1.511	1.508	1.504	1.501	1.498	1.495	1.492
.17	1.489	1.485	1.482	1.479	1.476	1.473	1.470	1.467	1.464	1.461
.18	1.458	1.455	1.452	1.449	1.446	1.443	1.440	1.437	1.434	1.431
.19	1.428	1.425	1.422	1.420	1.417	1.414	1.411	1.408	1.405	1.403
.20	1.400	1.397	1.394	1.391	1.389	1.386	1.383	1.381	1.378	1.375
.21	1.372	1.370	1.367	1.364	1.362	1.359	1.356	1.354	1.351	1.348
.22	1.346	1.343	1.341	1.338	1.336	1.333	1.330	1.328	1.325	1.323
.23	1.320	1.318	1.315	1.313	1.310	1.308	1.305	1.303	1.300	1.298
.24	1.295	1.293	1.290	1.288	1.286	1.283	1.281	1.278	1.276	1.273
.25	1.271	1.269	1.266	1.264	1.262	1.259	1.257	1.255	1.252	1.250
.26	1.248	1.245	1.243	1.241	1.238	1.236	1.234	1.231	1.229	1.227
.27	1.225	1.222	1.220	1.218	1.216	1.213	1.211	1.209	1.207	1.204
.28	1.202	1.200	1.198	1.196	1.193	1.191	1.189	1.187	1.185	1.183
.29	1.180	1.178	1.176	1.174	1.172	1.170	1.167	1.165	1.163	1.161
.30	1.159	1.157	1.155	1.153	1.151	1.148	1.146	1.144	1.142	1.140
.31	1.138	1.136	1.134	1.132	1.130	1.128	1.126	1.124	1.122	1.120
.32	1.118	1.116	1.113	1.111	1.109	1.107	1.105	1.103	1.101	1.099
.33	1.097	1.095	1.093	1.091	1.089	1.088	1.086	1.084	1.082	1.080
.34	1.078	1.076	1.074	1.072	1.070	1.068	1.066	1.064	1.062	1.060
.35	1.058	1.056	1.054	1.053	1.051	1.049	1.047	1.045	1.043	1.041
.36	1.039	1.037	1.035	1.034	1.032	1.030	1.028	1.026	1.024	1.022
.37	1.020	1.019	1.017	1.015	1.013	1.011	1.009	1.007	1.006	1.004
.38	1.002	1.000	0.998	0.997	0.995	0.993	0.991	0.989	0.987	0.986
.39	0.984	0.982	0.980	0.978	0.977	0.975	0.973	0.971	0.969	0.968
.40	0.966	0.964	0.962	0.961	0.959	0.957	0.955	0.953	0.952	0.950
.41	0.948	0.946	0.945	0.943	0.941	0.939	0.938	0.936	0.934	0.932
.42	0.931	0.929	0.927	0.926	0.924	0.922	0.920	0.919	0.917	0.915
.43	0.913	0.912	0.910	0.908	0.907	0.905	0.903	0.902	0.900	0.898
.44	0.896	0.895	0.893	0.891	0.890	0.888	0.886	0.885	0.883	0.881
.45	0.880	0.878	0.876	0.875	0.873	0.871	0.870	0.868	0.866	0.865
.46	0.863	0.861	0.860	0.858	0.856	0.855	0.853	0.851	0.850	0.848
.47	0.846	0.845	0.843	0.841	0.840	0.838	0.837	0.835	0.833	0.832
.48	0.830	0.828	0.827	0.825	0.824	0.822	0.820	0.819	0.817	0.816
.49	0.814	0.812	0.811	0.809	0.807	0.806	0.804	0.803	0.801	0.799

This table is a portion of Table II in Part II of Pearson's tables for Statisticians and Biometricians, 1931. It is reproduced with the permission of the publishers, Biometrika, University College, London.

Table 3, continued

Proportion Selected	.000	.001	.002	.003	.004	.005	.006	.007	.008	.009
.50	0.798	0.746	0.795	0.793	0.792	0.790	0.788	0.787	0.785	0.784
.51	0.782	0.780	0.779	0.777	0.776	0.774	0.773	0.771	0.769	0.768
.52	0.766	0.765	0.763	0.762	0.760	0.758	0.757	0.755	0.754	0.752
.53	0.751	0.749	0.747	0.746	0.744	0.743	0.741	0.740	0.738	0.737
.54	0.735	0.734	0.732	0.730	0.729	0.727	0.726	0.724	0.723	0.721
.55	0.720	0.718	0.717	0.715	0.714	0.712	0.710	0.709	0.707	0.706
.56	0.704	0.703	0.701	0.700	0.698	0.697	0.695	0.694	0.692	0.691
.57	0.689	0.688	0.686	0.685	0.683	0.682	0.680	0.678	0.677	0.675
.58	0.674	0.672	0.671	0.669	0.668	0.666	0.665	0.663	0.662	0.660
.59	0.659	0.657	0.656	0.654	0.653	0.651	0.650	0.648	0.647	0.645
.60	0.644	0.642	0.641	0.639	0.638	0.636	0.635	0.633	0.632	0.630
.61	0.629	0.627	0.626	0.625	0.623	0.622	0.620	0.619	0.617	0.616
.62	0.614	0.613	0.611	0.610	0.608	0.607	0.605	0.604	0.602	0.601
.63	0.599	0.598	0.596	0.595	0.593	0.592	0.590	0.589	0.588	0.586
.64	0.585	0.583	0.582	0.580	0.579	0.577	0.576	0.574	0.573	0.571
.65	0.570	0.568	0.567	0.565	0.564	0.563	0.561	0.560	0.558	0.557
.66	0.555	0.554	0.552	0.551	0.549	0.548	0.546	0.545	0.543	0.542
.67	0.541	0.539	0.538	0.536	0.535	0.533	0.532	0.530	0.529	0.527
.68	0.526	0.524	0.523	0.522	0.520	0.519	0.517	0.516	0.514	0.513
.69	0.511	0.510	0.508	0.507	0.505	0.504	0.503	0.501	0.500	0.498
.70	0.497	0.495	0.494	0.492	0.491	0.489	0.488	0.486	0.485	0.484
.71	0.482	0.481	0.479	0.478	0.476	0.475	0.473	0.472	0.470	0.469
.72	0.468	0.466	0.465	0.463	0.462	0.460	0.459	0.457	0.456	0.454
.73	0.453	0.451	0.450	0.449	0.447	0.446	0.444	0.443	0.441	0.440
.74	0.438	0.437	0.435	0.434	0.432	0.431	0.430	0.428	0.427	0.425
.75	0.424	0.422	0.421	0.419	0.418	0.416	0.415	0.413	0.412	0.411
.76	0.409	0.408	0.406	0.405	0.403	0.402	0.400	0.399	0.397	0.396
.77	0.394	0.393	0.391	0.390	0.388	0.387	0.386	0.384	0.383	0.381
.78	0.380	0.378	0.377	0.375	0.374	0.372	0.371	0.369	0.368	0.366
.79	0.365	0.363	0.362	0.360	0.359	0.357	0.356	0.354	0.353	0.351
.80	0.350	0.348	0.347	0.345	0.344	0.342	0.341	0.340	0.338	0.337
.81	0.335	0.334	0.332	0.331	0.329	0.328	0.326	0.325	0.323	0.322
.82	0.320	0.318	0.317	0.315	0.314	0.312	0.311	0.309	0.308	0.306
.83	0.305	0.303	0.302	0.300	0.299	0.297	0.296	0.294	0.293	0.291
.84	0.290	0.288	0.287	0.285	0.284	0.282	0.280	0.279	0.277	0.276
.85	0.274	0.273	0.271	0.270	0.268	0.267	0.265	0.263	0.262	0.260
.86	0.259	0.257	0.256	0.254	0.253	0.251	0.249	0.248	0.246	0.245
.87	0.243	0.242	0.240	0.238	0.237	0.235	0.234	0.232	0.231	0.229
.88	0.227	0.226	0.224	0.223	0.221	0.219	0.218	0.216	0.215	0.213
.89	0.211	0.210	0.208	0.206	0.205	0.203	0.202	0.200	0.198	0.197
.90	0.195	0.193	0.192	0.190	0.188	0.187	0.185	0.183	0.182	0.180
.91	0.178	0.177	0.175	0.173	0.172	0.170	0.168	0.167	0.165	0.163
.92	0.162	0.160	0.158	0.156	0.155	0.153	0.151	0.150	0.148	0.146
.93	0.144	0.143	0.141	0.139	0.137	0.136	0.134	0.132	0.130	0.129
.94	0.127	0.125	0.123	0.121	0.120	0.118	0.116	0.114	0.112	0.110
.95	0.109	0.107	0.105	0.103	0.101	0.099	0.097	0.095	0.094	0.092
.96	0.090	0.088	0.086	0.084	0.082	0.080	0.078	0.076	0.074	0.072
.97	0.070	0.068	0.066	0.064	0.062	0.060	0.058	0.056	0.054	0.052
.98	0.049	0.047	0.045	0.043	0.041	0.038	0.036	0.034	0.032	0.029
.99	0.027	0.025	0.022	0.020	0.017	0.015	0.012	0.009	0.006	0.003



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